

# DEGENERATION THEORY FOR GROMOV-WITTEN INVARIANTS

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ABSTRACT. This is a notes for the degeneration formula in a general setting.

## CONTENTS

1. Introduction	2
2. Log maps punctured along markings	2
2.1. Punctured log maps	2
2.2. Contact orders along the punctured markings	3
2.3. Contact orders	4
3. Combinatorics preparation	5
3.1. Marked graph and the associated monoid	5
3.2. Contraction of graphs	7
3.3. Graph splitting	9
3.4. Discrepant gluing of the splitting data	11
3.5. Graphs associated to punctured maps	11
3.6. Open immersion of log stack induced by generalizing maps	12
3.7. Log stacks charted by graphs	14
4. The stack of punctured maps	17
4.1. Algebricity and boundedness	17
4.2. Weak valuative criterion	17
5. The deformation theory of punctured maps	17
5.1. Deformation over small extensions	17
5.2. A perfect obstruction theory of punctured maps	21
5.3. The Splitting formula	21
6. Gluing formula	21
Appendix A. Stack of nodes	21
A.1. $P$ -log points	21
A.2. Construction of the stacks	21
References	22

## 1. INTRODUCTION

## 2. LOG MAPS PUNCTURED ALONG MARKINGS

**2.1. Punctured log maps.** Denote by  $\pi : X \rightarrow B$  a flat, proper, and integral log maps between two fs log schemes.

`def:puncture-map`

**Definition 2.1.1.** A *punctured log map* to  $X/B$  over an fs log scheme with genus  $g$  and  $n$  marked points is given by the data  $f = (\underline{f}, f^b, C/S)$  such that

- (1)  $\phi : C \rightarrow S$  is a family of genus  $g$ ,  $n$ -marked log curves.
- (2)  $\underline{f}$  is a family of usual pre-stable maps over  $\underline{S}$  fits in the following commutative diagram:

$$\begin{array}{ccc} \underline{C} & \xrightarrow{\underline{f}} & \underline{X} \\ \downarrow & & \downarrow \\ \underline{S} & \longrightarrow & \underline{B}. \end{array}$$

- (3)  $f^b : (\underline{f}^* \mathcal{M}_X)_{C^\circ} \rightarrow \mathcal{M}_{C^\circ}$  is a map of fs log structures, where  $C^\circ \subset C$  is the open curve obtained by removing the marked points of  $C/B$ .
- (4) The pair  $(\underline{f}, f^b)_{C^\circ}$  defines a family of log maps to  $X/B$ :

$$\begin{array}{ccc} C^\circ & \xrightarrow{(\underline{f}, f^b)} & X \\ \downarrow & & \downarrow \\ S & \longrightarrow & B. \end{array}$$

A punctured map is called *stable*, if the map  $\underline{f}$  is stable in the usual sense. For simplicity, we will write  $f : C/S \rightarrow X/B$  for a punctured maps, when there is no danger of confusion.

As before, we view the category of punctured maps as a category fibered over the category of fs log schemes.

`def:puncture-arrow`

**Definition 2.1.2.** An *arrow* between two punctured maps  $f_1 : C_1/S_1 \rightarrow X/B$  and  $f_2 : C_2/S_2 \rightarrow X/B$  is given by a commutative diagram of log schemes:

$$\begin{array}{ccc} C_1 & \longrightarrow & C_2 \\ \downarrow & & \downarrow \\ S_1 & \longrightarrow & S_2 \end{array}$$

such that the following diagram of log schemes commutes:

$$\begin{array}{ccccc}
 C_1^\circ & \xrightarrow{\quad} & C_2^\circ & & \\
 \downarrow & \searrow f_1 & \swarrow f_2 & & \downarrow \\
 & & X & & \\
 \downarrow & & \downarrow & & \downarrow \\
 S_1 & \xrightarrow{\quad} & S_2 & & \\
 \downarrow & \searrow & \swarrow & & \downarrow \\
 & & B & & 
 \end{array}$$

**Remark 2.1.3.** If we require  $f$  extends to all marked points, then this is the log map defined as before.

**2.2. Contact orders along the punctured markings.** We now discuss the behavior of punctured maps near the marked points. They behaves very much like the log maps except we allowing poles here. For simplicity, we first assume that  $B$  is a point with trivial log structures. Thus the target of the punctured map is a fs log scheme  $X$ .

Fix a punctured map  $f : C/S \rightarrow X$  of genus  $g$ , with  $n$  marked points. We assume that the underlying base  $\underline{S}$  is a geometric point. Away from the marked points, everything behaves the same as the case of log maps.

Consider a marking point  $\Sigma \in C$ . Choose a local coordinate  $\sigma$  in a neighborhood  $U$  of  $\Sigma$ , which vanishes at  $\Sigma$ . Denote by  $\log \sigma \in \mathcal{M}_C$  a local section in  $U$ . By abuse of notations, we will identify  $\log \sigma$  with its image in the characteristics, when there is no confusion. We are allowed to shrink the neighborhood  $U$  when it is necessary.

Write  $U^\circ = U \setminus \{\Sigma\}$ . We may assume that  $U$  contains only one marked points. For any sections  $\delta \in (f^* \mathcal{M}_X)_{U^\circ}$ , its image under  $f$  is of the form

**equ:along-marking**

$$(2.2.1) \quad f^b(\delta) = e + c_\Sigma(\delta) \cdot \log \sigma + u$$

where  $e \in \mathcal{M}_S$  is a section from the base log structure,  $u \in \mathcal{O}_U^*$  is an invertible section, and  $c(\delta) \in \mathbb{Z}$  is an integer determined uniquely by  $\delta$ . Thus, we have

**lem:contact-order**

**Lemma 2.2.1.** *There is a map of monoids*

$$c_\Sigma : (f^* \overline{\mathcal{M}}_X)_\Sigma \rightarrow \mathbb{Z}$$

defined by (2.2.1). Here  $\mathbb{Z}$  is the group generated by  $\log \sigma$  by the identification  $1 \mapsto \log \sigma$ .

**def:contact-order**

**Definition 2.2.2.** The map of monoids  $c_\Sigma$  in Lemma 2.2.1 is called the *contact order* of the marked point  $\Sigma$ .

**lem:extend-to-markings**

**Lemma 2.2.3.** *Using the notations as above, if the image of  $c_\Sigma$  is in  $\mathbb{Z}_{\geq 0}$ , then the punctured map  $f$  can be extended to the marking  $\Sigma$  uniquely. In*

this case, the map  $c_\Sigma$  is called positive. It induces the contact order along the marked points in the sense of log maps.

*Proof.* This follows directly from (2.2.1).  $\spadesuit$

**Remark 2.2.4.** Given a punctured map  $f$  with positive contact order maps along all marked points, is equivalent to given a log map with the induced contact orders long the marked points.

There is another easy but important observation:

em:force-degeneration

**Lemma 2.2.5.** *With the notations as above, if  $f(\Sigma) \in (\alpha(\delta) = 0)$ , and  $c_\Sigma(\delta) < 0$ , then the section  $e$  in (2.2.1) is not invertible.*

*Proof.* Assume on the contrary that  $e$  is a unit. Then  $\alpha(\delta)$  pulls back to a rational function on  $U$  with poles at  $\Sigma$ . This contradicts the condition that  $f(\Sigma) \in (\alpha(\delta) = 0)$ .  $\spadesuit$

ss:contact-order

**2.3. Contact orders.** We next introduce some necessary notations and results for the use of contact order maps. Fix a connected fs log scheme  $X$ . For any geometric point  $x \in X$  with the pull-back log structure<sup>1</sup>, we are interested in the set of maps of monoids:

1→

$$\mathcal{H}_x := \text{Hom}_{\text{Mon}}(\overline{\mathcal{M}}_x, \mathbb{Z}).$$

**Definition 2.3.1.** Elements in  $\mathcal{H}_x$  are called *contact orders at  $x$* .

Consider contact orders  $c_1$  and  $c_2$  at two different strict points  $x_1$  and  $x_2$  of  $X$  respectively. Further assume that there is a chart  $U \rightarrow X$  containing both  $x_1$  and  $x_2$ , with a chart of log structures

$$\beta : M \rightarrow \mathcal{M}_U := \mathcal{M}_X|_U.$$

This induces two maps of monoids:

$$g_1 : M \rightarrow \overline{\mathcal{M}}_{x_1} \rightarrow P$$

and

$$g_2 : M \rightarrow \overline{\mathcal{M}}_{x_2} \rightarrow P.$$

contact-order-compatible

**Definition 2.3.2.** Two contact orders  $c_1$  and  $c_2$  is called *compatible* if there is an étale chart  $(U, \beta)$  as above such that  $g_1 = g_2$ . Two contact orders  $c$  and  $c'$  is called *equivalent* if there exists a finite sequence of contact orders  $c = c_1, \dots, c' = c_k$  such that  $c_i$  is compatible with  $c_{i+1}$  for  $i = 1, \dots, k-1$ .

Denote by  $\text{Con}(X)$  the set of equivalence class of contact orders.

**Remark 2.3.3.** In fact, we can sheafify the family of contact orders as follows. For any  $\underline{X}$ -scheme  $\underline{S}$ , denote by  $S$  the log scheme over  $\underline{S}$  with the pulled back log structure from  $X$ . For any  $c \in \text{Con}(X)$ , denote by  $\text{Con}_c(S) \subset \text{Hom}_S(\overline{\mathcal{M}}_S, \mathbb{Z})$  the subset of maps sheaf of monoids which is compatible with  $c$ . We should be able to check that  $\text{Con}_c$  is a sheaf over  $\underline{X}$  with étale topology. Question is under what assumption, is this sheaf  $\text{Con}_c$  of finite type? This should equivalent to the boundedness problem.

<sup>1</sup>(Qile) I call it strict point in  $X$ .

**Definition 2.3.4.** For any  $c \in \text{Con}(X)$ , denote by

$$\text{Supp}(c) := \{x \in X \mid c_x \neq 0\},$$

and call it the *support* of  $c$ . Clearly,  $\text{Supp}(c)$  is a closed subset of  $X$  under the Zarisky topology. We call  $c$  *connected*, if its support is connected.

The following is obvious.

**Lemma 2.3.5.** *Any element  $c \in \text{Con}(X)$  can be expressed as the unique linear combination of connected elements in  $\text{Con}(X)$ .*

**Definition 2.3.6.** An element  $c \in \text{Con}(X)$  is called a *contact order* along  $X$ , or simply a *contact order* if it is connected.

**Lemma 2.3.7.** *Any contact order  $c$  is determined uniquely by its fiber at any point of  $\text{Supp}(c)$ .*

*Proof.* This follows from Definition 2.3.2. ♠

Consider a punctured map  $f : C/S \rightarrow X$  over a geometric point  $\underline{S}$ . Then any marked point  $\Sigma \in C$  induces a contact order  $c_\Sigma \in \text{Con}(X)$ .

prop:contact-open

**Proposition 2.3.8.** *Consider a punctured map  $f : C/S \rightarrow X$  over a connected fs log scheme  $S$ . Then for any marking  $\Sigma$ , the contact order  $c_\Sigma$  as in Definition 2.2.2 at each geometric point of  $S$  induces the same contact order in  $\text{Con}(X)$ . By abuse of notation, we denote this contact order by  $c_\Sigma$ .*

*Proof.* It is enough to show that punctured maps with a fixed contact order along  $\Sigma$  forms an open family. Fix a strict point  $s \in S$ , and pick a neighborhood  $V$  of  $s$ . Choose another open neighborhood  $U$  of  $\Sigma_s \in C$ . Shrink  $U$  and  $V$  if necessary, we may assume that

- (1)  $V \subset \phi(U)$ ;
- (2) There is a chart  $\beta : M := f^*(\overline{\mathcal{M}}_X)_{\Sigma_s} \rightarrow f^*(\mathcal{M}_X)$  over  $V$ .

Thus, for any  $t \in V$ , the fiber  $c_{\Sigma_t}$  is induced by the composition

$$M \rightarrow f^*(\overline{\mathcal{M}}_X) \rightarrow \mathbb{Z}.$$

Now the statement follows from Definition 2.3.2. ♠

### 3. COMBINATORICS PREPARATION

sss:markedgraph

**3.1. Marked graph and the associated monoid.** We first introduce the following conception:

def:generalization

**Definition 3.1.1.** Consider a map of two sharp and fine monoids  $h : P \rightarrow Q$ . Let  $F \subset P^{gp}$  be the subgroup generated by  $h^{-1}(0)$ , and  $F^{-1}P \subset P^{gp}$  the submonoid generated by  $F$  and  $P$ . The map  $f$  is called *generalizing* if it induces an isomorphism  $F^{-1}P/F \rightarrow Q$ . We also say  $Q$  is a *generalization* of  $P$ .

def:marked-graph

**Definition 3.1.2.** A *marked graph* denoted by  $G$ , is a connected finite graph  $\underline{G}$ , consisting of a set of vertices  $V(G)$ , edges  $E(G)$ , and legs  $L(G)$ , along with the following data:

- (1) For each  $v \in V(G)$ , we associate a toric monoid  $P_v$ . (This denotes the stratum of log structures that  $v$  sits in.)
- (2) For each  $l \in E(G)$  joining  $v_1$  and  $v_2$ , we associate a toric monoid  $P_l$ , and an orientation on  $l$  paired with a nodal contact order map  $c_l : P_l \rightarrow \mathbb{Z}$ , and two generalizing maps of monoids  $\psi_i : P_l \rightarrow P_{v_i}$  for  $i = 1, 2$ , such that for any nontrivial element  $\delta \in P_l$ , we have either  $\psi_1(\delta) \neq 0$  or  $\psi_2(\delta) \neq 0$ .
- (3) Legs are unbounded edges attached to vertices. For each  $l \in L(G)$ , we associate a contact order map  $c_l : P_l \rightarrow \mathbb{Z}$ .

We further require that loops of  $G$  have only trivial nodal contact orders.

**Definition 3.1.3.** Given a marked graph  $G$  as above, a *flop* of an edge  $l \in E(G)$  is a modification of  $l$  in  $G$  by reversing the orientation and replacy  $c_l$  by  $-c_l$ . Two marked graph  $G_1$  and  $G_2$  is called *equivalent* if they are differ by a sequence of flops of their edges.

For a marked graph  $G$ , we consider the following monoid:

$$M := \left( \sum_{v \in V(G)} P_v \oplus \sum_{l \in E(G)} \mathbb{N}_l \right) / \sim$$

where  $\mathbb{N}_l = \langle e_l \rangle \cong \mathbb{N}$  is the rank one free monoid generated by the element  $e_l$  associated to the edge  $l$ . Here the equivalence relation  $\sim$  is given by

`equ:degeneracy-jump1`

$$(3.1.1) \quad \psi_1(\delta) + c_l(\delta) \cdot e_l = \psi_2(\delta) \quad \text{if } c_l(\delta) \geq 0$$

`equ:degeneracy-jump2`

$$(3.1.2) \quad \psi_1(\delta) = \psi_2(\delta) - c_l(\delta) \cdot e_l \quad \text{if } c_l(\delta) \leq 0$$

where  $l$  is an edge oriented from  $v_1$  to  $v_2$ , and  $\delta \in P_l$  is an arbitrary section. Denote by  $\overline{\mathcal{M}}(G)$  the monoid obtained by taking the saturation of  $M$ , and quotient its torsion.

`def:associated-monoid`

**Definition 3.1.4.** The fs monoid  $\overline{\mathcal{M}}(G)$  constructed above is called *the associated monoid* of the marked graph  $G$ .

**Remark 3.1.5.** The formation of  $\overline{\mathcal{M}}(G)$  does not depend on legs.

The following is a direct result of (3.1.1) and (3.1.2):

**Lemma 3.1.6.** *The associated monoid  $\overline{\mathcal{M}}(G)$  only depends on the equivalent class of  $G$ .*

For a marked graph  $G$ , we have the following canonical maps

$$\epsilon_l : \mathbb{N}_l \rightarrow \overline{\mathcal{M}}(G),$$

and

$$\chi_v : P_v \rightarrow \overline{\mathcal{M}}(G)$$

for any  $v \in V(G)$  and  $l \in E(G)$ .

`def:admissible`

**Definition 3.1.7.** A marked graph  $G$  is called *admissible* if  $\ker \epsilon_l$  and  $\ker \chi_v$  are all trivial for any  $v \in V(G)$  and  $l \in E(G)$ . In the rest of this notes, a marked graph will be automatically admissible unless otherwise specified.

def:deg-graph

**Definition 3.1.8.** A *degenerate graph*  $G$  is a marked graph with the extra data:

- (1) For each  $l \in E(G)$ , we associate an injective map of monoids  $\mathbb{N} \rightarrow P_l$  such that the composition map  $\mathbb{N} \rightarrow P_l \rightarrow \mathbb{Z}$  is zero. Note that this does change under flops of marked graphs.
- (2) For each  $v \in V(G)$ , we associate an injective map of monoids  $\mathbb{N} \rightarrow P_v$ .

Furthermore, the above maps of monoids should be compatible with the generalizing map  $P_l \rightarrow P_v$  if  $v$  is an end of  $l$ . We denote by  $e_G$  the generator of the copy of  $\mathbb{N}$ , and identify it with its image in  $\overline{\mathcal{M}}(G)$ .

prop:deg-graph

**Proposition 3.1.9.** Consider a degenerate graph  $G$ , there is a canonical map  $\pi_G : \mathbb{N} \rightarrow \overline{\mathcal{M}}(G)$ , which is compatible with  $\mathbb{N} \rightarrow P_v$  and  $\mathbb{N} \rightarrow P_l$  for any vertex  $v$  and edge  $l$ . Furthermore, this map does not depend on flops of the degenerated graph.

*Proof.* This follows from the fact that the composition  $\mathbb{N} \rightarrow P_l \rightarrow \mathbb{Z}$  is zero. ♠

From now on, we will use the terminology marked graph for the equivalence classes of marked graph, and a representative for a choice of orientations of all the edges in a fixed equivalence. This will be the same for degenerated graphs.

ss:contraction

**3.2. Contraction of graphs.** We now focus on operation of different marked or degenerated graphs.

def:graph-arrow

**Definition 3.2.1.** Consider two marked graphs  $G_1$  and  $G_2$ . A *contraction*  $\phi : G_1 \rightarrow G_2$  is given by the following data:

- (1) An injection of edges  $\phi_E : E(G_2) \hookrightarrow E(G_1)$ , such that for any  $l \in E(G_2)$ , we associate a generalizing map of monoids

$$\phi(l) : P_{\phi_E(l)} \rightarrow P_l,$$

which fits in a commutative diagram possibly after a flop of the edge

$$\begin{array}{ccc} P_{\phi_E(l)} & \xrightarrow{\quad} & P_l \\ & \searrow^{c_{\phi_E(l)}} & \swarrow_{c_l} \\ & \mathbb{Z} & \end{array}$$

- (2) A surjection of vertices  $\phi_V : V(G_1) \rightarrow V(G_2)$ , such that  $\phi_V(v_1) = \phi_V(v_2)$  if and only if there is a chain of (not necessarily oriented) edges  $l_1 \cdots l_m$  joining  $v_1$  and  $v_2$  with  $l_i \notin \phi_E(E(G_2))$ , for  $i = 1, \dots, m$ , and for any  $v \in V(G_1)$ , we associate a generalizing map of monoids

$$\phi(v) : P_v \rightarrow P_{\phi_V(v)}.$$

- (3) For each  $v \in V(G_2)$ , the legs attached to  $v$  are one-to-one corresponds to legs attached to  $\phi_V^{-1}(v)$  with the same contact orders.

These data should be compatible in the following sense. For any  $l \in E(G_2)$  oriented from  $v_1$  to  $v_2$  in  $G_2$ , we have the image edge  $\phi_E(l) \in E(G_1)$  oriented from  $v'_1$  to  $v'_2$  in  $G_1$ . By (2), we have  $\phi_V(v'_i) = v_i$  for  $i = 1, 2$ . We further require the following diagram is commutative:

$$\begin{array}{ccccc}
 & & P_{v'_1} & \longrightarrow & P_{v_1} \\
 & \nearrow & & & \nearrow \\
 P_{\phi_E(l)} & \longrightarrow & P_l & & \\
 & \searrow & & & \searrow \\
 & & P_{v'_2} & \longrightarrow & P_{v_2}
 \end{array}$$

Clearly the identity of a marked graph is a contraction.

**Definition 3.2.2.** A contraction  $\phi : G_1 \rightarrow G_2$  is called *admissible*, if both  $G_1$  and  $G_2$  are admissible. Unless otherwise specified, a contraction will automatically mean an admissible contraction.

**Construction 3.2.3.** Consider a marked graph  $G$  and an edge  $l \in E(G)$ . We next construct a contraction  $\phi : G \rightarrow G_l$  as follows. Denote by  $F = \langle e_l \rangle \subset \overline{\mathcal{M}}(G)^{gp}$  the subgroup generated by  $e_l$ . Write  $\overline{\mathcal{M}} = F^{-1}\overline{\mathcal{M}}(G)/F$ . (Verify that  $\overline{\mathcal{M}}$  is a sharp fs monoid.) We thus have the following composition

$$c\epsilon_{l'} : \mathbb{N}_{l'} \rightarrow \overline{\mathcal{M}}(G) \rightarrow \overline{\mathcal{M}},$$

and

$$c\chi_v : P_v \rightarrow \overline{\mathcal{M}}(G) \rightarrow \overline{\mathcal{M}}.$$

for any  $l' \in E(G)$  and  $v \in V(G)$ .

- (1) We first contract edges  $l' \in E(G)$  such that  $c\epsilon = 0$ , and identify the end points. This yields a new graph  $\underline{G}_l$  with orientation of the edges defined by orientation of  $G$ . Clearly we have  $c\epsilon_l = 0$ .
- (2) For any  $v' \in \underline{G}_l$ , we pick a vertex  $v \in V(G)$  such that  $\phi(v) = v'$ . Denote by  $F_v = \langle \ker c\chi_v \rangle \subset P_v^{gp}$  the subgroup generated by  $\ker c\chi_v$ . We associate the monoid  $P_{v'} = F_v^{-1}P_v/F_v$ . We check that this does not depend on the choice of  $v$  over  $v'$ .
- (3) For any  $l'' \in E(\underline{G}_l)$  joining  $v_1$  and  $v_2$ , write  $l' = \text{phi}(l'') \in E(G)$ , with  $\psi'_1 : P_{l'} \rightarrow P_{v_1}$  and  $\psi'_2 : P_{l'} \rightarrow P_{v_2}$  by (2). Denote by  $F_{l''} = \langle \ker \psi'_1 \cap \ker \psi'_2 \rangle \subset P_{l'}^{gp}$  the subgroup. We now associate  $P_{l''} = F_{l''}^{-1}P_{l'}/F_{l''}$  with the natural maps  $P_{l''} \rightarrow P_{v_i}$  for  $i = 1, 2$ .
- (4) Legs with their contact orders attached to each  $v \in V(\underline{G}_l)$  is defined in an obvious way.

The above construction yields a marked graph  $G_l$  with a contraction  $\phi$ . One check that  $\overline{\mathcal{M}}(G_l) = \overline{\mathcal{M}}$ .

**Definition 3.2.4.** An edge of a marked graph is called *distinguished* if its contact order is non-trivial. A marked graph is called *distinguished* if it has

cons:contract-edge

no non-distinguished edge. In particular, a distinguished marked graph has no loops.

We first observe that

**Lemma 3.2.5.** *Consider an admissible marked graph  $G$ . For an nondistinguished edge  $l$  joining  $v_1$  and  $v_2$ , we have the two associated map  $\psi_1 : P_l \rightarrow P_{v_1}$  and  $\psi_2 : P_l \rightarrow P_{v_2}$  to be isomorphic.*

*Proof.* Since the contact order of  $l$  is trivial, the generalizing maps  $\psi_i$ , (3.1.1) and (3.1.2) induces an isomorphism  $P_{v_1} \rightarrow P_{v_2}$ . Therefore  $\ker \psi_i = 0$ . This proves the statement.  $\spadesuit$

prop:split-monoid

**Proposition 3.2.6.** *For any marked graph  $G$ , there is a unique contraction  $\phi_d : G \rightarrow G_d$  such that  $G_d$  is obtained by contracting non-distinguished edges in  $G$  with out changing orientations and those associated monoids. Furthermore, we have*

$$\overline{\mathcal{M}}(G) \cong \overline{\mathcal{M}}(G_d) \oplus \mathbb{N}^n$$

where  $n$  is the number of non-distinguished edges in  $G$ .

*Proof.* This follows from (3.1.1) and (3.1.2), and the previous lemma.  $\spadesuit$

action-generalization

**Proposition 3.2.7.** *For any contraction  $\psi : G \rightarrow G'$ , the monoid  $\overline{\mathcal{M}}(G')$  is a generalization of  $\overline{\mathcal{M}}(G)$ .*

Conversely, we have

realization-contraction

**Proposition 3.2.8.** *Given a marked graph  $G$ , for any generalization  $\overline{\mathcal{M}}$  of  $\overline{\mathcal{M}}(G)$ , there is a unique contraction  $\psi : G \rightarrow G'$  with a canonical isomorphism  $\overline{\mathcal{M}}(G') \cong \overline{\mathcal{M}}$ .*

prop:contraction-monoids

**Proposition 3.2.9.** *Consider a contraction of two degenerate graph  $\psi : G \rightarrow G'$ . Then we have a commutative diagram of monoids:*

$$\begin{array}{ccc} \overline{\mathcal{M}}(G) & \longrightarrow & \overline{\mathcal{M}}(G') \\ & \swarrow \pi_G & \searrow \pi_{G'} \\ & \mathbb{N} & \end{array}$$

*Proof.* Notice that the contraction preserves (3.1.1) and (3.1.2).  $\spadesuit$

ss:graph-splitting

### 3.3. Graph splitting.

**Definition 3.3.1.** A degenerate graph  $G$  is called a *splitting graph* if  $\overline{\mathcal{M}}(G) \cong \mathbb{N}$ . A *splitting* of a degenerate graph  $G'$  is a contraction  $G' \rightarrow G$  such that  $G$  is a splitting graph.

cons:splitting

**Construction 3.3.2.** Given a splitting  $\phi : G \rightarrow G_0$ , we construct a set of degenerate graphs  $\{G_v\}_{v \in V(G_0)}$  as follows. We cut  $G$  along the edges in the subset  $E(G_0) \subset E(G)$ . After cutting the edges, we obtain a disconnected graph with its connected components one to one corresponds to the set  $V(G_0)$ . We denote the connected component associated to  $v \in V(G_0)$  by  $G_v$ . We then construct the degenerate graph  $G_v$  as follows:

- (1) The underlying graph of  $G_v$  is  $\underline{G}_v$ .
- (2) The data of monoids, generalizing maps of monoids and contact order of edges on  $G_v$  is obtained directly from those on  $G$ .
- (3) The legs  $L(G_v) = L(v) \cup R(v)$  is the union of two parts: the set  $L_v$  consists of legs in  $G$  attached to vertices in  $V(G_v) \subset V(G)$  with the same contact orders; the set  $R(v)$  consists of legs corresponds to the cut edges  $E(G_0)$  attached to vertices in  $V(G_v)$  with the compatible contact orders in  $G$  defined by taking the edges with the orientation starting from vertice in  $V(G_v)$ . We call  $R(v)$  the set of *roots* of the splitting  $\phi$ .

We call  $(G_v, R(v))_{v \in V(G_0)}$  the *gluing data* of the splitting  $G \rightarrow G_0$ .

Consider a splitting graph  $G$ . Then we have the natural map of monoids  $\pi_G : \mathbb{N} \rightarrow \overline{\mathcal{M}}(G) \cong \mathbb{N}$ . Thus, the map  $\pi_G$  is given by the mutiplication with a positive integer  $n_G$ .

def:twisting-index

**Definition 3.3.3.** We call  $n_G$  the *twisting index* of the the splitting graph  $G$ .

Consider a degenerate graph  $G$  with a splitting  $\phi : G \rightarrow G_0$ . By Construction 3.3.2, we have the gluing data  $(G_v, R(v))_{v \in V(G_0)}$ .

**Lemma 3.3.4.** *There is a commutative diagram of monoids*

$$\begin{array}{ccc}
 \sum_{v \in V(G)} \mathbb{N} & \longrightarrow & \mathbb{N} \\
 \downarrow \Sigma \pi_{G_v} & & \downarrow \\
 \sum_{v \in V(G)} \overline{\mathcal{M}}(G_v) & \longrightarrow & \overline{\mathcal{M}}(G)
 \end{array}$$

where the top arrow is given by  $e_{G_v} \mapsto e_G$ .

*Proof.* This can be checked directly using the construction of the associated monoids of marked graphs. ♠

def:arrow-splitting

**Definition 3.3.5.** Consider two splittings  $\phi_1 : G_1 \rightarrow G_0$  and  $\phi_2 : G_2 \rightarrow G_0$ . An arrow  $\phi_1 \rightarrow \phi_2$  over  $G_0$  is a contraction  $G_1 \rightarrow G_2$  fits in a commutative diagram

$$\begin{array}{ccc}
 G_1 & \longrightarrow & G_2 \\
 & \searrow & \swarrow \\
 & & G_0
 \end{array}$$

This means that the induced diagram of sets of edges, vertices, and monoids are commutative.

**Lemma 3.3.6.** *Given an arrow of splittings  $\phi_1 \rightarrow \phi_2$  as above, we have a commutative diagram of monoids*

$$\begin{array}{ccc} \overline{\mathcal{M}}(G_1) & \xrightarrow{\quad} & \overline{\mathcal{M}}(G_2) \\ & \searrow & \swarrow \\ & \overline{\mathcal{M}}(G_0) & \end{array}$$

which is compatible with  $\pi_{G_i}$  for  $i = 1, 2, 3$ .

For later use, we introduce the following notations. Denote by  $\mathfrak{G}_{\mathbf{c}}$  ( $\mathfrak{G}_{\mathbf{c}}^d$  respectively) the category of marked graphs (degenerate graphs respectively) with legs having contact orders given by  $\mathbf{c} = \{c_i\}_{i=1}^n$ , and the arrows are given by contractions. For a fixed splitting graph  $G$ , denote by  $\mathfrak{G}(G)$  the category of splittings  $\phi : G' \rightarrow G$  with arrows given by Definition 3.3.5. Note that the contact orders of the legs of  $G'$  is given by those of  $G$ .

ss:discrepant-gluing

#### 3.4. Discrepant gluing of the splitting data.

ss:map-graph

**3.5. Graphs associated to punctured maps.** Consider a punctured map  $f : C/S \rightarrow X$  where  $S$  is a geometric point. We now associate a marked graph  $G$  to the geometric fiber  $f$  as follows.

- (1) Let  $\underline{G}$  be the dual graph of the nodal curve  $\underline{C}$ , where vertices correspond irreducible components, edges correspond to nodes, and legs correspond to marked points.
- (2) For each vertex  $v$ , we associate the fs monoid  $P_v = (f^*\overline{\mathcal{M}}_X)_x$  where  $x$  is a general point of the component corresponding to  $v$ .
- (3) For each edge  $l$  of  $\underline{G}$  joining  $v_1$  and  $v_2$ , we associate the monoid  $P_l = (f^*\overline{\mathcal{M}}_X)_l$  with the two natural generalizing map  $P_l \rightarrow v_1$  and  $P_l \rightarrow v_2$ .
- (4) The contact orders of each edge or legs are given by the contact orders of each node or marked point respectively.

**Definition 3.5.1.** The marked graph  $G$  as above is called the *associated graph of  $f$* .

The following result implies that the associated graph is admissible.

**Proposition 3.5.2.** *There is a canonical map of monoids  $\overline{\mathcal{M}}(G) \rightarrow \overline{\mathcal{M}}_S$ , whose kernel is trivial.*

*Proof.* Need details. ♠

def:minimality

**Definition 3.5.3.** The punctured map  $f : C/S \rightarrow X$  over a geometric point  $S$  is called *minimal (or basic)* if the canonical map  $\overline{\mathcal{M}}(G) \rightarrow \overline{\mathcal{M}}_S$  is an isomorphism of monoids. A family of punctured maps is called *minimal* if every geometric fiber is minimal.

prop:min-open

**Proposition 3.5.4.** *Given a family of punctured maps  $f : C/S \rightarrow X$  over an fs log scheme  $\underline{S}$ , the fiber with minimal punctured maps form an open family.*

*Proof.* Need details ♠

Consider the case of a proper, flat, and integral family of fs targets  $X \rightarrow B$  where  $B$  is a smooth (not necessarily proper) curve with only one marked point  $0 \in B$ . The log structure on  $B$  is given by the divisor  $0 \in B$ . Consider again a geometric fiber of punctured maps  $f : C/S \rightarrow X/B$ . If the image of  $S$  in  $B$  is not  $0$ , then this reduce to the case as above.

**Proposition 3.5.5.** *Consider a punctured map  $f : C/S \rightarrow X_0/0$ . Denote by  $G$  the marked graph associated to  $f : C/S \rightarrow X_0$ . Then*

- (1) *For every  $v \in V(G)$  and  $l \in E(G)$ , there is a natural map  $\mathbb{N} \cong \overline{\mathcal{M}}_0 \rightarrow P_v$  and  $\mathbb{N} \cong \overline{\mathcal{M}}_0 \rightarrow P_l$  which is compatible with the generalizing map  $P_l \rightarrow P_v$  if  $l$  is attached to  $v$ .*
- (2) *For each  $l \in E(G)$ , the image of the composition  $\mathbb{N} \rightarrow P_l \rightarrow \mathbb{Z}$  is zero, where the section arrow is the contact order map.*

Thus,  $G$  becomes a degenerate graph.

*Proof.* Verify directly. ♠

def:min-degenerate

**Definition 3.5.6.** A punctured map  $f : C/S \rightarrow X/B$  is called *minimal*, if the punctured map  $f : C/S \rightarrow X$  by forgetting the base  $B$  is minimal.

Minimality for the family case is again an open condition by the above definition and Proposition 3.5.4.

prop:min-universal

**Proposition 3.5.7.** *Consider a punctured map  $f : C/S \rightarrow X/B$ , where  $B$  could be a point with the trivial log structure, or the marked curve  $(B, 0)$  as above. There is a minimal punctured map  $f_m : C_m/S_m \rightarrow X/B$  and a morphism of fs log schemes  $\theta : S \rightarrow S_m$  which fits in a commutative diagram:*

$$\begin{array}{ccc} S & \xrightarrow{\theta} & S_m \\ & \searrow & \swarrow \\ & & B \end{array}$$

such that

- (1) *The underlying map  $\theta$  is the identity of  $\underline{S}$ .*
- (2) *The  $f$  is the pull-back of  $f_m$  via  $\theta$ .*
- (3) *The pair  $(f_m, \theta)$  is unique up to a unique isomorphism.*

*Proof.* Similar to the log case. ♠

### 3.6. Open immersion of log stack induced by generalizing maps.

For a sharp fine monoid  $P$ , denote by

$$\mathcal{T}_P := \left[ \text{Spec } k[P] / \text{Spec } k[P^{gp}] \right]$$

where the quotient is the stack quotient. Note that the stack  $\mathcal{T}_P$  has a natural fs log structure  $\mathcal{M}_{\mathcal{T}_P}$  given by the log scheme  $T_P$ , see [Ols03a]. For simplicity, we sometimes view  $\mathcal{T}_P$  as the log stack with its natural log structures, if no confusion could arise.

Consider a generalizing map of monoids  $h : P \rightarrow Q$ . Denote by  $K = \ker(h : P \rightarrow Q)$ . Write  $N = K^{-1}P \subset P^{gp}$  the submonoid generated by inverting  $K$  in  $P$ . We thus have an exact sequence of monoids:

$$\boxed{\text{equ:monoid-contraction}} \quad (3.6.1) \quad 0 \rightarrow K^{gp} \rightarrow N \xrightarrow{h'} Q \rightarrow 0.$$

Namely, for any  $a, b \in N$  such that  $h'(a) = h'(b)$ , then  $(a - b) \in K^{gp}$ .

$\boxed{\text{lem:mon-cri}}$  **Lemma 3.6.1.** *Consider an element  $e \in P^{gp}$ . Then  $e \in N$  if and only if  $h^{gp}(e) \in Q$ .*

*Proof.* This follows from the exact sequence 3.6.1.  $\spadesuit$

Since  $h$  is generalizing, we have an exact sequence of free abelian groups of finite rank

$$0 \rightarrow K^{gp} \rightarrow P^{gp} \rightarrow Q^{gp} \rightarrow 0.$$

We fix a (non-canonical) splitting

$$(3.6.2) \quad P^{gp} \cong K^{gp} \oplus Q^{gp}.$$

We then identify  $Q$  as a submonoid of  $P^{gp}$  via the second factor.

$\boxed{\text{lem:mon-factor}}$  **Lemma 3.6.2.** *With the fixed splitting as above, the inclusion  $q : Q \hookrightarrow P^{gp}$  factors through  $N$ .*

*Proof.* This follows directly from Lemma 3.6.1.  $\spadesuit$

By abuse of notation, denote by  $q : Q \rightarrow N$  the inclusion. Thus  $q$  becomes a section of  $h'$ . Now consider the injection of monoids

$$P \rightarrow N.$$

This induces a strict open embedding of log schemes:

$$\text{Spec}(N \rightarrow k[N]) \rightarrow \text{Spec}(P \rightarrow k[P]),$$

which is  $\text{Spec } k[P^{gp}]$ -equivalent. Consequently, we have a strict open immersion of log stacks:

$$\boxed{\text{equ:log-stack-embd}} \quad (3.6.3) \quad \mathcal{T}_N \rightarrow \mathcal{T}_P.$$

$\boxed{\text{lem:log-stack-iso}}$  **Lemma 3.6.3.** *There is a canonical isomorphism of log stacks*

$$\mathcal{T}_N \rightarrow \mathcal{T}_Q.$$

*Proof.* Note that for any scheme  $X$ , the groupoid  $\mathcal{T}_P(\underline{X})$  consists of log structures  $\mathcal{M}$  on  $\underline{X}$ , which admit maps (not necessarily unique)  $P \rightarrow \overline{\mathcal{M}}$  that locally lifts to a chart. We refer to [Ols03a] for the detail. We construct the map  $\mathcal{T}_N \rightarrow \mathcal{T}_Q$  as follows.

Consider a log structure  $\mathcal{M}$  on  $\underline{X}$  with the map  $\beta : N \rightarrow \overline{\mathcal{M}}$  which locally lifts to a chart. Since  $\overline{\mathcal{M}}$  is a sheaf of fs sharp monoid, we have the following commutative diagram

`equ:mon-charts`

(3.6.4)

$$\begin{array}{ccc} N & \xrightarrow{h'} & Q \\ & \searrow \beta & \swarrow \beta' \\ & \mathcal{M} & \end{array}$$

Note that if locally the map  $\beta$  lifts to a chart  $N \rightarrow \mathcal{M}$ , then we obtain another chart  $Q \rightarrow N \rightarrow \mathcal{M}$  by composing with  $q$ , which lifts  $\beta'$ . Thus, we obtain an object  $(\mathcal{N}, \beta') \in \mathcal{T}_Q(\underline{X})$ .

To see that the map  $\mathcal{T}_N \rightarrow \mathcal{T}_Q$  is essentially surjective, consider an object  $(\mathcal{N}, \beta') \in \mathcal{T}_Q(\underline{X})$ . Then the image of the pair  $(\mathcal{N}, \beta = \beta' \circ h') \in \mathcal{T}_N(\underline{X})$  is isomorphic to  $(\mathcal{N}, \beta')$ , since  $q$  is a section of  $h'$ .

Now the full faithfulness follows from the fact that the map  $\mathcal{T}_N \rightarrow \mathcal{T}_Q$  does not change the log structure. This finishes the proof of the statement. ♠

`prop:log-stack-embd`

**Proposition 3.6.4.** *For any generalizing map  $P \rightarrow Q$ , we have a canonical open strict embedding of the associated log stacks*

$$h_{\mathcal{T}} : \mathcal{T}_Q \rightarrow \mathcal{T}_P.$$

*Proof.* This follows from (3.6.3) and Lemma 3.6.3. ♠

For simplicity, we use the notation  $\mathcal{T}_G := \mathcal{T}_{\overline{\mathcal{M}}(G)}$  for a marked graph  $G$ . Consider a contraction of marked graphs  $\phi : G_1 \rightarrow G_2$ . Thus we have a generalizing map  $\phi_{\overline{\mathcal{M}}} : \overline{\mathcal{M}}(G_1) \rightarrow \overline{\mathcal{M}}(G_2)$ . Combine this with Proposition 3.6.4, we have

`cor:asso-stack-embd`

**Corollary 3.6.5.** *For a contraction of marked graphs  $\phi : G_1 \rightarrow G_2$ , we have a natural open immersion of log stacks*

$$\phi_{\mathcal{T}} : \mathcal{T}_{G_2} \rightarrow \mathcal{T}_{G_1}.$$

**3.7. Log stacks charted by graphs.** Let  $\mathfrak{G}$  be the category  $\mathfrak{G}_{\mathbf{c}}$ ,  $\mathfrak{G}_{\mathbf{c}}^d$ , or  $\mathfrak{G}(G_0)$  where  $\mathbf{c}$  is the set of contact orders of the legs, and  $G_0$  is a fixed splitting graph. Denote by  $\mathfrak{G}^\circ$  the dual category of  $\mathfrak{G}$ . Consider the log stack given by the following limit:

$$\mathcal{T}_{\mathfrak{G}} := \varinjlim_{\mathfrak{G}^\circ} \mathcal{T}_G.$$

`prop:rel-graph-stack`

- Proposition 3.7.1.**
- (1) *The log stack  $\mathcal{T}_{\mathfrak{G}}$  is irreducible, connected, and log smooth of pure dimension 0.*
  - (2) *The canonical log map  $\mathcal{T}_G \rightarrow \mathcal{T}_{\mathfrak{G}}$  is a strict open embedding for any  $G \in \mathfrak{G}$ .*
  - (3) *The set  $\{\mathcal{T}_G \rightarrow \mathcal{T}_{\mathfrak{G}}\}_{G \in \mathfrak{G}}$  forms an open covering of  $\mathcal{T}_{\mathfrak{G}}$ .*
  - (4) *For any family of minimal punctured maps  $f : C/S \rightarrow X$ , there is a canonical strict log map  $S \rightarrow \mathcal{T}_{\mathfrak{G}}$ .*

*Proof.* This follows from the limit construction.  $\spadesuit$

**Definition 3.7.2.** The stack  $\mathcal{T}_{\mathfrak{G}}$  is called the *log stack charted by  $\mathfrak{G}$* . Denote by  $\mathcal{M}_{\mathcal{T}_{\mathfrak{G}}}$  its natural log structure.

Denote by  $\mathfrak{D}$  the category of distinguished marked graphs associated to graphs in  $\mathfrak{G}$ . We can similarly take the limit:

$$\mathcal{T}_{\mathfrak{D}} := \varinjlim_{\mathfrak{D}^\circ} \mathcal{T}_G.$$

Note that for each marked graph  $G$ , we can associate a distinguished graph  $G^d$  and a canonical contraction  $G \rightarrow G^d$ . The splitting given in Proposition 3.2.6 induces a natural isomorphism

$$\text{equ:nd-monoid-split} \quad (3.7.1) \quad \mathcal{T}_G \cong \mathcal{T}_{G^d} \times \mathcal{A}^n,$$

where  $l$  is the number of non-distinguished edges in  $G$ , and  $\mathcal{A} = [\mathbb{A}^1/\mathbb{G}_m]$ . This induces a natural smooth projection

$$\text{equ:contract-logstack} \quad (3.7.2) \quad \mathcal{T}_G \rightarrow \mathcal{T}_{G^d}.$$

Gluing above projection, we obtain

$\text{cor:stack-contract-nd}$  **Corollary 3.7.3.** *There is a natural log morphism*

$$\pi_{\mathfrak{D}} : \mathcal{T}_{\mathfrak{G}} \rightarrow \mathcal{T}_{\mathfrak{D}}$$

*which is induced by (3.7.2). Furthermore the underlying map  $\pi_{\mathfrak{D}}$  and is a smooth morphism of the underlying stacks.*

We fix a connected smooth curve  $\underline{B}$  with a special point  $0 \in \underline{B}$ . Then the pair  $(\underline{B}, 0)$  can be viewed as a log scheme  $B$  with underlying structure given by  $\underline{B}$ , and the log structure given by the divisor 0. Thus, we have an induced strict map

$$\text{equ:log-point-curve} \quad (3.7.3) \quad B \rightarrow \mathcal{A}.$$

Given a degenerate graph  $G$ , the composition

$$\mathbb{N} \xrightarrow{\pi_G} \overline{\mathcal{M}}(G) \longrightarrow \overline{\mathcal{M}}_{\mathcal{T}_G}$$

induces a rank 1 sub-log structure of  $\mathcal{M}_{\mathcal{T}_G}$ , hence a log map

$$\pi_G : \mathcal{T}_G \rightarrow \mathcal{A}.$$

We still use  $\pi_G$  to denote this map.

**Lemma 3.7.4.** *Consider a contraction of degenerate graphs  $\phi : G_1 \rightarrow G_2$ . We have a commutative diagram of log stacks:*

$$\begin{array}{ccc} \mathcal{T}_{G_2} & \xrightarrow{\phi_{\mathcal{T}}} & \mathcal{T}_{G_1} \\ \pi_{G_2} \searrow & & \swarrow \pi_{G_1} \\ & \mathcal{A} & \end{array}$$

*Proof.* This follows from Proposition 3.2.9.  $\spadesuit$

Denote by  $\mathfrak{G}$  the category of degenerate graphs  $\mathfrak{G}_c^d$  or  $\mathfrak{G}(G_0)$ . We consider the fibered product of log stacks:

$$\mathfrak{T}_{\mathfrak{G}} = \mathcal{T}_{\mathfrak{G}} \times_{\mathcal{A}} B.$$

Since the map  $B \rightarrow \mathcal{A}$  is strict, the log structure on  $\mathfrak{T}_{\mathfrak{G}}$  is the pull-back log structure from  $\mathcal{T}_G$ .

**Definition 3.7.5.** The log stack  $\mathfrak{T}_{\mathfrak{G}}$  is called *the log stack charted by degenerate graphs in  $\mathfrak{G}$* .

Similarly, we have

- Proposition 3.7.6.** (1) *The stack  $\mathfrak{T}_{\mathfrak{G}}$  is irreducible, and log smooth of pure dimension 1.*  
(2) *There is a set of strict étale charts  $\{\mathcal{T}_G \times_{\mathcal{A}} B \rightarrow \mathfrak{T}_{\mathfrak{G}}\}$ .*  
(3) *For any minimal punctured maps  $f : C/S \rightarrow X/B$ , we have a canonical strict log map  $S \rightarrow \mathfrak{T}_{\mathfrak{G}}$ .*

We now consider the category  $\mathfrak{D}$  consists of distinguished graphs associated to graphs in the category  $\mathfrak{G}$  of degenerate graphs. Combining the above with Corollary 3.7.3, we have

**Corollary 3.7.7.** *There is a natural log morphism*

$$\pi_{\mathfrak{D}} : \mathfrak{T}_{\mathfrak{G}} \rightarrow \mathfrak{T}_{\mathfrak{D}}$$

*which is induced by the contractions of non-distinguished edges. Furthermore the underlying map  $\pi_{\mathfrak{D}}$  and is a smooth morphism of the underlying stacks.*

Denote by  $\Omega_c$  the set of splitting graphs with fixed contact orders on legs. Consider the stack

$$\Omega = \mathfrak{T}_{\mathfrak{G}_c^d} \times_B 0.$$

This stack is reducible and non-reduced in general. We consider the similar log stack

$$\Omega_{G_0} = \mathfrak{T}_{\mathfrak{G}(G_0)} \times_B 0.$$

This stack is again non-reduced and irreducible. In fact, over each chart  $\mathcal{T}_G \times_{\mathcal{A}} B \rightarrow \mathfrak{T}_{\mathfrak{G}}$ , the substack  $\Omega_{G_0}$  is defined by  $\alpha(e_0) = 0$ , where  $e_0$  is the local generator of  $\mathcal{M}_B$ . We have

**Proposition 3.7.8.** *The log stack  $\Omega$  is of pure dimension zero, and has irreducible components given by  $\{\Omega_{G_0}\}_{G_0 \in \Omega_c}$ .*

Denote by  $e_{G_0}$  the local generator of  $\mathcal{T}_{G_0}$ . Denote by  $\mathfrak{T}_{G_0} \subset \Omega_{G_0}$  the substack locally defined by  $\alpha(e_{G_0}) = 0$ .

**Proposition 3.7.9.** *The stack  $\mathfrak{T}_{G_0}$  is reduced, and of pure dimension zero. The map  $\mathfrak{T}_{G_0} \rightarrow \Omega_{G_0}$  is a closed embedding of degree  $n_{G_0}^{-1}$ .*

*Proof.* Note that  $e_0 = n_{G_0} \cdot e_{G_0}$ . ♠

We can similarly formulate the statements for the category  $\mathfrak{D}$ .

4. THE STACK OF PUNCTURED MAPS

**Notation 4.0.10.** Consider a projective, integral fs log map  $\pi : X \rightarrow B$ . We use  $\Gamma$  to denote the set of data  $(g, n, \{c_i\}_{i=1}^n)$ , where  $c_i \in \text{Con}(X)$  is the contact order of the  $i$ -th marked points. Denote by  $\mathfrak{M}_\Gamma(X/B)$  the category of stable punctured map to  $X \rightarrow B$  with genus  $g$ ,  $n$  marked points, and the prescribed contact orders  $c_i$  for the  $i$ -th marking, fibered over the category of fs log schemes. If  $B$  is a point with trivial log structure, then we write  $\mathfrak{M}_\Gamma(X)$  for this fibered category.

The goal of the section is to prove that  $\mathfrak{M}_\Gamma(X)$  is represented by the proper DM stack parametrizing minimal punctured maps with its natural log structure. Repeat the argument in [AC], we obtain the construction for  $\mathfrak{M}_\Gamma(X/B)$ .

**4.1. Algebricity and boundedness.** Algebricity should follow from the construction of Hom of log structures. But for the purpose of boundedness, we need to modify the proof to add the data of prescribed poles.

**4.2. Weak valuative criterion.** The proof of this is the same as log maps.

5. THE DEFORMATION THEORY OF PUNCTURED MAPS

sec:deformation

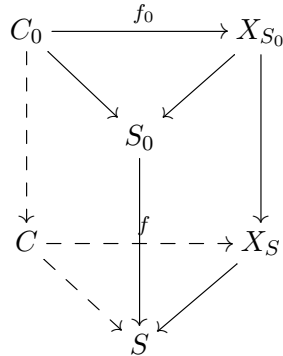
In this section, we fix a projective family of integral and log smooth target with fs log structures  $X \rightarrow B$ . We first investigate the deformation theory of punctured maps with target  $X \rightarrow B$ . This construction naturally generalizes the deformation theory of log maps. We then use the result to construct a perfect obstruction theory of punctured maps. For any fs log scheme  $T$  over  $B$ , write  $X_T := X \times_B T$ .

ss:small-extension

**5.1. Deformation over small extensions.** Consider a punctured map  $f_0 : C_0/S_0 \rightarrow X/B$  over an affine fs log scheme  $S_0$  relative to  $B$ . Choose another fs log scheme  $S$  over  $B$  with a exact closed immersion  $j : S_0 \rightarrow S$ . Denote by  $J$  the ideal sheaf of  $S_0$  in  $S$ . Assume further that  $J^2 = 0$ , i.e.  $S$  is a small extension of  $S_0$ . We would like to classify the deformations of  $f_0$ , i.e. for the commutative diagram of solid arrows:

diag:total-deformation

(5.1.1)



we would like to classify punctured maps  $f : C/S \rightarrow X/B$  making the above diagram commutes, such that the two side squares are cartesian. Since  $j : S_0 \rightarrow S$  is a small extension, they have the same topological space and étale topology. Write  $\Omega := f_0^* \Omega_{X/B}$  the pull-back of the vector bundle of log differentials, and  $I := \mathcal{O}_C \otimes_{\mathcal{O}_S} J \cong \mathcal{O}_{C_0} \otimes J$  the sheaf of ideal defining  $C_0 \subset C$ .

We first look at an easier situation. Assume that we have an extension  $C \rightarrow S$  of the log curve  $C_0 \rightarrow S_0$ . Then we get a diagram of solid arrows:

diag:arrow-deformation

$$(5.1.2) \quad \begin{array}{ccc} C_0 & \xrightarrow{f_0} & X_{S_0} \\ \downarrow & & \downarrow \\ C & \xrightarrow{f} & X_S. \end{array}$$

lem:arrow-deformation

- Lemma 5.1.1.** (1) *There is a canonical obstruction  $o \in \text{Ext}^1(\Omega, I)$ , whose vanishing is sufficient and necessary for the extension of the extension  $f$  of the punctured map  $f_0$ .*
- (2) *If  $o = 0$ , then the set of isomorphism classes form a torsor under the group  $\text{Ext}^0(\Omega, I)$ .*

*Proof.* Note that away from the marked points, the punctured map  $f_0$  is a well-defined log map. Hence the statement follows from [Ols05, Theorem 5.9]. Thus, we may assume that  $C_0$  is a smooth affine curve with a unique marking  $\Sigma \subset C_0$ .

Now assume that we have two extensions  $f_1 : C \rightarrow X_S$  and  $f_2 : C \rightarrow X_S$  of  $f_0$ . These yield a commutative diagram:

$$\begin{array}{ccc} C_0 & \xrightarrow{\quad} & X \\ \downarrow f_1 & \nearrow & \downarrow \\ C & \xrightarrow{g} & B. \end{array}$$

Away from  $\Sigma$ , we thus have a commutative diagram of log structures over  $C_0 \setminus \Sigma$ :

$$\begin{array}{ccc} \mathcal{M}_{C_0} & \xleftarrow{f_2^*} & f_0^* \mathcal{M}_X \\ \uparrow k & \nearrow & \uparrow \\ \mathcal{M}_C & \xleftarrow{f_1^*} & g^* \mathcal{M}_B \end{array}$$

As in [Ogu06, Chapter IV Section 2] or [ACG<sup>+</sup>, Section 3], we can define a morphism of sheaf of groups  $D_{f_1-f_2} : f_0^{-1} \mathcal{M}_X^{gp} \rightarrow I$  such that for every  $m \in f_0^* \mathcal{M}_X$  we have

$$(f_1 - f_2)(m) = 1 + D_{f_1-f_2}(m).$$

This defines a derivation  $D_{f_1-f_2} \in \text{Hom}_{C_0 \setminus \Sigma}(\Omega, I)$ . We now check that  $D_{f_1-f_2}$  naturally extends to a section in  $\text{Hom}_{C_0}(\Omega, I)$ .

Choose a point  $p \in \Sigma$ . Locally around  $p$ , we fix a chart

$$\beta : P := f_0^{-1} \overline{\mathcal{M}}_{X, \Sigma} \rightarrow f_0^* \mathcal{M}_{X, \Sigma}.$$

Denote by  $c : P \rightarrow \mathbb{Z}$  the contact order at  $p$ , and  $\sigma \in \mathcal{M}_{C_0}$  the section locally at  $p$  corresponding to the marking  $\Sigma$ . Thus, for any  $m \in f_0^* \overline{\mathcal{M}}_X$  we have

$$(f_1 - f_2)(\beta(m)) = (\log u_1 + e + c(m) \cdot \sigma) - (\log u_1 + e + c(m) \cdot \sigma) = \log u_1 - \log u_2,$$

where  $u_1$  and  $u_2$  are locally invertible sections around  $p$ , and  $e$  is a section of  $\mathcal{M}_S$ . This proves that  $D_{f_1 - f_2} \in \text{Hom}_{C_0}(\Omega, I)$ .

Also notice that we have a commutative diagram of the structure sheaves:

$$\begin{array}{ccc} \mathcal{O}_{C_0} & \xleftarrow{f_2^\#} & f_0^{-1} \mathcal{M}_X \\ \uparrow & \swarrow & \uparrow \\ \mathcal{O}_C & \xleftarrow{f_1^\#} & g^{-1} \mathcal{O}_B \end{array}$$

which induce a derivation  $\partial_{f_1 - f_2} : f_0^{-1} \mathcal{O}_X \rightarrow I$  as usual. It is well-known that  $D_{f_1 - f_2}$  uniquely determines  $\partial_{f_1 - f_2}$ .

On the other hand, given one extension  $f_1$  and a section  $D \in \text{Hom}_{C_0}(\Omega, I)$  we obtain a different extension  $f_2$  by reversing the above construction.

Now the statement follows from the standard cocycle argument of the deformation theory.  $\spadesuit$

Now we turn to the situation of (5.1.1). It is not hard to check that the punctured map  $f_0$  induces a morphism of the sheaves of logarithmic differentials:

$$(df_0)^\vee : \Omega \rightarrow \Omega_{C_0/S_0}.$$

Denote by  $\mathbb{L}$  the cone of  $(df_0)^\vee$ , i.e. we have a distinguished triangle:

$$\boxed{\text{cplx:deformation}} \quad (5.1.3) \quad \Omega \rightarrow \Omega_{C_0/S_0} \rightarrow \mathbb{L}.$$

Recall that the deformations of log curves is controlled by the  $\text{Ext}^i(\Omega_{C_0/S_0}, I)$  for  $i = 0, 1, 2$ . We refer to [Ols05, Theorem 5.6] for the precise statement. Combining the deformation of the source curve and the result of Lemma 5.1.1, we have

- $\boxed{\text{prop:total-deformation}}$  **Proposition 5.1.2.** *(1) There is a canonical obstruction  $o \in \text{Ext}^2(\mathbb{L}, I)$ , whose vanishing is sufficient and necessary for the existence of the extensions as in (5.1.1).*
- (2) If  $o = 0$ , then the set of isomorphism classes of such extension forms a torsor under the group  $\text{Ext}^1(\mathbb{L}, I)$ .*
- (3) The group of automorphisms of a such extension is a torsor under  $\text{Ext}^0(\mathbb{L}, I)$ .*

*Proof.* Denote by  $Obs$  and  $Def$  the obstruction and deformation space for (5.1.1). Taking the long exact sequence of (5.1.3), we have

cplx:deformation-seq

$$(5.1.4) \quad \begin{aligned} 0 &\longrightarrow Ext^0(\mathbb{L}, I) \longrightarrow Ext^0(\Omega_{C_0/S_0}, I) \longrightarrow Ext^0(\Omega, I) \\ &\longrightarrow Ext^1(\mathbb{L}, I) \longrightarrow Ext^1(\Omega_{C_0/S_0}, I) \longrightarrow Ext^1(\Omega, I) \\ &\longrightarrow Ext^2(\mathbb{L}, I) \longrightarrow Ext^2(\Omega_{C_0/S_0}, I) = 0 \end{aligned}$$

We fix the trivial deformation  $C \rightarrow S$  of the source log curve  $C_0 \rightarrow S_0$ . Then any obstruction of the arrow  $f_0$  as in (5.1.2) induces an obstruction in  $Obs$ . We thus obtain an arrow  $Ext^1(\Omega, I) \rightarrow Obs$ . The kernel of this map is  $Ext^1(\Omega_{C_0/S_0}, I)$ . In fact, we can take the trivial deformation of  $f_0$  associated to each deformation of the source log curves. By forgetting the obstruction of the arrow, we have a natural map  $Obs \rightarrow Ext^2(\Omega_{C_0/S_0}, I)$ . But since the deformation of log curves are unobstructed. This means that the map  $Ext^1(\Omega, I) \rightarrow Obs$  is surjective. Comparing with (5.1.4), we conclude that  $Obs \cong Ext^2(\mathbb{L}, I)$ .

When the obstruction vanishes, we have a natural map

$$Def \rightarrow Ext^1(\Omega_{C_0/S_0}, I)$$

by forgetting the deformation of the maps. The sequence

$$Def \rightarrow Ext^1(\Omega_{C_0/S_0}, I) \rightarrow Ext^1(\Omega, I)$$

is certainly exact for the vanishing of the obstruction.

On the other hand, fixing the trivial deformation of the source curve, there is an induced map

$$Ext^0(\Omega, I) \rightarrow Def.$$

We claim that the sequence

$$Ext^0(\Omega_{C_0/S_0}, I) \rightarrow Ext^0(\Omega, I) \rightarrow Def \rightarrow Ext^1(\Omega_{C_0/S_0}, I)$$

is exact. In fact, the image  $\text{im}(Ext^0(\Omega, I) \rightarrow Def)$  is precisely the deformations with trivial source curve extensions by definition, and the image  $\text{im}(Ext^0(\Omega_{C_0/S_0}, I) \rightarrow Ext^0(\Omega, I))$  is the extension of the map determined by the automorphism of the source curves. Again, comparing with (5.1.4), we conclude that  $Def \cong Ext^1(\mathbb{L}, I)$ .

Finally, elements in  $Ext^0(\mathbb{L}, I)$  correspond to automorphisms of the extension of  $C_0 \rightarrow S_0$ , which induce the trivial deformation of  $f_0$ . This proves the last statement.  $\spadesuit$

**5.2. A perfect obstruction theory of punctured maps.** This can be constructed relative to the stack of base log structures similarly as the case of expanded degeneration. The formation will commutes with arbitrary base change.

**5.3. The Splitting formula.** Follows from the push forward formula of virtual cycles and the formation of the perfect obstruction theory.

## 6. GLUING FORMULA

### APPENDIX A. STACK OF NODES

**A.1.  $P$ -log points.** Fix a fs sharp monoid  $P$ . In the paper, we only need  $P = \mathbb{N}^2$ . Denote by

$$\mathbb{B}_P = [\mathrm{Spec} k / \mathrm{Spec} k[P^{gp}]]$$

and

$$\mathcal{A}_P = [\mathrm{Spec} k[P] / \mathrm{Spec} k[P^{gp}]]$$

where the quotients is given by the stack quotient. Thus, we have a natural closed embedding

$$(A.1.1) \quad \mathbb{B}_P \rightarrow \mathcal{A}_P.$$

equ:quotient-embedding

def:p-point

**Definition A.1.1.** A family of *standard  $P$ -log points over  $\underline{S}$*  is given by a log scheme  $(\underline{S}, \mathcal{N}_S)$  such that for each geometric point  $\bar{s} \in \underline{S}$ , we have  $\mathcal{N}_{S, \bar{s}} \cong P$ . A family of  *$P$ -log points over a (fs) log scheme  $S$*  is given by a family  $S' = (\underline{S}, \mathcal{N}_S \oplus \mathcal{M}_S) \rightarrow S$ , where the arrow is given by the canonical injection of log structures  $\mathcal{M}_S \rightarrow \mathcal{N}_S \oplus \mathcal{M}_S$ .

cor:p-point

**Corollary A.1.2.** *For any  $P$ -log points  $S' \rightarrow S$  as in the definition, we have a unique (up to a unique isomorphism) standard  $P$ -log points  $(\underline{S}, \mathcal{M}'_S)$  with the following log cartesian diagram:*

$$\begin{array}{ccc} S' & \longrightarrow & (\underline{S}, \mathcal{M}'_S) \\ \downarrow & & \downarrow \\ S & \longrightarrow & \underline{S}. \end{array}$$

Note that we have a natural embedding (A.1.1). Denote by  $\mathcal{N}_P$  the natural log structure on  $\mathbb{B}_P$  obtained by pulling-back the canonical log structure of  $\mathcal{A}_P$ . We denote by  $\mathbb{B}_P$  and  $\mathbb{B}'_P$  the log stack with trivial log structure and the natural log structure  $\mathcal{N}_P$  respectively. The following result is obvious:

prop:p-point

**Proposition A.1.3.** (1) *The stack  $\mathbb{B}_P$  with its trivial log structure parametrizes family of standard  $P$ -log points over schemes.*  
 (2) *The log stack  $\mathbb{B}_P$  parametrizes family of  $P$ -log points over log schemes. Furthermore, the universal family is given by  $\mathbb{B}'_P$ .*

*Proof.* This follows directly from Corollary A.1.2. ♠

### A.2. Construction of the stacks.

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