

Notes on Rings and Polynomials: The purpose of these notes is to give a businesslike account of what you need to know from ring theory for this class.

Let $\mathbf{F}$ be a field. A *polynomial* in $\mathbf{F}$ is an expression of the form

$$P(x) = a_0 + a_1x + \dots + a_nx^n, \quad a_0, \dots, a_n \in \mathbf{F}.$$

Assuming a_n is nonzero, the *degree* of P is n . We denote this by writing $\deg(P) = n$. The set of all polynomials is a ring with respect to the usual addition and multiplication. It is denoted $\mathbf{F}[x]$. There are 4 basic facts we want to know:

1. $\mathbf{F}[x]$ is a Euclidean domain.
2. $\mathbf{F}[x]$ is a Principle Ideal Domain.
3. If P is an irreducible polynomial then $\mathbf{F}[x]/(P)$ is a field.
4. $\mathbf{F}[x]$ is a Unique Factorization Domain.

I'll prove these statements in turn, and give relevant definitions as I go.

Euclidean Domain: We begin with a preliminary lemma.

Lemma 0.1 *If P and Q are polynomials with $\deg(Q) \geq \deg(P)$ then we can find some polynomial D_1 such that $\deg(Q - D_1P) < \deg(Q)$.*

Proof: Let $K = \deg(Q) - \deg(P)$. Write

$$P = a_0 + \dots + a_nx^n, \quad Q = b_0 + \dots + b_{n+K}x^{n+K},$$

with $a_n \neq 0$. Let $D_1 = (b_{n+K}/a_n)x^K$. We compute that

$$Q - D_1P = b_0 + \dots + b_{n+K-1}x^{n+K-1}.$$

Hence $\deg(Q - D_1P) \leq n + K - 1 < \deg(Q)$. ♠

Theorem 0.2 *$\mathbf{F}[x]$ is a Euclidean Domain. In other words, given any polynomials P and Q then there is some polynomial D such that $Q = DP + R$ where either $R = 0$ or $\deg(R) < \deg(P)$.*

Proof: If $\deg(Q) < \deg(P)$ we can take $D = 0$ and $R = Q$. Now assume that $\deg(Q) \geq \deg(P)$. The proof goes by induction on $\deg(Q) - \deg(P)$. By Lemma 0.1 we can find some polynomial D_1 such that $\deg(Q - D_1P) < \deg(Q)$. Let $Q_1 = Q - D_1P$. Since $\deg(Q_1) < \deg(Q)$ we can use the induction step to find some polynomial D_2 such that $Q_1 = D_2P + R$ with either $R = 0$ or $\deg(R) < \deg(P)$. But then we have

$$Q = Q_1 + D_1P = (D_2P + R) + D_1P = (D_1 + D_2)P + R.$$

Setting $D = D_1 + D_2$ we have $Q = DP + R$, as desired. ♠

Principle Ideal Domain: An *ideal* in $\mathbf{F}[x]$ is any sub-ring $I \subset \mathbf{F}[x]$ such that $PI \subset I$ for all $r \in \mathbf{F}[x]$. Here $PI = \{PQ \mid Q \in I\}$. I is called *principle* if there is a single element $P \in \mathbf{F}[x]$ such that $I = P\mathbf{F}[x]$. In other words, every element of I is a multiple of P . We will write $I = (P)$ in this case.

Theorem 0.3 $\mathbf{F}[x]$ is a PID: Every ideal is principle.

Proof: Choose some $P \in I$ which has smallest degree. We want to show that every other $Q \in I$ is a multiple of P . Suppose not. Since $\mathbf{F}[x]$ is a Euclidean Domain, we can write $Q = DP + R$ where $\deg(R) < \deg(P)$ and R is nonzero. (Otherwise Q is a multiple of P .) Note that $R = Q - DP \in I$ because I is an ideal. This contradicts the fact that P is the member of I with smallest degree. ♠

Maximal Ideals: In the definitions to follow, we insist that the polynomial P is not a constant polynomial. That is, $\deg(P) \geq 1$. The polynomial P is *reducible* if $P = AB$ where A and B are two polynomials such that $\deg(A) < \deg(P)$ and $\deg(B) < \deg(P)$. If P is not reducible, it is called *irreducible*. When polynomials P and A are multiples of each other, they are called *associate*.

Lemma 0.4 If P is irreducible and a multiple of some polynomial A , then A is either constant or an associate of P .

Proof: If $P = AB$ then $\deg(P) = \deg(A) + \deg(B)$. When P is irreducible, we have $\deg(A) = 0$ or $\deg(B) = 0$. In the first case A is a constant and in the second case A is an associate of P . ♠

An ideal $I \subset \mathbf{F}[x]$ is *maximal* if there is no other ideal J such that $I \subset J$ and $J \neq I$ and $J \neq \mathbf{F}[x]$.

Lemma 0.5 *If P is irreducible, then the ideal (P) is maximal.*

Proof: Let $I = (P)$. If I is not maximal, then we can find the above kind of J . Since $\mathbf{F}[x]$ is a PID, all elements of I , including P , are multiples of some $S \in J$. But since P is irreducible either P and S are associates or else S is a constant. In the first case $I = J$ and in the second case $J = \mathbf{F}[x]$. This contradiction shows that I is maximal. ♠

Lemma 0.6 *Suppose that R is a commutative ring with 1 which has no ideals other than the trivial ideal and R . Then R is a field.*

Proof: Let $a \in R$ be arbitrary nonzero element. Consider the ideal (a) consisting of all multiples of a . Since (a) contains $1a = a$, it is nontrivial. Hence $(a) = R$. But then $1 \in (a)$. So, there is some $b \in R$ such that $ab = 1$. This shows that $R - \{0\}$ is an abelian group. Hence R is a field. ♠

Now for the main result.

Theorem 0.7 *If P is irreducible, then the quotient ring $\mathbf{F}[x]/(P)$ is a field.*

Proof: Recall that $R = \mathbf{F}[x]/(P)$ consists of the cosets of P , namely elements of the form $a + (P)$ where a is some polynomial. The addition and multiplication laws are given by

$$[a_1 + (P)] + [a_2 + (P)] = [(a_1 + a_2) + (P)], \quad [a_1 + (P)][a_2 + (P)] = [(a_1 a_2) + (P)].$$

The element $1 + (P)$ serves as “the 1” in R , so R is a commutative ring with 1. Suppose, for the sake of contradiction, that R is not a field. Then R has some nontrivial proper ideal J . Let $I \subset R$ consists of all those cosets $a + (P)$ with $a \in J$. The addition and multiplication laws guarantee that I is an ideal of R . Note that $(P) \subset I$. Since (P) is maximal, $I = R$. But then $1 + (P) \in J$. Since J contains “the 1”, we must have $J = R$. This is a contradiction. Hence R is a field. ♠

Unique Factorization Domain: Now we show that $\mathbf{F}[x]$ is a unique factorization domain.

Lemma 0.8 *Every polynomial in $\mathbf{F}[x]$ factors into irreducibles.*

Proof: Let P be such a polynomial. The proof goes by induction on $\deg(P)$. If $\deg(P) \leq 1$ the result is true because there are no two positive integers whose sum is at most 1. In general, if P is reducible, we can write $P = AB$ where $\deg(A) < \deg(P)$ and $\deg(B) < \deg(P)$. But then, by induction, A and B factor into irreducibles. Multiply these together and you get a factoring of P into irreducibles. ♠

To show that $\mathbf{F}[x]$ is a UFD, we want to show that the factorization above is unique. We need a few preliminary lemmas first.

Lemma 0.9 *Suppose that P is irreducible and Q is any other polynomial. Then either Q is a multiple of P or there are polynomials M and N such that $PM + QN = 1$.*

Proof: Let I be the set of polynomials of the form $PM + QN$. By construction I is an ideal. Let S be some element of I having minimal degree. We already know that every element of I is a multiple of S . Since $P \in I$, we know that P is a multiple of S . Since P is irreducible, either S is a constant or P is a multiple of S .

If S is a constant, then the equation $S = MP + NQ$ gives

$$1 = (M/S)P + (N/S)Q.$$

This works because $\mathbf{F}$ is a field. The other possibility is that $S = cP$ for some constant c . But then, since $Q \in I$, we know that Q is a multiple of cP . That is, $Q = D(cP)$. But then $Q = (cD)P$. Hence Q is a multiple of P . ♠

Lemma 0.10 *If P is irreducible and AB is a multiple of P then either A is a multiple of P or B is a multiple of P .*

Proof: If this is false, then by the previous lemma we have

$$1 = M_1P + N_1A, \quad 1 = M_2P + N_2A.$$

Multiply these together and collect terms, to give

$$1 = M_3P + N_3(AB).$$

Since $AB = DP$ we can write

$$1 = M_3P + N_3(DP) = M_4P.$$

But then $0 = \deg(M_4) + \deg(P)$, which is a contradiction. ♠

Lemma 0.11 *Suppose that A is irreducible and $B_1, \dots, B_n$ are irreducible. If $B_1 \dots B_n$ is a multiple of A then some B_j is an associate of A .*

Proof: By the preceding lemma, either B_1 is a multiple of A or else $B_2 \dots B_n$ is a multiple of A . Repeating this argument, either B_2 is a multiple of A or else $B_3 \dots B_n$ is a multiple of A . In this way we must reach some index j such that B_j is a multiple of A . But then B_j and A are associates. ♠

Theorem 0.12 *$F[x]$ is a UFD. That is, every polynomial factors uniquely into irreducibles, up to reordering the factors or replacing some of the factors by associates.*

Proof: We already have shown that any polynomial factors into irreducibles. We just have to take care of uniqueness. Suppose that

$$P = A_1 \dots A_m = B_1 \dots B_n$$

with all the factors irreducible. If the uniqueness fails, we can take a counter-example where m is as small as possible. For this minimal example, none of the A s is an associate of any of the B s because otherwise we could cancel off associates from both sides and get a smaller counter-example. However, since $B_1 \dots B_n$ is a multiple of A_1 , the previous lemma says that B_j is an associate of A_1 for some j . This is a contradiction. ♠