# Metric Space Worksheet

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This is a worksheet designed to bridge the gap between Math 1010 and Math 1130 at Brown University. Just read it and do all 53 execises.

### **1** Basic Definition

A metric space is a set X together with a function  $d: X \times X \to \mathbf{R}$  satisfying the following properties.

- 1.  $d(x, y) \ge 0$ , and d(x, y) = 0 if and only if x = y.
- 2. d(x,y) = d(y,x). (Symmetry)
- 3.  $d(x,y) + d(y,z) \le d(z,y)$ . (Triangle Inequality)

These axioms are meant to hold for all points  $x, y, z \in X$ . Often we will denote a metric space as a pair (X, d) where X is the set and d is the metric on X. Sometimes we will forget to mention d explicitly.

**Examples:** Here are some examples which indicate some of the variety of metric spaces.

- X is a single point  $\{x\}$ , and d(x, x) = 0.
- X can be any set. Define d(x, x) = 0, and d(x, y) = 1 when  $x \neq y$ . This is often called the *discrete metric*.
- $X = \mathbf{R}$ , the set of real numbers, and the metric is d(x, y) = |x y|. This example is the main topic of Math 1010. All example involving  $\mathbf{R}$  below implicitly use this metric.

•  $X = \mathbf{R}^n$ , and the metric is

$$d(X,Y) = \sqrt{(X-Y) \cdot (X-Y)}.$$

Here (·) denotes the dot product: If  $V = (v_1, ..., v_n)$  and  $W = (w_1, ..., w_n)$ then  $V \cdot W = \sum_{i=1}^n v_i w_i$ . If you think about it, the metric here is what you would get from the multi-dimensional Pythagorean Theorem. This is called the *Euclidean metric*, and also sometimes called the  $\ell_2$ -metric.

- $X = \mathbf{R}^n$  and  $d(X, Y) = \max_i |x_i x_i|$ . Here  $X = (x_1, ..., x_n)$  and  $Y = (y_1, ..., y_n)$ . This is often called the  $\ell_{\infty}$ -metric.
- $X = \mathbf{R}^n$  and (with the same notation as in the previous example)  $d(X, Y) = \sum_{i=1}^n |x_i x_i|$ . This is often called the  $\ell_1$ -metric.
- X is the set of infinite sequences  $\{a_n\}$  of real numbers, subject to the condition that

$$\sum_{n=1}^{\infty} a_n^2 < \infty$$

The metric is given by

$$d(\{a_n\},\{b_n\}) = \left(\sum_{n=1}^{\infty} (a_n - b_n)^2\right)^{1/2}$$

This space is known as  $\ell_2$ .

- $X = \mathbb{Z}$  with the metric  $d(m, n) = 2^{-n}$  where n is the maximum number of powers of 2 dividing m - n. For instance  $d(3, 51) = 2^{-4}$  because  $16 = 2^4$  divides 48 = 51 - 3 but  $32 = 2^5$  does not. If m = n we set d(m, n) = 0. This metric is called the 2-adic metric.
- $X = \mathbf{Z}$  with the same metric as in the previous case, except that you use a prime p in place of 2. This is called the *p*-adic metric on  $\mathbf{Z}$ .
- X is the vertex set of a connected graph (i.e. a collection of vertices connected by edges) and d(v, w) is the minimum number of edges in a path in the graph that joins v to w.

**Exercise 1:** Prove, in each of the above cases, that the object really is a metric space.

## 2 Open Sets

Let (X, d) be a metric space. Given  $p \in X$  and  $\epsilon > 0$ , we define

$$B_{\epsilon}(p) = \{q \mid d(p,q) < \epsilon\}.$$
(1)

The set  $B_{\epsilon}(p)$  is called the *open ball of radius*  $\epsilon$  *about* p. More abstractly, an *open ball* is defined to be  $B_{\epsilon}(p)$  for some choice of p and some  $\epsilon > 0$ . The reason for the terminology comes from the case of  $\mathbf{R}^n$  with the Euclidean metric.

**Exercise 2:** Suppose that  $B_1$  and  $B_2$  are open balls and  $p \in B_1 \cap B_2$  is a point in the intersection. Prove that there is a third open ball  $B_3$  such that  $p \in B_3$  and  $B_3 \subset B_1 \cap B_2$ . The solution to this uses the triangle inequality in a crucial way.

A subset  $U \subset X$  is called *open* if it has following property. If  $p \in U$  then there is some open ball B such that  $p \in B$  and  $B \subset U$ . The empty set (which technically does satisfy this property) is declared to be open.

**Exercise 3:** Prove that any union (even an infinite union) of open subsets of X is again open in X.

**Exercise 4:** Prove that any finite intersection of open subsets of X is an open subset of X. Note that you can just prove this for an intersection of 2 open sets and then use induction for the general case. Exercise 2 should come in handy here.

**Exercise 5:** Show by way of example that you can have an infinite intersection of open subsets of a metric space which is not open.

**Exercise 6:** Prove that an open ball is open according to the definition given.

**Exercise 7:** Suppose  $S \subset X$ . We can think of S as a metric space in its own right by restricting the metric d to S. Let  $U_S$  be an open subset of S. Prove that there is an open subset U of X such that  $U_S = U \cap S$ . Hint: Each point  $p \in U_S$  is contained in an open ball  $B'_{\epsilon}(p) \subset S$ . But  $B'_{\epsilon}(p) = B_{\epsilon}(p) \cap S$ , where  $B_{\epsilon}(p)$  is the corresponding open ball in X. Let U be the union of these X-balls.

#### 3 Continuous Maps

Let  $(X_1, d_1)$  and  $(X_2, d_2)$  be metric spaces. By a map  $f : X_1 \to X_2$  we mean a rule whose input is  $x_1 \in X_1$  and whose output is  $x_2 = f(x_1) \in X_2$ . The map f is meant to be defined on all points of  $X_1$ .

Given any subset  $S_2 \subset X_2$  we define  $f^{-1}(S_2)$  to be the subset  $S_1 \subset X_1$ such that  $x_1 \in S_1$  if and only if  $f(x_1) \in S_2$ . In other words,  $f(S_1) \subset S_2$  and  $S_1$  is the largest subset of  $X_1$  with this property.

The map f is said to be *continuous* if the following implication always holds: If  $U_2$  is an open subset of  $X_2$  then  $U_1 = f^{-1}(U_2)$  is an open subset of  $X_1$ . This definition looks rather different from the usual definition of continuity given in Math 1010. Let's reconcile this.

**Exercise 8:** Say that the map f is *old-school continuous* if it has the following property: For all  $x_1 \in X_1$  and all  $\epsilon > 0$  there is a  $\delta > 0$  such that if  $d_1(x_1, x'_1) < \delta$  then  $d_2(x_2, x'_2) < \epsilon$ . Here  $x_2 = f(x_1)$  and  $x'_2 = f(x'_1)$ . Prove that f is old-school continuous if and only if f is continuous.

**Exercise 9:** In case  $X_1 = X_2 = \mathbf{R}$  and  $d_1(x, y) = d_2(x, y) = |x - y|$  show that the old-school definition of continuity coincides with your memory of how continuous functions were defined in Math 1010.

Now suppose that  $X_3$  is a third metric space. If we have two given maps  $f: X_1 \to X_2$  and  $g: X_2 \to X_3$  the composition  $g \circ f$  is a map from  $X_1$  to  $X_3$ . It is defined like this:  $g \circ f(x) = g(f(x))$ .

**Exercise 10:** Prove that the composition of continuous maps is continuous. You will find that this is easier using the new definition of continuity.

Here are three more definitions about a map  $f: X_1 \to X_2$ .

- f is injective if f(x) = f(y) only when x = y. This is a.k.a. one-to-one.
- f is surjective if  $f(X_1) = X_2$ . This is a.k.a. onto.
- f is a *bijection* if f is both injective and surjective.

 $f: X_1 \to X_2$  is a homeomorphism if f is a bijection and both maps f and  $f^{-1}$  are continuous. Here  $f^{-1}$  is defined so that  $f \circ f^{-1}$  is the identity map. Two metric spaces  $X_1$  and  $X_2$  are *homeomorphic* if there is a homeomorphism between them.

**Exercise 11:** Let  $X_1 = \mathbf{R}^2$  with the Euclidean metric. Let  $X_2 \subset \mathbf{R}^2$  be any open ball with respect to the Euclidean metric. Prove that  $X_1$  and  $X_2$  are homeomorphic. You will need to construct a continuous map between them.

**Exercise 12:** Let  $X_1$  be  $\mathbb{R}^2$  equipped with the Euclidean metric. Let  $X_2$  be  $\mathbb{R}^2$  equipped with the  $\ell_{\infty}$  metric. Prove that  $X_1$  and  $X_2$  are homeomorphic.

**Exercise 13:** This one is pretty hard if you are a newcomer to metric spaces. Let  $X = \mathbf{R}^2$  equipped with the Euclidean metric. Let  $p_1, ..., p_n$  be any n distinct points in X and let  $q_1, ..., q_n$  be any other n distinct points. Prove that there is a homeomorphism  $h : X \to X$  such that  $h(p_k) = q_k$  for k = 1, ..., n. Hint: try to do this one at a time: Connect  $p_1$  to  $q_1$  by a "tunnel" which avoids the other points and try to modify things inside the tunnel. Then move on to the next pair...

# 4 Some Point-Set Topology

Let (X, d) be a metric space. A subset  $C \subset X$  is *closed* if and only if the complement X - C is open.

**Exercise 14:** Prove that the arbitrary intersection of closed sets is closed and the finite union of closed sets is closed.

Given any subset  $S \subset X$ , the *closure* of S is the intersection

$$\overline{S} = \bigcap_{C \subset S} C \tag{2}$$

taken over all closed sets which contain S. By Exercise 13,  $\overline{S}$  is a closed set.

**Exercise 15:** Prove that  $\overline{S}$  is the smallest closed set containing S. In other words, if C is another closed set such that  $S \subset C$ , then  $\overline{S} \subset C$  as well. This result pretty much follows straight from the definition.

**Exercise 16:** Prove that S is closed if and only if  $\overline{S} = S$ .

A subset  $S \subset X$  is *dense* in X if  $\overline{S} = X$ .

**Exercise 17** Let Q be the set of rationals, contained inside the metric space R of reals. Prove that Q is dense in R.

A sequence in X is a list of points  $p_1, p_2, p_3, ...$  Such a sequence is often written as  $\{p_k\}$ . The sequence could either be finite or infinite, but we're going to talk about infinite sequences. A point  $x \in X$  is an accumulation point of the sequence  $\{p_k\}$  if every open subset U which contains p contains infinitely many terms of the sequence. That is, there are infinitely many indices k such that  $p_k \in U$ .

**Exercise 18:** Here is an alternative definition of accumulation points: x is an *old-school accumulation* point of  $\{p_k\}$  if, for every  $\epsilon > 0$  there are infinite many indices k such that  $d(x, p_k) < \epsilon$ . Prove that x is an old-school accumulation point for  $\{p_k\}$  if and only if x is an accumulation point for  $\{p_k\}$ .

**Exercise 19:** Suppose  $\{p_k\} \in S$ , which means that all the points in the sequence lie in S. Prove that all the accumulation points of  $\{p_k\}$  lie in  $\overline{S}$ .

**Exercise 20:** Give an example of a sequence in  $\mathbf{R}$  which (with respect to the usual metric) has every point of  $\mathbf{R}$  as an accumulation point.

**Exercise 21:** Prove that the set of accumulation points of a sequence in X is a closed subset of X.

A sequence  $\{p_k\}$  converges to  $x \in X$  if the set of accumulation points of  $\{p_k\}$  is just the single element  $\{x\}$ . Such a sequence is called *convergent*.

**Exercise 22:** Suppose  $f : X_1 \to X_2$  is a continuous map and  $\{p_k\}$  is a sequence that converges to  $x_1 \in X_1$ . Prove that the sequence  $\{f(p_k)\}$  converges to  $f(x_1)$  in  $X_2$ . Hence continuous maps carry convergent sequences to convergent sequences.

Let (X, d) be a metric space. A sequence  $\{p_k\}$  is called *Cauchy* if the following is true. For every  $\epsilon > 0$  there is some N such that if m, n > N then  $d(p_m, p_n) < \epsilon.$ 

**Exercise 23:** Prove that a convergent sequence in a metric space is Cauchy.

**Exercise 24:** Give an example of a metric space which has a Cauchy sequence that is not convergent. Hint: Think about metric spaces you already know well.

### 5 Metric Completions

We saw in Exercise 24 that a metric space can have a Cauchy sequence which is not a convergent sequence. A metric space is called *complete* if every Cauchy sequence is convergent.

**Exercise 25:** The real numbers are often constructed in such a way that they have the *least upper bound property*: Every bounded set S of real numbers is such that there is a number called  $\alpha = \sup S$  with two properties:

- $r \leq \alpha$  for all  $r \in S$ .
- If  $\alpha' < \alpha$  then there is some  $s \in S$  such that  $\alpha' < s$ .

Use the least upper bound property to prove that  $\mathbf{R}$  is complete. Hint: It might also be useful to know that  $\mathbf{R}$  also has the greatest lower bound property, which is defined similarly to the least upper bound property and which follows from it and symmetry.

Let (X, d) and  $(X^*, d^*)$  be metric spaces. A map  $f : X \to X^*$  is called an *isometric injection* if  $d^*(f(x), f(y)) = d(x, y)$  for all  $x, y \in X$ . This definition implies that f is an injective map. The metric space  $(X^*, d^*)$  is called a *metric completion* of (X, d) if there is an isometric injection  $f : X \to X^*$ such that f(X) is dense in  $X^*$ . Usually we identify X with f(X) and just think of it as a subset of  $X^*$ .

**Exercise 26:** Suppose that X is already complete and  $f : X \to X^*$  is a metric completion. Prove that f is both a bijection and an isometry. In other words, essentially  $X = X^*$  in this case, up to how we name the points.

**Exercise 27:** Prove that  $\boldsymbol{R}$  is a metric completion of  $\boldsymbol{Q}$ , using the inclusion map  $\iota : \boldsymbol{Q} \to \boldsymbol{R}$ .

An Extended Example: Here is a construction of what is called the 2-*adic* completion of Z. Recall that Z/n is the group of integers mod n. Recall that the powers of 2 are 1, 2, 4, 8, 16, .... Consider the infinite chain of maps

$$\cdots \xrightarrow{\pi} \mathbf{Z}/16 \xrightarrow{\pi} \mathbf{Z}/8 \xrightarrow{\pi} \mathbf{Z}/4 \xrightarrow{\pi} \mathbf{Z}/2.$$

In all cases,  $\pi$  is the "reduction" map. For instance, if  $5 \in \mathbb{Z}/8$  then we have  $\pi(5) = 1 \in \mathbb{Z}/4$ . A 2-adic integer is a sequence  $\{b_n\}$  where  $b_n \in \mathbb{Z}/2^n$  and  $\pi(b_n) = b_{n-1}$  for all n. Let  $\mathbb{Z}_2$  denote the set of 2-adic integers. There is a natural metric on  $\mathbb{Z}_2$ . For two distinct 2-adic integers  $\{a_n\}$  and  $\{b_n\}$ , we define  $d(\{a_n\}, \{b_n\}) = 2^{-n}$ , where n is the largest integer such that  $a_n = b_n$ . If the two sequences are equal we define their distance to be 0, as always.

**Exercise 28:** Prove that  $(\mathbf{Z}_2, d_2)$  is complete.

**Exercise 29:** There is a natural map  $f : \mathbb{Z} \to \mathbb{Z}_2$ .  $f(k) = \{b_n\}$  where  $b_n$  is the representative of k in  $\mathbb{Z}/2^n$ . Prove that f is an isometric injection from  $(\mathbb{Z}, d)$  to  $(\mathbb{Z}_2, d_2)$ , where d is the 2-adic metric discussed in §1.

**Exercise 30:** Continuing from the previous exercise, prove that  $f(\mathbf{Z})$  is dense in  $\mathbf{Z}_2$ . This combines with the Exercises 28 and 29 to show that  $\mathbf{Z}_2$  is a metric completion of  $\mathbf{Z}$  with respect to the 2-adic metric on  $\mathbf{Z}$ .

**Exercise 31:** Generalize the construction above to the case of an arbitrary prime p, and behold the p-adic completion of Z.

A General Construction: Here is a general construction of a metric completion for any metric space X. Let X' denote the set of Cauchy sequences of X. Given two members  $\{a_n\}$  and  $\{b_n\}$  of X' write  $\{a_n\} \sim \{b_n\}$  if the shuffled sequence  $a_1, b_1, a_2, b_2, a_3, b_3, \dots$  is also Cauchy.

**Exercise 32:** Prove that  $\sim$  is an equivalence relation on X'. This means that

- $\{a_n\} \sim \{a_n\}.$
- $\{a_n\} \sim \{b_n\}$  if and only if  $\{b_n\} \sim \{a_n\}$ .

• If  $\{a_n\} \sim \{b_n\}$  and  $\{b_n\} \sim \{c_n\}$  then  $\{a_n\} \sim \{c_n\}$ .

Exercise 32 implies that X' is partitioned into equivalence classes. An equivalence class is the set of all Cauchy sequences equivalent to a given one. Let  $X^*$  be the set of equivalence classes in X'. A point of  $X^*$  has the form  $[\{b_n\}]$ , and this means the set of all Cauchy sequences equivalent to  $\{b_n\}$ .

**Exercise 33:** Given points  $[\{a_n\}], [\{b_n\}] \in X^*$  define their distance to be

$$d^*([\{a_n\}], [\{b_n\}]) = \lim_{n \to \infty} d(a_n, b_n).$$

Prove that this definition is independent of which points in X' represent the equivalence classes. Hence  $d^*$  is well defined.

**Exercise 34:** Prove that  $d^*$  is a metric on  $X^*$  and that  $(X^*, d^*)$  is complete.

**Exercise 35:** There is a natural map  $f : X \to X^*$ . f(x) is defined to be the equivalence class of the constant sequence  $x, x, x, \dots$  Prove that f is an isometric injection.

**Exercise 36:** Prove that f(X) is dense in  $X^*$ . Combining this exercise with Exercises 34 and 35, we see that  $X^*$  is a metric completion of X.

#### 6 Compactness

Let (X, d) be a metric space. An *open cover* of X is a collection  $\{U_{\alpha}\}$  of open subsets of X whose union is all of X. The open cover might or might not be finite. A *subcover* of an open cover is some sub-collection of the open sets in the cover which is, itself, an open cover. The subcover is called a *finite subcover* if it has only finitely many elements.

**Exercise 37:** Make the interval (0, 1) into a metric space using the metric d(x, y) = |x - y|. Prove that (0, 1) has an infinite open cover with no finite subcover.

**Exercise 38:** A metric space X is called *unbounded* if, for every N there are points  $p, q \in X$  such that d(p,q) > N. Another formulation is that X has

a point  $p_0$  such that for all N there is some point q such that  $d(p_0, q) > N$ . The two formulations are equivalent. Prove that if X is unbounded then X has an infinite cover with no finite subcover.

A metric space X is called *compact* if every cover of X has a finite subcover. Exercise 37 implies that (0, 1) is not compact. Exercise 38 implies that unbounded metric spaces are not compact.

If  $S \subset X$  is a subset, we can think of (S, d) as a metric space in its own right and we can then speak about whether or not S is compact. Thus, the notion of compactness applies not just to metric spaces but also to subsets of metric spaces.

Let us say a bit more about this. What are the open subset of S, considered as a metric space in its own right? They all have the form  $U \cap S$  where U is open in X. See Exercise 7. Thus, if we have an open cover of S we technically have a collection of open subsets of X whose union contains S, but we can just forget about all the points of these open sets which do not belong to S and work entirely within S. The two perspectives are completely equivalent, though psychologically one or the other might be more useful in a given situation.

**Exercise 39:** Suppose  $K \subset X$  is compact and  $S \subset K$ . Suppose also that S is a closed subset of X. Prove that S is also compact. Hint: If  $\{U_{\alpha}\}$  is a cover of S you can add the open set X - S to get a cover of K...

**Theorem 6.1** [0,1] is compact.

**Proof:** Given any interval  $I \subset [0, 1]$ , let  $I_0, I_1$  be the two smaller intervals obtained by cutting I in half. Starting with I = [0, 1] we create a tree of intervals by recursively cutting in half. Thus I is the parent of  $I_0$  and  $I_1$ , and  $I_0$  is the parent of  $I_{00}$  and  $I_{01}$ . Etc. In general, each interval is the *parent* of the two intervals obtained by cutting it in half, and these smaller intervals are the *children*.

Let  $\mathcal{C}$  be an open cover of I. We want to find a finite sub-cover. We will suppose this does not exist and derive a contradiction. Call a closed interval  $J \subset I$  bad no finite union of open sets of  $\mathcal{C}$  covers J. Any bad interval has a bad child. Otherwise we could just assemble the two finite covers of the children to get a finite cover of the parent. If I is bad then we can keep cutting in half and produce an infinite sequence  $J_0(=I) \supset J_1 \supset J_2, ...$  of nested bad intervals. The length of  $J_n$  is  $2^{-n}$ . Hence the sequence of centers of these intervals is Cauchy. By Exercise 25, it is convergent and hence has an accumulation point p. Since  $\overline{J}_n = J_n$ and the "tail end" of our sequence of centers lies in  $J_n$  we have  $p \in J_n$ . This is true for any n.

We have just shown that  $\bigcap J_k$  is non-empty: It contains p. But p lies in some open subset U of  $\mathcal{C}$ . But then  $J_n \subset U$  once n is sufficiently large. This makes  $\{U\}$  itself a finite subcover of  $J_n$ . This is a contradiction.

**Exercise 40:** Imitate the proof above to show that the cube  $[0,1]^n$ , with respect to the Euclidean metric on  $\mathbb{R}^n$ , is compact. Prove the same thing for the huge cube  $[-N, N]^n$ .

**Exercise 41:** Prove that a compact subset of a metric space is closed. Hint: If S is not closed then there is a point  $p \in \overline{S} - S$ , by Exercise 16. Construct an open cover of S by taking the complements of small balls centered at p. Prove that this open cover has no finite subcover.

**Heine-Borel Theorem:** Combining Exercises 39 and 40, we (or, rather, you) have proved that every closed and bounded subset of  $\mathbf{R}^n$  is compact. We also know by Exercise 38 that a compact subset of  $\mathbf{R}^n$  must be bounded. Finally, Exercise 41 shows that a compact subset of  $\mathbf{R}^n$  must be closed. In short a subset of  $\mathbf{R}^n$ , with respect to the Euclidean metric, is compact if and only if it is closed and bounded. This is the famous Heine Borel Theorem.

**Exercise 42:** Suppose  $f: X_1 \to X_2$  is continuous and  $X_1$  is compact. Prove that  $f(X_1)$  is also compact. Conclude that a continuous real-valued function on a compact space is bounded. In case  $X_1$  is a closed interval, this is a classic result, probably proved in Math 1010, that every continuous function on a closed interval is bounded.

**Exercise 43:** Prove that a bijection  $f: X_1 \to X_2$  between metric spaces is a homeomorphism provided that it is continuous. This is a great labor-saving result when you are trying to show that a map is a homeomorphism.

### 7 Sequential Compactness

Now we explore an alternate definition of compactness. A metric space is called *sequentially compact* if every sequence has a convergent subsequence. This section guides you through a proof that a metric space is compact if and only if it is sequentially compact.

**Exercise 44:** Prove that if X is compact then X is sequentially compact. Hint: If this is false then every point is contained in an open ball which only contains finitely many terms of the sequence.

The  $\delta$  in the next lemma called a *Lebesgue number* for the cover.

**Exercise 45:** Let  $\{U_{\alpha}\}$  be an open cover of a sequentially compact space. Prove that there is some  $\delta > 0$  such that every ball of radius  $\delta$  is contained in some  $U_{\alpha}$ . Such a  $\delta$  is called a *Lebesgue number* for the cover. Hint: If this is false then we can find a ball  $B_n$  of radius 1/n that is not contained in any  $U_{\alpha}$ , provided that we take these values of n to be sufficiently large. Now look at the sequence of centers of these balls.

A metric space is called *totally bounded* if, for every  $\delta > 0$ , there is a finite cover of X consisting of  $\delta$ -balls.

**Lemma 7.1** If X is sequentially compact then X is totally bounded.

**Proof:** We suppose this is false and derive a contradiction. Suppose, by induction, we have found n points  $x_1, ..., x_n$  such that the distance between any two points is at least  $\delta$ . Since the balls of radius  $\delta$  centered at these points do not cover X we can find a new point  $x_{n+1}$  at least  $\delta$  from  $x_1, ..., x_n$ . By induction, we can find an infinite sequence  $\{x_n\}$  such that every pair of points is at least  $\delta$  apart. But then this sequence has no convergent subsequence. This is a contradiction.

**Exercise 46:** Combine Lemma 7.1 with Exercise 45 to prove that a sequentially compact metric space is compact. Combining this with Exercise 44, we see that a metric space is compact if and only if it is sequentially compact.

#### 8 Connectedness

A metric space X is called *disconnected* if we can find two open subsets  $U_1, U_2 \subset X$  such that

- $U_1$  and  $U_2$  are both nonempty.
- $U_1 \cap U_2 = \emptyset$ .
- $X = U_1 \cup C_2$ .

We call X connected if it is not disconnected.

We can also speak of a subset  $S \subset X$  as being connected or disconnected. The metric d on X gives rise to the same metric on S, so S is a metric space in its own right and we can ask whether or not it is connected. See the discussion above about compactness of subsets for more explanation about this.

**Exercise 47:** Suppose  $f : X_1 \to X_2$  is a continuous map between metric spaces and  $X_1$  is connected. Prove that  $X_2$  is also connected.

**Theorem 8.1** [0,1] is connected.

**Proof:** We suppose this is false and derive a contradiction. Suppose that  $[0,1] \subset U \cap V$  and  $U \cap V = \emptyset$  and both U, V are non-empty. Without loss of generality, suppose  $1 \in V$ . Since U is both bounded and non-empty, it has a least upper bound  $\alpha \in [0,1]$ .

Suppose  $\alpha \in U$ . Then, since U is open,  $\alpha + \epsilon \in U$  for some  $\epsilon > 0$ . But this contradicts the fact that  $\alpha$  is the least upper bound for U.

Suppose  $\alpha \in V$ . Then  $\alpha - \epsilon \in V$  for all  $\epsilon > 0$  sufficiently small. But then such points are also upper bounds for U because no point of U also lies in V. This contradicts the fact that  $\alpha$  is the *least* upper bound for U.

We have shown that  $\alpha$  lies neither in U nor V, which is a contradiction.

The fact that [0, 1] is connected is really the starting point of topology. It implies the Intermediate Value Theorem from Math 1010, for instance.

**Exercise 48:** Deduce the Intermediate Value Theorem from the material above. That is, suppose  $f : [0, 1] \to \mathbf{R}$  is a continuous function, then f([0, 1])

contains the interval bounded by f(0) and f(1). That is, f attains all values between f(0) and f(1).

**Exercise 49:** Suppose X is a metric space and  $S, T \subset X$  are connected subsets which intersect. Prove that  $S \cup T$  is connected.

It turns out that there are some pretty wierd connected spaces – see Exercise 50. Here is a related definition which probably coincides better with our intuitive understanding of what "connected" means. A *path* in a metric space X is the image of a map  $f : [0, 1] \to X$ . The metric space X is called *path connected* if for every two points  $x, y \in X$  there is a path  $f : [0, 1] \to X$  such that x = f(0) and y = f(1).

**Exercise 50:** Prove that a path connected metric space is connected.

**Exercise 51:** Prove that open and closed cubes and balls in  $\mathbb{R}^n$ , with respect to the Euclidean metric, are path connected. Hence, by Exercise 50, they are also connected.

Here is a concept at the opposite extreme of connectedness. A metric space X is *totally disconnected* if every pair of distinct points of X can be placed inside disjoint open sets whose union covers X. A totally disconnected space is disconnected in a very strong way.

**Exercise 52:** Prove that  $Z_2$ , the 2-adic integers, is totally disconnected.

The final exercise explores the difference between connectedness and path connectedness. If you want a real challenge, do it without the hint.

**Exercise 53:** Give an example of a connected metric space which is not path-connected. Big Hint: Look up the *Topologist's sine curve*. If you want something even more challenging, prove that there is a connected metric space which is totally path disconnected: No two distinct points can be connected by a path.