

# - Hausdorff dimension

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Prop:  $K \subset X$  metric

$$1) \text{ if } H_p(K) < \infty \Rightarrow H_r(K) = 0 \quad \forall r > p$$

$$2) \text{ if } H_p(K) > 0 \Rightarrow H_r(K) = \infty \quad \forall r < p$$

↑  
including  $\infty$

Idea of Proof (key step). Full pf left for exercise.

$$\text{if } \text{diam } C_k < \delta \text{ then } (\text{diam } C_k)^r \leq (\text{diam } C_k)^p \delta^{r-p} \quad r > p$$

$$\geq (\text{diam } C_k)^p \delta^{r-p} \quad r < p$$

2nd Idea of Proof

$$\text{If } H_p(K) < \infty \Rightarrow H_{p,\delta}(K) < \infty \quad \forall \text{ small } \delta$$

Cor:  $K \subset \mathbb{R}^n \quad \exists! p_0 \in [0, n]$  st  $H_p(K) = 0 \quad \forall p > p_0$   
 $H_p(K) = \infty \quad \forall p < p_0$

$p_0$  is called Hausdorff dim of  $K$

$$p_0 = \inf_{(b, \infty)} \{ p : H_p(K) = 0 \} = \sup_{(0, a)} \{ p : H_p(K) = +\infty \}$$

~~or~~  $p \in (a, b) \quad 0 < H_p(K) < \infty$  but  
 this is impossible

$$\Rightarrow a = b \Rightarrow p_0 = a = b$$

Examples: 1)  $I = (a, b)$

$$H\text{-dim}(I) = 1$$

2)  $Q = (0, 1]^n$  in  $\mathbb{R}^n$

$$H\text{-dim } Q = n$$

3)  $1/3$  Cantor Set

$$C_0: \begin{array}{c} \text{---} \\ 0 \quad 1 \end{array}$$

$$C_1: \begin{array}{c} \text{---} \quad \text{---} \\ 0 \quad 1/3 \quad 2/3 \quad 1 \end{array}$$

$$C_2: \begin{array}{c} \text{---} \quad \text{---} \quad \text{---} \quad \text{---} \\ 0 \quad 1/9 \quad 2/9 \quad 3/9 \quad 4/9 \quad 5/9 \quad 6/9 \quad 7/9 \quad 1 \end{array}$$

remove  
middle  
third

$$C = \bigcap_{n=1}^{\infty} C_n$$

$$H\text{-dim } C = \frac{\ln 2}{\ln 3}$$

## Heuristics

Let for some  $p$

$$0 < H_p(C) < \infty$$

$$H_p(C) = H_p(C_+) + H_p(C_-) \quad \text{where } C_+ = C \cap (\frac{1}{2}, 1] \\ C_- = C \cap [0, \frac{1}{2}]$$

$$= 2 H_p(C_+)$$

$C$  obtained from  $3C$  by translation (self-similar)

$$= 2 H_p(C) \cdot (\frac{1}{3})^p$$

$$1 = \frac{2}{3^p} \Rightarrow p = \frac{\ln 2}{\ln 3}$$

Remark:  $\exists$  sets in  $\mathbb{R}^2$  st  $H\text{-dim } K = 1$

but  $H_1(K) = \infty$  (Think of something with  $\infty$  length)

Another Example: Snow Flake



- replace middle  $\frac{1}{3}$  with another triangle, etc.

$H\text{-dim}$  will be fractional.

Metric outer measure

Def: Outer measure  $\mu^*$  on metric space  $X$  is called metric outer measure if  $\mu^*(A \cup B) = \mu^*(A) + \mu^*(B)$

$\forall A, B$  st  $\text{dist}(A, B) = \inf \{ \rho(x, y) : x \in A, y \in B \} > 0$

## Metric Outer Measure (cont.)

$\mu^*$  can construct  $\mathcal{M}$  of  $\mu^*$  measurable

Thm: if  $\mu^*$ -metric outer measure,  
then  $B \subset \mathcal{M}$

↑  
Borel set

Prop:  $H_p$  is a metric outer measure

Pf: Let  $A, B$   $\text{dist}(A, B) = \delta > 0$

Need to show  $H_p(A \cup B) = H_p(A) + H_p(B)$

$(\leq)$  — trivial-countable sub-additivity  
need  $\geq$

$\forall \epsilon > 0 \exists \delta_0 > 0$  st

$\forall \delta < \delta_0 \quad H_{p, \delta}(A \cup B) < H_p(A \cup B) + \epsilon/2$

Fix  $\delta < \min(\delta_0, \delta)$  Find cover  $C_k$ ,  $\text{diam } C_k < \delta$

$\bigcup C_k \supset A \cup B$

st.  $\sum (\text{diam } C_k)^p < H_{p, \delta}(A \cup B) + \epsilon/2$   
 $< H_p(A \cup B) + \epsilon$

For each  $k$  only 3 possibilities.

$A \cap C_k \neq \emptyset \quad I_1$

or  $B \cap C_k \neq \emptyset \quad I_2$

or  $C_k \cap (A \cup B) = \emptyset$

$$\begin{aligned} \sum (\text{diam } C_k)^p &\geq \sum_{I_1} (\text{diam } C_k)^p + \sum_{I_2} (\text{diam } C_k)^p \\ &\geq H_p(A) + H_p(B) \end{aligned}$$

$$H_p(A \cup B) + \epsilon \geq H_p(A) + H_p(B) \quad \forall \epsilon > 0 \Rightarrow H_p(A \cup B) \geq H_p(A) + H_p(B)$$

END NOTES.

