

# The dynamical André-Oort conjecture...

Holly Krieger

January 9, 2016

Setting: a point  $(a, b) \in \mathbb{C}^2$  is **special** if each coordinate is the  $j$ -invariant of an elliptic curve with complex multiplication.

## Theorem (André 1998)

*Let  $C$  be an irreducible algebraic curve in the affine plane  $\mathbb{C}^2$ .  $C$  has infinitely many special points if and only if  $C$  is either a projection fiber over a CM  $j$ -invariant, or  $C$  is a classical modular curve  $\Phi_N(x, y) = 0$ .*

Setting: a point  $(a, b) \in \mathbb{C}^2$  is **special** if each coordinate is the  $j$ -invariant of an elliptic curve with complex multiplication.

## Theorem (André 1998)

*Let  $C$  be an irreducible algebraic curve in the affine plane  $\mathbb{C}^2$ .  $C$  has infinitely many special points if and only if  $C$  is either a projection fiber over a CM  $j$ -invariant, or  $C$  is a classical modular curve  $\Phi_N(x, y) = 0$ .*

This is a result about (the lack of) *unlikely intersections*: though infinite, the special points are sparse in  $\mathbb{C}^2$ , and so a plane curve only has Zariski dense special points if there's a good reason for it.

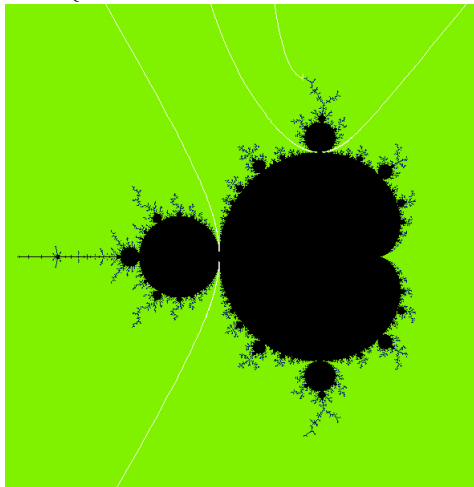
- (dynamical) André-Oort
- (dynamical) Manin-Mumford
- (dynamical) Mordell-Lang

Dynamical setting: view  $\mathbb{C}^2$  as parametrizing pairs of quadratic polynomials via  $(a, b) \leftrightarrow (z^2 + a, z^2 + b)$ .

## Definition

A rational map is **post-critically finite (PCF)** if all critical orbits have finite forward orbit. A point  $(a, b) \in \mathbb{C}^2$  is **special** if both  $z^2 + a$  and  $z^2 + b$  are PCF maps.

$M := \{c \in \mathbb{C} : \text{the critical orbit of } z^2 + c \text{ is bounded in modulus}\}$



Theorem (Ghioca-K.-Nguyen 2014, Ghioca-K.-Nguyen-Ye 2015)

*Let  $C$  be an irreducible algebraic curve in  $\mathbb{C}^2$ .  $C$  has infinitely many special points if and only if  $C$  is either a projection fiber over a PCF parameter, or the diagonal.*

## Theorem (Ghioca-K.-Nguyen 2014, Ghioca-K.-Nguyen-Ye 2015)

*Let  $C$  be an irreducible algebraic curve in  $\mathbb{C}^2$ .  $C$  has infinitely many special points if and only if  $C$  is either a projection fiber over a PCF parameter, or the diagonal.*

- If  $C$  has infinitely many special points, then for all  $(a, b) \in C$ ,  $z^2 + a$  is PCF iff  $z^2 + b$  is PCF
- an appropriately chosen local holomorphic branch of  $\pi_1^{-1} \circ \pi^2$  induces a linear action on an interval of external angles of the Mandelbrot set
- such an action must be trivial, so  $C$  is the diagonal.

Independently, Kühne and Bilu-Masser-Zannier proved effective versions of the theorem of André:

**Theorem (Kühne 2012, Bilu-Masser-Zannier 2013)**

*For any irreducible algebraic curve  $C$  over a number field  $K$  with finitely many (CM)-special points, the set of special points is effectively computable.*

Method: effectively bound the maximum of the modulus of the two discriminants for special points of  $C$  which lie on no modular curve (height bound via linear forms in logs), and effectively bound the max  $N$  so that a special point lies in the intersection of  $C$  and  $\Phi_N(x, y) = 0$ .



Independently, Kühne and Bilu-Masser-Zannier proved effective versions of the theorem of André:

**Theorem (Kühne 2012, Bilu-Masser-Zannier 2013)**

*For any irreducible algebraic curve  $C$  over a number field  $K$  with finitely many (CM)-special points, the set of special points is effectively computable.*

Method: effectively bound the maximum of the modulus of the two discriminants for special points of  $C$  which lie on no modular curve (height bound via linear forms in logs), and effectively bound the max  $N$  so that a special point lies in the intersection of  $C$  and  $\Phi_N(x, y) = 0$ .

**Question**

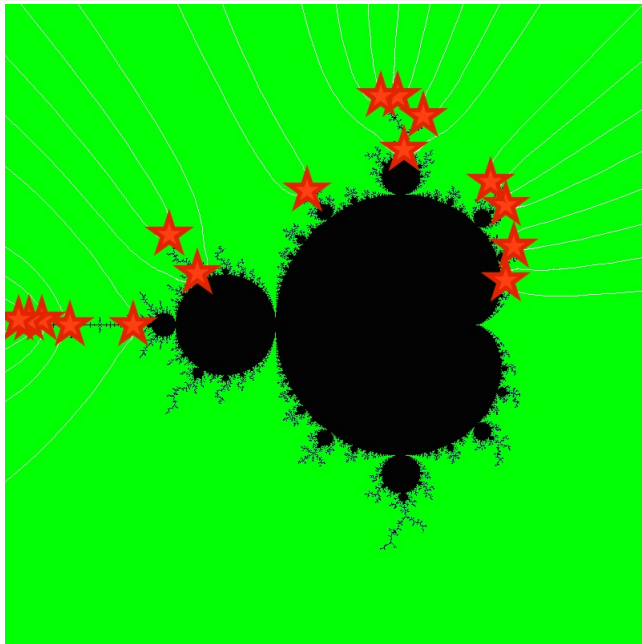
*Effective computation of the (dynamically) special points of irreducible algebraic curves in  $\mathbb{C}^2$ ?*

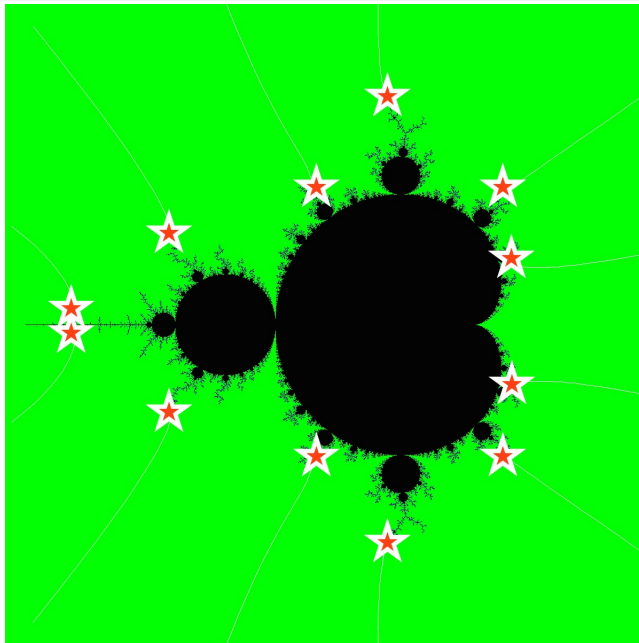
Key point of classical effective André-Oort: discriminants of CM elliptic curves get large. Easy exercise: for quadratic polynomials, PCF points have height bounded by 2.

Key point of classical effective André-Oort: discriminants of CM elliptic curves get large. Easy exercise: for quadratic polynomials, PCF points have height bounded by 2.

Idea: replace the modulus of the discriminant with the size of period or preperiod.

Example: (dynamically) special points on  $xy = 1$ . Suppose that  $a$  and  $1/a$  are both PCF parameters. There **should** exist a Galois conjugate  $a^\sigma$  of  $a$  which is very close to (for example)  $-2$ . However, the reciprocal  $-1/2$  lies well inside the main cardioid of the Mandelbrot set and away from the hyperbolic center, so  $1/a^\sigma$  cannot be PCF.





Generally: Suppose  $C$  is cut out by  $P(x, y) = 0$ .

Generally: Suppose  $C$  is cut out by  $P(x, y) = 0$ .

- 1 **find** an element  $c \in \partial M$  for which all solutions of  $P(c, y) = 0$  lie inside and away from the center of hyperbolic components of the Mandelbrot set
- 2 **bound their period above** (and **so their diameter below**) by the inherent field of definition bound depending on  $P$  and  $c$
- 3 If  $C$  has PCF special points whose first coordinate is PCF parameter  $a$  with sufficiently large period or preperiod, then there **should be a Galois conjugate  $a^\sigma$  of that point sufficiently close to  $c$  to guarantee that all solutions of  $P(a^{\text{sigma}}, y) = 0$  are non-PCF**

Generally: Suppose  $C$  is cut out by  $P(x, y) = 0$ .

- ① **find** an element  $c \in \partial M$  for which all solutions of  $P(c, y) = 0$  lie inside and away from the center of hyperbolic components of the Mandelbrot set  
If this doesn't exist, then  $P$  provides an algebraic correspondence which fixes  $\partial M$ , which is impossible.



Generally: Suppose  $C$  is cut out by  $P(x, y) = 0$ .

- ① **find** an element  $c \in \partial M$  for which all solutions of  $P(c, y) = 0$  lie inside and away from the center of hyperbolic components of the Mandelbrot set  
If this doesn't exist, then  $P$  provides an algebraic correspondence which fixes  $\partial M$ , which is impossible.
- ② **bound their period above** (and **so their diameter below**) by the inherent field of definition bound depending on  $P$  and  $c$   
Bounds on the sizes of hyperbolic components is hard but not completely intractable.

Generally: Suppose  $C$  is cut out by  $P(x, y) = 0$ .

- ① **find** an element  $c \in \partial M$  for which all solutions of  $P(c, y) = 0$  lie inside and away from the center of hyperbolic components of the Mandelbrot set  
If this doesn't exist, then  $P$  provides an algebraic correspondence which fixes  $\partial M$ , which is impossible.
- ② **bound their period above** (and **so their diameter below**) by the inherent field of definition bound depending on  $P$  and  $c$   
Bounds on the sizes of hyperbolic components is hard but not completely intractable.
- ③ If  $C$  has PCF special points whose first coordinate is PCF parameter  $a$  with sufficiently large period or preperiod, then there **should be a Galois conjugate  $a^\sigma$  of that point sufficiently close to  $c$  to guarantee that all solutions of  $P(a^{\text{sigma}}, y) = 0$  are non-PCF**  
Effective equidistribution might do this, or analysis of external rays.

## General dynamical André-Oort question?

## Definition

Let  $V$  be an irreducible quasi-projective complex variety and  $d \geq 2$ .  
 $f : V \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  is an **algebraic family of rational maps** of degree  $d$  if  $f$  is a morphism so that  $f_t := f(t, \cdot) : \mathbb{P}^1 \rightarrow \mathbb{P}^1$  is a degree  $d$  morphism for each  $t \in V$ .

Any algebraic family of rational maps induces a projection  $V \rightarrow \mathcal{M}_d$ ; call the dimension of the image of this projection the **dimension in moduli** of  $V$ .

## Definition

A **marked point** of a family  $f : V \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  is a morphism  $a : V \rightarrow \mathbb{P}^1$ .

## General dynamical André-Oort question?

## Definition

Let  $V$  be an irreducible quasi-projective complex variety and  $d \geq 2$ .  
 $f : V \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  is an **algebraic family of rational maps** of degree  $d$  if  $f$  is a morphism so that  $f_t := f(t, \cdot) : \mathbb{P}^1 \rightarrow \mathbb{P}^1$  is a degree  $d$  morphism for each  $t \in V$ .

Any algebraic family of rational maps induces a projection  $V \rightarrow \mathcal{M}_d$ ; call the dimension of the image of this projection the **dimension in moduli** of  $V$ .

## Definition

A **marked point** of a family  $f : V \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  is a morphism  $a : V \rightarrow \mathbb{P}^1$ .

**Example:**  $V = \mathbb{C}$ ,  $f(c, [z : w]) = [z^2 + cw^2 : w^2]$ ,  $a(c) \equiv [0 : 1]$ .

## Question

Let  $V$  be an algebraic family of rational maps with marked points  $a_i(t)$ . For which irreducible subvarieties  $Y$  of  $V$  is  $Y \cap S_0(V)$  Zariski dense?

## Conjecture (General dynamical André-Oort conjecture, DeMarco)

Let  $f : V \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  be an algebraic family of rational maps of degree  $d \geq 2$ , of dimension  $N > 0$  in moduli. Let  $a_0, \dots, a_N$  be any collection of marked points. Define

$$S(V, a) := \bigcap_{i=0}^N \{t \in V : a_i(t) \text{ is preperiodic for } f_t\}.$$

Then  $S$  is Zariski dense in  $V$  if and only if the marked points are dynamically related along  $V$ .

## Definition

We say that  $N$  marked points  $a_1, \dots, a_N$  are **dynamically related** along the algebraic family  $f : V \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  if there exists an algebraic subvariety  $X \subset (\mathbb{P}^1)^N$  defined over  $\mathbb{C}(V)$  such that

- 1  $(a_1, \dots, a_N) \in X$
- 2  $(f, \dots, f)(X) \subset X$
- 3 there exists  $i$  so that the projection from  $X$  to the  $i$ th coordinate hyperplane of  $(\mathbb{P}^1)^N$  is finite

Other dynamical André-Oort results:

**Theorem (Baker-DeMarco 2013)**

*Let  $P_3$  be the space of cubic polynomials. Given  $\lambda \in \mathbb{C}$ , define*

$$Per_1(\lambda) := \{f \in P_3 : f \text{ has a fixed point of multiplier } \lambda\}.$$

*Then  $Per_1(\lambda)$  contains infinitely many PCF points if and only if  $\lambda = 0$ .*

# How can you be sure?

Other dynamical André-Oort results:

## Theorem (Baker-DeMarco 2013)

*Let  $P_3$  be the space of cubic polynomials. Given  $\lambda \in \mathbb{C}$ , define*

$$Per_1(\lambda) := \{f \in P_3 : f \text{ has a fixed point of multiplier } \lambda\}.$$

*Then  $Per_1(\lambda)$  contains infinitely many PCF points if and only if  $\lambda = 0$ .*

## Theorem (DeMarco-Wang-Ye 2014)

*Let  $M_2$  denote the moduli space of rational maps of degree 2, modulo conjugation. Define  $Per_1(\lambda)$  as above, accordingly. Then  $Per_1(\lambda)$  contains infinitely many PCF maps if and only if  $\lambda = 0$ .*

# How can you be sure?

Other dynamical André-Oort results:

## Theorem (Baker-DeMarco 2013)

*Let  $P_3$  be the space of cubic polynomials. Given  $\lambda \in \mathbb{C}$ , define*

$$Per_1(\lambda) := \{f \in P_3 : f \text{ has a fixed point of multiplier } \lambda\}.$$

*Then  $Per_1(\lambda)$  contains infinitely many PCF points if and only if  $\lambda = 0$ .*

## Theorem (DeMarco-Wang-Ye 2014)

*Let  $M_2$  denote the moduli space of rational maps of degree 2, modulo conjugation. Define  $Per_1(\lambda)$  as above, accordingly. Then  $Per_1(\lambda)$  contains infinitely many PCF maps if and only if  $\lambda = 0$ .*

## Theorem (Ghioca-Hsia-Tucker 2015)

*Let  $c_1, c_2, c_3$  be distinct complex numbers, and  $d \geq 3$  an integer. The set of  $(a_0, a_1) \in \mathbb{C}^2$  such that each  $c_i$  is preperiodic for  $f(z) = z^d + a_1z + a_0$  is not Zariski dense in  $\mathbb{A}^2$ .*



Galois representation of a rational map:  $\phi(z)$  defined over number field  $K$ ,  $\alpha \in K$  which lies in no forward critical orbit, and consider the preimage tree  $T$  of  $\alpha$ . The absolute Galois group  $G_K$  acts on this tree, inducing a representation  $\rho$  of  $G_K$  to  $\text{Aut}(T)$ . This rep'n is generically surjective.

### Theorem (Jones-Pink)

*If  $\phi(z)$  is post-critically finite, then the image  $\rho(G_K)$  has infinite index in  $\text{Aut}(T)$ .*

Galois representation of a rational map:  $\phi(z)$  defined over number field  $K$ ,  $\alpha \in K$  which lies in no forward critical orbit, and consider the preimage tree  $T$  of  $\alpha$ . The absolute Galois group  $G_K$  acts on this tree, inducing a representation  $\rho$  of  $G_K$  to  $\text{Aut}(T)$ . This rep'n is generically surjective.

### Theorem (Jones-Pink)

*If  $\phi(z)$  is post-critically finite, then the image  $\rho(G_K)$  has infinite index in  $\text{Aut}(T)$ .*

Non-PCF with infinite index:

- $x^3 + 2$
- $\frac{x^2 - a}{x^2 + a}$
- $x^2 + x$  rooted at 0.
- $\frac{x^2 + 1}{x}$  rooted at 0.

Probably want an 'almost every root' or transcendental root statement.

### Definition

Let  $V$  be an algebraic family of degree  $d$  dynamical systems, and call  $t \in V(\bar{\mathbb{Q}})$  **arboreally special** if the arboreal representation  $\rho_{t,\alpha}$  associated to  $f_t(z)$  has infinite index image for almost every root  $\alpha$ .

### Definition

Let  $V$  be an algebraic family of degree  $d$  dynamical systems, and call  $t \in V(\bar{\mathbb{Q}})$  **arboreally special** if the arboreal representation  $\rho_{t,\alpha}$  associated to  $f_t(z)$  has infinite index image for almost every root  $\alpha$ .

### Question

*Which subvarieties of  $V$  contain a Zariski dense subset of arboreally special points?*

Thanks for your attention!