

# $p$ -ADIC CLASS INVARIANTS

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ABSTRACT. We develop a new  $p$ -adic algorithm to compute the minimal polynomial of a class invariant. Our approach works for virtually any modular function yielding class invariants. The main algorithmic tool is modular polynomials, a concept which we generalize to functions of higher level.

## 1. INTRODUCTION

Let  $K$  be an imaginary quadratic number field, and let  $\mathcal{O}$  be an order in  $K$ . The ring class field  $H_{\mathcal{O}}$  of the order  $\mathcal{O}$  is an abelian extension of  $K$ , and the Artin map gives an isomorphism

$$\mathrm{Pic}(\mathcal{O}) \xrightarrow{\sim} \mathrm{Gal}(H_{\mathcal{O}}/K)$$

of the Picard group of  $\mathcal{O}$  with the Galois group of  $H_{\mathcal{O}}/K$ . In this article we are interested in *explicitly computing* ring class fields. Complex multiplication theory provides us with a means of doing so. Letting  $\Delta$  denote the discriminant of  $\mathcal{O}$ , it states that we have

$$H_{\mathcal{O}} = K[X]/(P_{\Delta}^j),$$

where  $P_{\Delta}^j$  is the minimal polynomial over  $\mathbf{Q}$  of the  $j$ -invariant of the complex elliptic curve  $\mathbf{C}/\mathcal{O}$ . This polynomial is called the *Hilbert class polynomial*. It is a non-trivial fact that  $P_{\Delta}^j$  has *integer* coefficients.

The fact that ring class fields are closely linked to  $j$ -invariants of elliptic curves has its ramifications outside the context of explicit class field theory. Indeed, if we let  $p$  denote a prime that is not inert in  $\mathcal{O}$ , then the observation that the roots in  $\overline{\mathbf{F}}_p$  of  $P_{\Delta}^j \in \mathbf{F}_p[X]$  are  $j$ -invariants of elliptic curves over  $\overline{\mathbf{F}}_p$  with endomorphism ring  $\mathcal{O}$  made computing  $P_{\Delta}^j$  a key ingredient in the elliptic curve primality proving algorithm [12]. Fast algorithms to compute  $P_{\Delta}^j$  are also desirable from a cryptographic point of view. For example, computing  $P_{\Delta}^j$  allows us to efficiently construct elliptic curves for which the discrete logarithm problem is presumed to be hard, cf. [6, Chapter 23].

There are currently three known algorithms to compute  $P_{\Delta}^j \in \mathbf{Z}[X]$ : a complex analytic [8], a  $p$ -adic [3, 7] and a ‘multi prime’ approach [1, 2, 22]. If GRH holds true,

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2000 *Mathematics Subject Classification*. Primary 11G15, Secondary 11Y40.

the run time of all three algorithms is  $\tilde{O}(|\Delta|)$ , see [2]. Here the  $\tilde{O}$ -notation indicates that factors that are of logarithmic order in the main term have been disregarded. These three algorithms are efficient in the sense that the bottleneck for each algorithm is the size of the output. We are therefore inherently limited to ‘small’ discriminants. However, also for small discriminants, the coefficients of  $P_{\Delta}^j$  are *huge*. For  $\Delta = -23$ , we get

$$P_{-23}^j = X^3 + 3491750X^2 - 5151296875X + 12771880859375$$

for instance. History tells us we should be able to do better. In his *Lehrbuch der Algebra* [24] from 1908, Weber introduces a modular function  $\mathfrak{f}$  from the upper half plane  $\mathbf{H}$  to  $\mathbf{C}$  with the property that, for a suitable choice of  $\omega$ , the value  $\mathfrak{f}(\omega)$  generates the ring class field of  $\mathbf{Z}[\omega]$  for all imaginary quadratic orders  $\mathbf{Z}[\omega]$  in which 3 is unramified and 2 splits completely. For  $\Delta = -23$ , a root of the polynomial

$$P_{-23}^{\mathfrak{f}} = X^3 - X^2 + 1$$

generates the Hilbert class field of  $\mathbf{Q}(\sqrt{-23})$ . Weber’s function  $\mathfrak{f}$  is related to the  $j$ -function via  $(\mathfrak{f}^{24} - 16)^3 - j\mathfrak{f}^{24} = 0$ , so computing  $P_{\Delta}^{\mathfrak{f}}$  has the same cryptographic applications as computing  $P_{\Delta}^j$ .

Following Weber, we call a function value  $f(\omega)$  of a modular function  $f : \mathbf{H} \rightarrow \mathbf{C}$  a *class invariant* if we have

$$K(f(\omega)) = H_{\mathbf{Z}[\omega]},$$

i.e., if it generates the ring class field over  $K = \mathbf{Q}(\omega)$ . The logarithmic height of the coefficients of the minimal polynomial  $P_{\Delta}^{\mathfrak{f}}$  of a class invariant is a *constant* factor smaller than the coefficients of  $P_{\Delta}^j$ . This means that from a purely asymptotic point of view, there is no advantage in computing  $P_{\Delta}^{\mathfrak{f}}$  instead of  $P_{\Delta}^j$ : the difference in run time is absorbed in the  $O$ -constant. The example above shows however that from a more practical point of view, class invariants give a big improvement.

The theory of class invariants is well understood *in the complex analytic setting*. Using complex analytic techniques, it is now a rather mechanical process [21] to decide if  $f(\omega)$  is a class invariant, and if so compute its minimal polynomial  $P_{\Delta}^{\mathfrak{f}}$ .

In this paper we explain how to work with class invariants over *non-archimedean* fields  $\mathbf{Q}_p$ . The functions we will use are integral over  $\mathbf{Z}[j]$ , and most of our computations will take place in the ring  $\mathbf{Z}_p$ . Computing over  $\mathbf{Z}_p$  instead of over  $\mathbf{C}$  has the advantage that rounding errors cannot occur when computing the minimal polynomial  $P_{\Delta}^{\mathfrak{f}}$  of a class invariant. This gives our approach an edge over the complex analytic approach. Our computer experiments indicate that our algorithm is also reasonably fast in practice. Without trying to write highly optimized code, we computed the polynomial

$$P_{-92806391}^{\mathfrak{f}} \in \mathbf{Z}[X]$$

for the Weber- $\mathfrak{f}$  function and an order of discriminant roughly  $-10^8$  in roughly 15 minutes on our standard 32-bit, 2.8 GHz PC. In comparison, it took the computer

algebra package Magma many hours to compute this polynomial using a complex analytic algorithm on the same PC. We expect that with optimized code, our algorithm has the potential to obtain similar timings as in [8] where an *optimized* version of the complex analytic approach is used.

As a byproduct of our algorithm, we get an algorithm to compute  $P_{\Delta}^f$  modulo a prime  $p$  and we can in turn use this information (for certain functions  $f$ ) to compute  $P_{\Delta}^f$  using a ‘Chinese remainder theorem’-approach as in [2]. The CRT-approach is currently the fastest method [22] to compute the Hilbert class polynomial  $P_{\Delta}^j$ , and we expect that the ‘one  $p$ -adic digit version’ of our algorithm can be used to give a fast CRT-approach to compute  $P_{\Delta}^f$ . This paper solely focuses on computing  $P_{\Delta}^f$  over the  $p$ -adics however.

Our treatment of class invariants is of a more geometric nature than the complex analytic treatment. To keep the geometry manageable, we will mostly restrict ourselves to modular functions  $f$  of level  $N$  with the property that the natural map

$$f : \Gamma(f) \backslash \mathbf{H} \rightarrow \mathbf{A}^1$$

induced by the inclusion  $\Gamma(f) \subseteq \mathrm{SL}_2(\mathbf{Z})$  has *degree one*. Here,  $\Gamma(f) \subseteq \mathrm{SL}_2(\mathbf{Z})$  denotes the stabilizer of  $f$  inside the special linear group  $\mathrm{SL}_2(\mathbf{Z})$ . Examples of such functions include the aforementioned Weber function  $\mathfrak{f}$  and a cube root  $\gamma_2$  of the  $j$ -function. If  $f$  has degree one, then we can rigorously prove that our approach works. If  $f$  has larger degree, then we need to rely on *heuristics* to prove the correctness of our algorithm. We make the heuristics precise in Section 5.

The main algorithmic ingredient of our algorithm is the *modular polynomial*  $\Phi_l^f$  relating the complex analytic functions  $f(z)$  and  $f(lz)$  for a prime  $l$  not dividing the level  $N$ . These polynomials  $\Phi_l^f$  are a generalization of the classical modular polynomial for the  $j$ -function. In Section 5 we give the geometric interpretation of  $\Phi_l^f$  and prove reduction properties of the curve  $\Phi_l^f = 0$ .

Our algorithm is an extension of the  $p$ -adic algorithm for the  $j$ -function [3, 7], which we briefly recall in Section 2. In Section 3 we recall properties of the modular function field and give a ‘weak version’ of Shimura reciprocity linking modular functions and ring class fields. The geometric approach to class invariants is developed in Sections 4 and 5, the resulting algorithm is stated in Section 6. We illustrate the algorithm with a detailed example in Section 7.

## 2. $p$ -ADIC ALGORITHM FOR $j$ -FUNCTION

In this section we explain the  $p$ -adic algorithm to compute, on input of a discriminant  $\Delta < -4$ , the Hilbert class polynomial  $P_{\Delta}^j \in \mathbf{Z}[X]$ . For more details, proofs, and examples, see [3, 7].

As before, let  $\mathcal{O} \subset K = \mathbf{Q}(\sqrt{\Delta})$  be the imaginary quadratic order of discriminant  $\Delta$ . Let  $p$  be a ‘small’ prime that splits completely in the ring class field  $H_{\mathcal{O}}$ . Since a prime splits completely in the ring class field if and only if it splits into

principal primes in  $\mathcal{O}$ , we can find such  $p$  by looking for an integer solution to the equation

$$4p = x^2 - \Delta y^2 \quad (2.1)$$

with  $p$  prime. Under GRH, we may take  $p$  of size  $\tilde{O}(|\Delta|)$  by [3, Lemma 3.1].

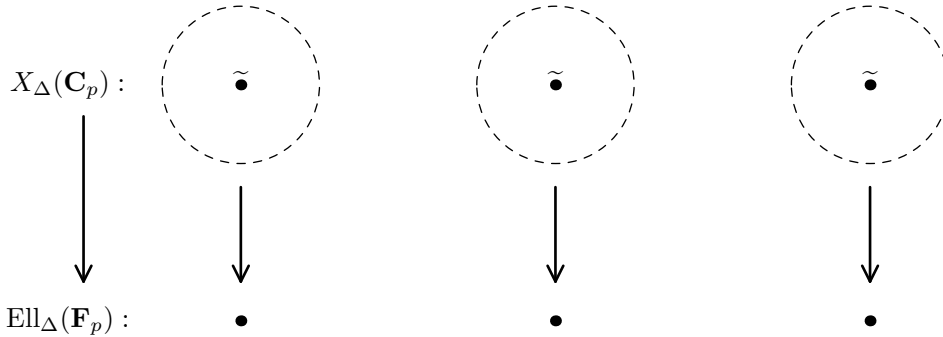
The set

$$\text{Ell}_\Delta(\mathbf{Q}_p) = \{j \in \mathbf{Q}_p \mid \exists E/\mathbf{Q}_p \text{ with } j(E) = j \text{ and } \text{End}(E) = \mathcal{O}\},$$

is a finite set of cardinality  $\#\text{Pic}(\mathcal{O})$ , and consists of the roots of  $P_\Delta^j \in \mathbf{Q}_p[X]$ . The set  $\text{Ell}_\Delta(\mathbf{F}_p)$  is defined similarly. Its elements are the  $\#\text{Pic}(\mathcal{O})$   $j$ -invariants of elliptic curves over  $\mathbf{F}_p$  with endomorphism ring  $\mathcal{O}$ , or equivalently, the roots of  $P_\Delta^j \in \mathbf{F}_p[X]$ .

We first construct an (ordinary) elliptic curve  $\overline{E}/\mathbf{F}_p$  with  $j(\overline{E}) \in \text{Ell}_\Delta(\mathbf{F}_p)$ . Write  $N = p + 1 - x$  with  $x$  as in equation (2.1). We try *random* curves over  $\mathbf{F}_p$  until we find a curve  $\overline{E}$  with  $N$  points. The subring  $\mathbf{Z}[\text{Frob}] \subseteq \mathcal{O}$  generated by the Frobenius morphism then has index  $y \geq 1$ . For cryptographic applications, we are mostly interested in the case that  $\mathcal{O}$  is the maximal order. If we have  $y = 1$  in this case, we know that the curve  $\overline{E}$  has endomorphism ring  $\mathcal{O}$ . For the general case, the equality  $\#\overline{E}(\mathbf{F}_p) = N$  does not imply that  $\overline{E}$  has endomorphism ring  $\mathcal{O}$ . We then compute the endomorphism ring  $\text{End}(\overline{E})$  using [16] and apply an isogeny of degree dividing  $[\mathcal{O}_K : \mathbf{Z}[\text{Frob}]]$  to find an elliptic curve with endomorphism ring  $\mathcal{O}$ . We refer to [3, Section 3] for details, and fix an elliptic curve  $\overline{E}/\mathbf{F}_p$  with  $\text{End}(\overline{E}) = \mathcal{O}$  for the remainder of this section.

We want to lift  $j(\overline{E}) \in \mathbf{F}_p$  to  $\tilde{j} \in \text{Ell}_\Delta(\mathbf{Q}_p)$ . Let  $\mathbf{C}_p$  be the completion of an algebraic closure of  $\mathbf{Q}_p$ , and put  $X_\Delta(\mathbf{C}_p) = \{j \in \mathbf{C}_p \mid j \bmod p \in \text{Ell}_\Delta(\mathbf{F}_p)\}$ . The set  $X_\Delta(\mathbf{C}_p)$  consists of  $\#\text{Pic}(\mathcal{O})$  discs of  $p$ -adic radius 1. Each disc contains exactly one element of the set  $\text{Ell}_\Delta(\mathbf{Q}_p)$  that we want to compute.



Let  $E/\mathbf{Q}_p$  be an elliptic curve with endomorphism ring  $\mathcal{O}$ . For an invertible ideal  $I \subset \mathcal{O}$ , there exists an elliptic curve  $E^I/\mathbf{Q}_p$  and a separable isogeny  $E \rightarrow E^I$  which has the subgroup  $E[I]$  of  $I$ -torsion points as kernel. We obtain a bijection  $\rho_I : \text{Ell}_\Delta(\mathbf{Q}_p) \rightarrow \text{Ell}_\Delta(\mathbf{Q}_p)$  that sends  $j(E)$  to  $j(E^I)$ . The fundamental idea in [7] is that the map  $\rho_I$  has a natural extension to a map

$$\rho_I : X_\Delta(\mathbf{C}_p) \rightarrow X_\Delta(\mathbf{C}_p)$$

for invertible  $\mathcal{O}$ -ideals  $I$  that are coprime to  $p$ . For principal ideals  $I$ , the map  $\rho_I$  has the set  $\text{Ell}_\Delta(\mathbf{Q}_p)$  as unique fixed points.

To define  $\rho_I(j)$  for  $j \in X_\Delta(\mathbf{C}_p)$ , choose an elliptic curve  $E/\mathbf{C}_p$  with  $j(E) = j$  that has good reduction modulo  $p$ . Assume that  $I$  is coprime to  $p$ , and let  $l \in \mathbf{Z}_{>0}$  be its norm. The reduced curve  $E'/\mathbf{F}_p$  has endomorphism ring  $\mathcal{O}$ , and the subgroup  $E'[I] \subset E'[l]$  lifts canonically to a subgroup  $S \subset E[l]$ . We put  $\rho_I(j) = j(E/S)$ . Note that for  $j \in \text{Ell}_\Delta(\mathbf{Q}_p)$  we have  $S = E[I]$ , so  $\rho_I$  is indeed an extension of the map defined on  $\text{Ell}_\Delta(\mathbf{Q}_p)$ .

One proves [3, Theorem 4.2] that (for  $\Delta < -4$ ) for principal ideals  $I = (\alpha) \not\subset \mathbf{Z}$ , the map  $\rho_\alpha : X_\Delta(\mathbf{C}_p) \rightarrow X_\Delta(\mathbf{C}_p)$  is analytic, i.e., it can locally be given by a power series. In this case, the derivative at  $\tilde{j} \in \text{Ell}_\Delta(\mathbf{Q}_p)$  is given by  $\alpha/\bar{\alpha}$ , cf. [3, Lemma 4.3]. Here,  $\bar{\alpha}$  denotes the complex conjugate of  $\alpha$ . If  $j_1 \in \mathbf{C}_p$  denotes any integral lift of  $j(\bar{E}) \in \text{Ell}_\Delta(\mathbf{F}_p)$ , the ‘Newton process’

$$j_{k+1} = j_k - \frac{\rho_\alpha(j_k) - j_k}{(\alpha/\bar{\alpha}) - 1} \quad \text{for } k \in \mathbf{Z}_{\geq 1} \quad (2.2)$$

converges to the canonical lift  $\tilde{j} \in \text{Ell}_\Delta(\mathbf{Q}_p)$  if  $\alpha/\bar{\alpha} - 1$  is a  $p$ -adic unit. For  $k = 1$  the computation is performed with two  $p$ -adic digits of precision, and the precision is doubled at each step. The accuracy required for the computation of  $P_\Delta^j$  can be explicitly bounded [3, Section 7].

The run time of the lifting phase depends heavily on the choice of  $\alpha$ . The equality  $\rho_{IJ} = \rho_I \rho_J$  shows that we want  $\alpha$  to be *smooth*, i.e., only divisible by primes of ‘small’ norm. Then  $\rho_\alpha$  factors as a product of maps, corresponding to the prime divisors of  $(\alpha)$ , that are quicker to compute. The smoothness properties are ‘in practice’ a lot better than what can be rigorously proved [7, Lemma 2]. At the end of this section we give more details on the explicit computation of  $\rho_\alpha$ .

Once we have computed the canonical lift with a high enough accuracy of  $n$   $p$ -adic digits, we need to compute its conjugates under the action of the Picard group  $\text{Pic}(\mathcal{O})$ . This can be done using the same techniques as before, since the action of an ideal class  $[I] \in \text{Pic}(\mathcal{O})$  is given by

$$j(E) \mapsto j(E^I) = \rho_I(j(E)).$$

We compute small generators of the Picard group, and compute the Galois conjugates of  $\tilde{j}$ . In the end we expand the Hilbert class polynomial

$$P_\Delta^j = \prod_{[I] \in \text{Pic}(\mathcal{O})} (X - \rho_I(\tilde{j})) \in (\mathbf{Z}_p/p^n)[X]$$

and lift the coefficients to integers between  $-p^n/2$  and  $p^n/2$ .

We explain how to explicitly compute the map  $\rho_I$ . It suffices to show how to treat the case that  $I$  is a prime ideal, and we let  $l \neq p$  be its norm. For  $j(\bar{E}) \in \text{Ell}_\Delta(\mathbf{F}_p)$ , the

isogeny  $\overline{E} \rightarrow \overline{E}^I$  has degree  $l$ . Let  $\Phi_l(X, Y) \in \mathbf{Z}[X, Y]$  be the classical *modular polynomial* of level  $l$ . It is a singular model for the modular curve  $X_0(l)$  parametrizing (cyclic)  $l$ -isogenies. This means that  $j(\overline{E}^I) \in \mathbf{F}_p$  is a root of  $\Phi_l(X, j(\overline{E})) \in \mathbf{F}_p[X]$ . Under the mild condition that the  $l$ -torsion  $\overline{E}[l]$  is not  $\mathbf{F}_p$ -rational, this polynomial has only *two* roots in  $\mathbf{F}_p$  by [3, Theorem 5.1], namely  $j(\overline{E}^I)$  and  $j(\overline{E}^{\overline{I}})$ . Choose a root  $h$ .

We need to decide if we have  $\rho_I(j(\overline{E})) = h$  or not. Let  $\overline{E}/S$  have  $j$ -invariant  $h$ , corresponding to a cyclic subgroup  $S \subset \overline{E}[l]$  of order  $l$ , i.e.,  $S$  is the kernel of the isogeny  $\overline{E} \rightarrow \overline{E}/S$ . Choosing a Weierstraß equation

$$Y^2 = X^3 + aX + b$$

for  $\overline{E}$ , the techniques that Elkies used to improve Schoof's original point counting algorithm [19, Sections 7, 8] allow us to compute a polynomial  $f_S \in \mathbf{F}_p[X]$  that vanishes exactly on the  $x$ -coordinates of the points in  $S$ .

Write  $I = (l, c + d\pi_p)$  with  $\pi_p \in \mathcal{O}$  an element of norm  $p$ . Then the group  $\overline{E}[I]$  is an eigenspace for the action of Frobenius with eigenvalue  $-c/d \in \mathbf{F}_l$ . We now test if  $(X^p, Y^p) = -c/d \cdot (X, Y)$  holds for the points in  $S$ , i.e., we compute both  $(X^p, Y^p)$  and  $(-c/d) \cdot (X, Y)$  in the ring

$$\mathbf{F}_p[X, Y]/(f_S(X), Y^2 - X^3 - aX - b).$$

If they are equal, we have  $h = \overline{\rho}_I(j(\overline{E}))$ . Otherwise, we need to take the other root of  $\Phi_l(j(\overline{E}), X)$ .

We have ‘decomposed’ the map  $\overline{\rho}_\alpha : \text{Ell}_\Delta(\mathbf{F}_p) \rightarrow \text{Ell}_\Delta(\mathbf{F}_p)$  as a cycle of isogenies. Using modular polynomials, it is a simple matter to lift this cycle of maps

$$j(\overline{E}) \xrightarrow{I_1} j(\overline{E}^{I_1}) \longrightarrow \dots \xrightarrow{I_n} j(\overline{E}^{(\alpha)}) = j(\overline{E})$$

over  $\mathbf{F}_p$  to a ‘cycle’  $j_k \longrightarrow \dots \longrightarrow \rho_\alpha(j_k)$  over  $\mathbf{Q}_p$ . Indeed, we know that  $\Phi_l(j_k, X) \in \mathbf{Z}_p[X]$  only has two roots in  $\mathbf{Z}_p$ , and since we know  $j(\overline{E}^I) \in \mathbf{F}_p$ , we know which root is  $\rho_I(j_k)$ . This enables us to compute the map  $\rho_\alpha$  on  $X_\Delta(\mathbf{C}_p)$ .

### 3. SHIMURA RECIPROCITY OVER THE RING CLASS FIELD

Let  $f : \mathbf{H} \rightarrow \mathbf{C}$  be a modular function. If we evaluate  $f$  at a generator  $\omega$  of the  $\mathbf{Z}$ -algebra  $\mathcal{O} = \mathbf{Z}[\omega]$ , then the result  $f(\omega)$  will typically lie in an *extension field* of the ring class field  $H_{\mathcal{O}}$ , see Theorem 3.2 below. However, in special cases it turns out that  $f(\omega)$  does lie in  $H_{\mathcal{O}}$  and generates the extension  $H_{\mathcal{O}}/K$ . Following Weber, we call  $f(\omega)$  a *class invariant* in this case.

The example in the introduction shows that the minimal polynomial of a class invariant  $f(\omega)$  can be a lot smaller than the Hilbert class polynomial. To quantify

the improvement we get by using the modular function  $f$  instead of  $j$ , we define the *reduction factor*

$$r(f) = \frac{\deg_f(\Psi_f)}{\deg_j(\Psi_f)},$$

where  $\Psi_f$  is an irreducible polynomial with  $\Psi_f(j, f) = 0$ . By [14, Proposition B.3.5], the value  $r(f)$  is, asymptotically, the inverse of the quotient

$$\lim_{h(j(\tau)) \rightarrow \infty} \frac{h(f(\tau))}{h(j(\tau))}.$$

Here  $h$  is the absolute logarithmic height, and we take the limit over all CM-points  $\mathrm{SL}_2(\mathbf{Z}) \cdot \tau \in \mathbf{H}$ . We see that  $r(f)$  is a good measure for the improvement we get by computing the minimal polynomial of a class invariant  $f(\omega)$ . We have  $r(\gamma_2) = 3$ , and the value  $r(f) = 72$  is close to optimal in view of the upper bound  $r(f) \leq 101$  proved in [5]. We refer to [9] for an overview of the ‘available functions’ and their reduction factors. In this section we explain a method, due to Shimura, that enables us to decide if a modular function  $f$  yields class invariants for a given imaginary quadratic order  $\mathcal{O}$ .

For an integer  $N > 0$ , let

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbf{Z}) \mid a \equiv d \equiv 1 \pmod{N}, b \equiv c \equiv 0 \pmod{N} \right\}$$

be the full congruence subgroup of level  $N$ . The modular group  $\mathrm{SL}_2(\mathbf{Z})$  acts on the complex upper half plane  $\mathbf{H}$  and its completion  $\overline{\mathbf{H}} = \mathbf{H} \cup \mathbf{P}^1(\mathbf{Q})$  by fractional linear transformations. The quotient  $\Gamma(N) \backslash \overline{\mathbf{H}}$  has the structure of a compact Riemann surface, and as such, it is isomorphic to the modular curve  $X(N)$  over  $\mathbf{C}$ .

It is well known that the modular curve  $X(N)$  can be defined over the cyclotomic field  $\mathbf{Q}(\zeta_N)$ , where  $\zeta_N$  is a primitive  $N$ th root of unity. Let  $F_N$  be the function field of  $X(N)$  over  $\mathbf{Q}(\zeta_N)$ . We have  $F_1 = \mathbf{Q}(j)$ . Elements of  $F_N$  are called *modular functions of level  $N$* . Explicitly, a function  $f : \overline{\mathbf{H}} \rightarrow \mathbf{C}$  is called modular if it is invariant under  $\Gamma(N)$  and if the coefficients of its Fourier expansion – which it has because  $\begin{pmatrix} 1 & N \\ 0 & 1 \end{pmatrix}$  is an element of  $\Gamma(N)$  – lie in  $\mathbf{Q}(\zeta_N)$ .

Define the function  $m$  by

$$m(w, \tau) = -2^7 3^5 \cdot \frac{g_2(\tau)g_3(\tau)}{\Delta(\tau)} \wp(w; \langle 1, \tau \rangle)$$

for  $w \in \mathbf{C}$  and  $\tau \in \mathbf{H}$ . Here,  $\wp(\cdot; \langle 1, \tau \rangle)$  is the Weierstraß  $\wp$ -function associated to the lattice  $\mathbf{Z} + \mathbf{Z} \cdot \tau$ . For  $r, s \in \frac{1}{N}\mathbf{Z}/\mathbf{Z}$ , not both 0, define the *Fricke function*  $f_{r,s}$  of level  $N$  by

$$f_{r,s}(\tau) = m(rN + s, \tau).$$

The Fourier coefficients of  $f_{r,s}$  are contained in  $\mathbf{Q}(\zeta_N)$ . If we fix  $\tau$  and let  $r, s$  vary over  $\frac{1}{N}\mathbf{Z}/\mathbf{Z}$ , not both equal to 0, we get the normalized  $x$ -coordinates of the  $N^2 - 1$  non-trivial points of order  $N$  of the complex elliptic curve  $\mathbf{C}/(\mathbf{Z} + \mathbf{Z} \cdot \tau)$ .

**Theorem 3.1.** *We have*

$$F_N = \mathbf{Q}(j, f_{r,s} \mid r, s \in \frac{1}{N}\mathbf{Z}/\mathbf{Z}, \text{ not both } 0).$$

**Proof.** [17, Theorem 6.2 and beginning of Section 6.3].

The extension  $F_N/F_1$  is Galois with group  $\mathrm{GL}_2(\mathbf{Z}/N\mathbf{Z})/\{\pm 1\}$ . This combines the geometric  $\mathrm{SL}_2(\mathbf{Z}/N\mathbf{Z})/\{\pm 1\}$ -action coming from the Galois cover  $X(N)_{\mathbf{C}}/X(1)_{\mathbf{C}}$  with the arithmetic  $(\mathbf{Z}/N\mathbf{Z})^*$ -action coming from  $\mathbf{Q}(\zeta_N)/\mathbf{Q}$ . Here,  $\sigma_d : \zeta_N \mapsto \zeta_N^d$  acts on a modular function  $f = \sum_k c_k \cdot q^{k/N}$  via

$$f^{\sigma_d} = \sum_k \sigma_d(c_k) \cdot q^{k/N}. \quad (3.1)$$

Let  $\widehat{\mathcal{O}} = \mathcal{O} \otimes_{\mathbf{Z}} \widehat{\mathbf{Z}}$  be the profinite completion of the imaginary quadratic order  $\mathcal{O} \subset K$ . Class field theory tells us that the Galois group  $\mathrm{Gal}(K^{\mathrm{ab}}/H_{\mathcal{O}})$  of the maximal abelian extension of  $H_{\mathcal{O}}$  is given by the exact sequence

$$1 \longrightarrow \mathcal{O}^* \longrightarrow \widehat{\mathcal{O}}^* \xrightarrow{\mathrm{Artin}} \mathrm{Gal}(K^{\mathrm{ab}}/H_{\mathcal{O}}) \longrightarrow 1.$$

We obtain  $K^{\mathrm{ab}}$  as the union of finite extensions  $H_{N,\mathcal{O}}$  corresponding to the finite quotients

$$\widehat{\mathcal{O}}^* \twoheadrightarrow (\widehat{\mathcal{O}}/N\widehat{\mathcal{O}})^* = (\mathcal{O}/N\mathcal{O})^*.$$

The field  $H_{N,\mathcal{O}}$  is called the *ray class field of conductor  $N$  for the order  $\mathcal{O}$* , and the Artin map gives an isomorphism

$$(\mathcal{O}/N\mathcal{O})^*/\mathrm{Im}[\mathcal{O}^*] \xrightarrow{\sim} \mathrm{Gal}(H_{N,\mathcal{O}}/H_{\mathcal{O}}).$$

If  $\mathcal{O}$  is the maximal order of  $K$ , the field  $H_{N,\mathcal{O}}$  is the ray class field of conductor  $N$  of  $K$ .

**Theorem 3.2.** *Let  $f \in F_N$  be modular of level  $N$ , and write  $\mathcal{O} = \mathbf{Z}[\omega]$ . If  $f(\omega)$  is finite, we have  $f(\omega) \in H_{N,\mathcal{O}}$ .*

**Proof.** See [17, Chapter 10]. □

Let the notation be as in Theorem 3.2 above. Then we have  $f(\omega) \in H_{\mathcal{O}} \subseteq H_{N,\mathcal{O}}$  if  $f(\omega)$  is a class invariant. To decide if this is the case for a given value  $f(\omega)$ , we need to know the Galois action of  $\mathrm{Gal}(H_{N,\mathcal{O}}/H_{\mathcal{O}})$  on values of modular functions. A variant of Shimura reciprocity, described below, enables us to compute this action.

Let  $g_{\omega} : (\mathcal{O}/N\mathcal{O})^* \rightarrow \mathrm{GL}_2(\mathbf{Z}/N\mathbf{Z})$  be the map that sends  $x$  to the transpose of the matrix representing multiplication by  $x$  on the  $(\mathbf{Z}/N\mathbf{Z})$ -module  $\mathbf{Z}/N\mathbf{Z}\omega + \mathbf{Z}/N\mathbf{Z}$  with respect to the basis  $[\omega, 1]$ . The map  $g_{\omega}$  connects the rows in the diagram below.

$$\begin{array}{ccccccc} \mathcal{O}^* & \longrightarrow & (\mathcal{O}/N\mathcal{O})^* & \xrightarrow{\text{Artin}} & \text{Gal}(H_{N,\mathcal{O}}/H_{\mathcal{O}}) & \longrightarrow & 1 \\ & & \downarrow g_{\omega} & & & & \\ \{\pm 1\} & \longrightarrow & \text{GL}_2(\mathbf{Z}/N\mathbf{Z}) & \longrightarrow & \text{Gal}(F_N/\mathbf{Q}(j)) & \longrightarrow & 1. \end{array}$$

Explicitly, if  $\omega$  has minimal polynomial  $X^2 + bX + c \in \mathbf{Z}[X]$ , then we have

$$g_{\omega} : \quad x = s\omega + t \longmapsto \begin{pmatrix} t - bs & -cs \\ s & t \end{pmatrix}.$$

The content of Shimura's reciprocity law is that the Galois conjugate  $f(\omega)^x$  of  $f(\omega)$  under the Artin symbol  $\text{Artin}(x) \in \text{Gal}(H_{N,\mathcal{O}}/H_{\mathcal{O}})$  may be computed via the reciprocity relation

$$(f(\omega))^x = (f^{g_{\omega}(x^{-1})})(\omega),$$

cf. [20, Theorem 6.31]. If the extension  $F_N/\mathbf{Q}(f)$  is Galois, we have the fundamental equivalence

$$(f(\omega))^x = f(\omega) \iff f^{g_{\omega}(x)} = f.$$

The implication  $\Leftarrow$  is immediate from the reciprocity relation. The other implication requires the hypothesis and an additional argument [20, Proposition 6.33].

We compute generators  $x_1, \dots, x_k$  for  $(\mathcal{O}/N\mathcal{O})^*$  and map them to  $\text{GL}_2(\mathbf{Z}/N\mathbf{Z})$  using the map  $g_{\omega}$ . The value  $f(\omega)$  is contained in the ring class field  $H_{\mathcal{O}}$  if and only if  $g_{\omega}(x_1), \dots, g_{\omega}(x_k)$  act trivially on  $f$ . If we for instance also know that there is an inclusion  $\mathbf{Q}(j) \subseteq \mathbf{Q}(f)$ , then  $f(\omega)$  is also a class invariant if  $g_{\omega}(x_1), \dots, g_{\omega}(x_k)$  act trivially on  $f$ . We refer to [13] for examples.

#### 4. CLASS INVARIANTS OVER $\mathbf{Q}_p$

In this section we extend the  $p$ -adic algorithm from Section 2 to work with modular functions other than the  $j$ -function. The description we present in this section is not ideally suited for explicit computations yet, and serves as a stepping stone for the more practical version in Section 5. Throughout this section, we fix a modular function  $f$  of level  $N \geq 1$  that is *integral* over the ring  $\mathbf{Z}[j]$ , i.e.,  $f$  is a root of some monic irreducible polynomial  $\Psi_f(X) \in (\mathbf{Z}[j])[X]$ . All known modular functions yielding class invariants are integral. We do *not* assume that  $f$  has degree one in this section.

As before, let  $p$  be a prime that splits completely in the ring class field  $H_{\mathcal{O}}$  for the order  $\mathcal{O}$  of discriminant  $\Delta < -4$ . For a  $j$ -value  $j(\tilde{E}) \in \text{Ell}_{\Delta}(\mathbf{Q}_p)$ , the roots of the polynomial  $\Psi_f(X, j(\tilde{E})) \in H_{\mathcal{O}}[X]$  lie in the ray class field of conductor  $H_{N,\mathcal{O}}$  of conductor  $N$  for the order  $\mathcal{O}$ , cf. Theorem 3.2. If we know that  $f$  yields class invariants, for instance by using Shimura reciprocity, we know that some of these roots actually lie in the ring class field  $H_{\mathcal{O}}$ . We need to decide which ones, and compute the action of the Galois group  $\text{Gal}(H_{\mathcal{O}}/K) \cong \text{Pic}(\mathcal{O})$  on such roots.

The key observation is that  $f$  is an element of the function field

$$F_N = \mathbf{Q}(j, f_{r,s} \mid r, s \in \frac{1}{N}\mathbf{Z}/\mathbf{Z}, \text{ not both } 0)$$

of the modular curve  $X(N)$  over  $\mathbf{Q}(\zeta_N)$ , cf. Theorem 3.1. The Fricke functions  $f_{r,s}$  are normalized  $x$ -coordinates of  $N$ -torsion of points on the elliptic curve  $\mathbf{C}/(\mathbf{Z} + \mathbf{Z} \cdot \tau)$ , and we can write  $f$  as a  $\mathbf{Q}$ -rational function in  $j$  and the functions  $f_{r,s}$ .

Fix a primitive  $N$ th root of unity  $\zeta_N \in \overline{\mathbf{Q}_p}$ . For  $a \in (\mathbf{Z}/N\mathbf{Z})^*$ , let  $Y(N)_a$  be the modular curve parametrizing isomorphism classes of triples

$$(E, P, Q)$$

where  $P, Q \in E[N]$  form a basis that maps to  $\zeta_N^a$  under the Weil pairing  $e_N$ . Then  $f$  is an element of the function field of  $Y(N)_{a, \overline{\mathbf{Q}_p}}$  for every  $a \in (\mathbf{Z}/N\mathbf{Z})^*$ .

Let  $x \in \mathbf{Q}_p$  be a root of  $\Psi_f(X, j(\tilde{E})) \in \mathbf{Q}_p[X]$ . There exist  $a \in (\mathbf{Z}/N\mathbf{Z})^*$  and  $(\tilde{E}, P, Q) \in Y(N)_a(\overline{\mathbf{Q}_p})$  with  $f(\tilde{E}, P, Q) = x \in \mathbf{Q}_p$ .

The group of invertible  $\mathcal{O}$ -ideals acts on  $\text{Ell}_\Delta(\mathbf{Q}_p)$  via  $j(E) \mapsto j(E^I)$ , and the action of the Artin symbol  $[I, H_{\mathcal{O}}/K]$  of  $I$  for the extension  $H_{\mathcal{O}}/K$  satisfies  $j(\tilde{E})^{[I, H_{\mathcal{O}}/K]} = j(\tilde{E}^I)$ . If  $N$  is coprime to the norm  $l$  of  $I$ , the isogeny

$$\varphi_I : \tilde{E} \longrightarrow \tilde{E}^I$$

extends to a natural isomorphism

$$\varphi_I : \tilde{E}[N] \xrightarrow{\sim} \tilde{E}^I[N].$$

A basis  $\langle P, Q \rangle$  for  $\tilde{E}[N]$  gets mapped to a basis  $\langle P^I, Q^I \rangle$  for  $\tilde{E}^I[N]$ . We compute

$$e_N(P^I, Q^I) = e_N(P, \hat{\varphi}_I(Q^I)) = e_N(P, lQ) = \zeta_N^l$$

and conclude that we have  $(\tilde{E}^I, P^I, Q^I) \in Y(N)_{la}(\overline{\mathbf{Q}_p})$ . We have the fundamental equality

$$f(\tilde{E}, P, Q)^{[I, H_{N, \mathcal{O}}/K]} = f(\tilde{E}^I, P^I, Q^I).$$

We can explicitly compute the isogeny  $\varphi_I$ : first we compute the kernel polynomial  $g_I \in \mathbf{Q}_p[X]$  corresponding to  $I$  using the ‘Atkin-Elkies techniques’ alluded to in Section 2 and then we compute the isogeny using Vélú’s formulas [23]. Hence, we have a way of computing  $f(\tilde{E}, P, Q)^{[I, H_{N, \mathcal{O}}/K]}$ .

A root  $x \in \mathbf{Q}_p$  of  $\Psi_f(X, j(\tilde{E})) \in \mathbf{Q}_p[X]$  lies in  $H_{\mathcal{O}}$  if and only if it is invariant under the action of

$$\text{Gal}(H_{N, \mathcal{O}}/H_{\mathcal{O}}) \cong (\mathcal{O}/N\mathcal{O})^*/\text{Im}(\mathcal{O}^*).$$

We write  $x = f(\tilde{E}, P, Q)$  for some choice of basis  $P, Q \in \tilde{E}[N]$  and test whether  $x^{[(y), H_{N, \mathcal{O}}/H_{\mathcal{O}}]} = x$  holds for all generators  $y$  of  $(\mathcal{O}/N\mathcal{O})^*/\text{Im}(\mathcal{O}^*)$ .

Once we have found that a certain root  $x \in \mathbf{Q}_p$  lies in the ring class field  $H_{\mathcal{O}}$ , we need to compute its conjugates under  $\text{Gal}(H_{\mathcal{O}}/K) \cong \text{Pic}(\mathcal{O})$ . This proceeds exactly as before, since we have

$$x^{[I, H_{\mathcal{O}}/K]} = f(\tilde{E}, P, Q)^{[I, H_{\mathcal{O}}/K]} = f(\tilde{E}^I, P^I, Q^I) \in \mathbf{Q}_p$$

for invertible  $\mathcal{O}$ -ideals  $I$  that are coprime to the level  $N$ . If the minimal polynomial of  $x$  has integer coefficients, then the coefficients of

$$P_{\Delta}^f = \prod_{[I] \in \text{Pic}(\mathcal{O})} (X - f(\tilde{E}, P, Q)^{[I, H_{\mathcal{O}}/K]}) \in \mathbf{Q}_p[X]$$

lie in the subring  $\mathbf{Z} \subset \mathbf{Q}_p$ . If we know an upper bound on the logarithmic height of the coefficients, then we can compute all conjugates of  $x = f(\tilde{E}, P, Q) \in \mathbf{Z}_p/p^n$  with high enough  $p$ -adic accuracy and lift the coefficients of  $P_{\Delta}^f \in (\mathbf{Z}_p/p^n)[X]$  to integers between  $-p^n/2$  and  $p^n/2$ , just like we did for the  $j$ -function in Section 2.

**Example.** Let  $\gamma_2 : \mathbf{H} \rightarrow \mathbf{C}$  be the holomorphic cube root of  $j$  with integral Fourier expansion. It is a classical fact that  $\gamma_2$  is modular of level 3. It yields class invariants for all imaginary quadratic orders  $\mathcal{O}$  in which 3 is unramified [24, §125].

Let  $E : Y^2 = X^3 + aX + b$  be an elliptic curve over  $\mathbf{Q}_p$ , with  $p > 3$ . Let  $c_1, \dots, c_4 \in \overline{\mathbf{Q}_p}$  be the roots of the 3-division polynomial of degree  $(3^2 - 1)/2 = 4$ . Then

$$\frac{-48a}{2a - 3(c_1c_2 + c_3c_4)} \tag{4.1}$$

is a cube root of  $j(E)$ , as may be checked by using the Fourier expansion of the Fricke functions. Expression (4.1) nicely illustrates that  $\gamma_2$  is not a function of an elliptic curve alone: some ordering on the 3-torsion is also required. We indeed get three distinct cube roots of  $j(E)$ . From a geometric point of view, there is no way to single out a root ‘corresponding’ to  $\gamma_2$ .

We illustrate how we can use this ‘geometric  $\gamma_2$ ’ to compute the polynomial  $P_{-31}^{\gamma_2} \in \mathbf{Z}[X]$  for the order  $\mathcal{O}$  of discriminant  $\Delta = -31$  using  $p$ -adic methods. The primes  $47 = 4^2 + 31$  and  $67 = 6^2 + 31$  both split completely in the Hilbert class field  $H = H_{\mathcal{O}}$  of  $K = \mathbf{Q}(\sqrt{-31})$ . The case  $p = 67$  best illustrates our techniques, since  $\tilde{j} \in \text{Ell}_{\Delta}(\mathbf{Q}_p)$  then has 3 cube roots in  $\mathbf{Q}_p$ .

First we compute a curve  $\tilde{E}/\mathbf{Q}_p$  with  $\text{End}(\tilde{E}) \cong \mathcal{O}$ . Since we have  $r(\gamma_2) = 3$  for the reduction factor of  $\gamma_2$ , the accuracy needed is only *one third* of the required nine 67-adic digits accuracy for the computation of the Hilbert class polynomial  $P_{-31}^j$ . Using the algorithm from Section 2 we find that we may take

$$j(\tilde{E}) = 3 + 33p - 16p^2 + O(p^3) \in \mathbf{Q}_p$$

as  $j$ -invariant. The three cube roots of  $j(\tilde{E})$  are

$$\begin{aligned} \eta_1 &= 18 + 26p + 38p^2 + O(p^3) \\ \eta_2 &= 53 + 3p + 30p^2 + O(p^3) \\ \eta_3 &= 63 + 36p + 65p^2 + O(p^3). \end{aligned}$$

Only one of them lies in the Hilbert class field  $H \subset \mathbf{Q}_p$ . Indeed, if two roots lay in  $H$ , then  $\zeta_3$  would be contained in  $H$  as well and 3 would ramify in  $H$ .

We fix a Weierstraß equation

$$Y^2 = X^3 + aX + b$$

for  $\tilde{E}/\mathbf{Q}_p$ . Let  $c_1, \dots, c_4 \in \overline{\mathbf{Q}_p}$  be the 4 roots of the 3-division polynomial for  $\tilde{E}$ . We compute 3-torsion points  $P_i$  with  $x$ -coordinates  $c_i$ . The points  $P_i$  are defined over the unramified extension of degree 4 of  $\mathbf{Q}_p$ .

Let  $I$  be an  $\mathcal{O}$ -ideal that is coprime to 3. The isogeny  $\varphi_I : \tilde{E} \rightarrow \tilde{E}^I$  extends to a natural isomorphism

$$\varphi_I : \tilde{E}[3] \xrightarrow{\sim} \tilde{E}^I[3].$$

Hence, we get a natural bijection

$$\varphi_I : \{\eta_1, \eta_2, \eta_3\} \xrightarrow{\sim} \{\text{cube roots of } j(\tilde{E}^I)\}.$$

For a cube root

$$\eta = \frac{-48a}{2a - 3(c_1c_2 + c_3c_4)}$$

we have

$$\eta^{[I, H_3/H]} = \frac{-48a'}{2a' - 3(c'_1c'_2 + c'_3c'_4)}.$$

Here,  $c'_i$  is the  $x$ -coordinate of  $\varphi_I(P_i) \in \tilde{E}^I[3]$  and  $\tilde{E}^I$  has Weierstraß equation  $Y^2 = X^3 + a'X + b'$ . The group  $(\mathcal{O}/3\mathcal{O})^*/\mathcal{O}^* \cong \mathbf{Z}/4\mathbf{Z}$  is generated by  $\alpha = \frac{-1 + \sqrt{-31}}{2}$  of norm 8. We compute  $\eta_i^{[I, H_3/H]}$  for  $I = (\alpha) = \mathfrak{p}_2^3$  and get

$$\begin{array}{ccc} \eta_1 & \xrightarrow{\varphi_I} & \eta_1 \\ \eta_2 & \xrightarrow{\varphi_I} & \eta_3 \\ \eta_3 & \xrightarrow{\varphi_I} & \eta_2. \end{array}$$

Hence,  $\eta_1 = 18 + \mathcal{O}(p)$  is a class invariant. Note that  $\varphi_{\mathfrak{p}_2}$  is just a 2-isogeny, so we do not actually need the ‘Atkin-Elkies’ techniques from [19, Sections 7, 8].

Computing the conjugates of  $\eta_1 \in H_{\mathcal{O}}$  under  $\text{Gal}(H_{\mathcal{O}}/K) \cong \text{Pic}(\mathcal{O})$  proceeds similarly. We have  $\text{Pic}(\mathcal{O}) \cong \mathbf{Z}/3\mathbf{Z} \cong \langle [\mathfrak{p}_2] \rangle$  and

$$\eta_1^{[\mathfrak{p}_2, H_{\mathcal{O}}/K]} = \varphi_{\mathfrak{p}_2}(\eta_1).$$

We compute the Galois conjugates of  $\eta_1$  up to three 67-adic digits accuracy and expand

$$P_{-31}^{\gamma_2} = \prod_{i=1}^3 (X - \varphi_{\mathfrak{p}_2}^i(\eta_1)) = X^3 + 342X^2 + 837X + 116127 \in \mathbf{Z}[X].$$

5. COMPUTING THE ACTION OF INVERTIBLE IDEALS

The theory developed in Section 4 is not directly suited for explicit computations. If we are given a modular function  $f$  of level  $N$  that is integral over  $\mathbf{Z}[j]$  as a Fourier expansion, it is not clear how to write this as a rational function in  $j$  and the Fricke functions. Secondly, we have to partially factor the  $N$ -division polynomial to use the approach from the previous section. The degree of this polynomial is roughly  $N^2$ , and factoring it annihilates the improvement gained by working with a ‘smaller’ function  $f$ . In this section we explain how to circumvent these problems if we *restrict* ourselves to functions  $f$  for which the natural map

$$f : \Gamma(f) \backslash \mathbf{H} \rightarrow \mathbf{A}^1$$

induced by the inclusion  $\Gamma(f) \subseteq \mathrm{SL}_2(\mathbf{Z})$  has degree one. Here,  $\Gamma(f) \subseteq \mathrm{SL}_2(\mathbf{Z})$  denotes the stabilizer of  $f$  inside  $\mathrm{SL}_2(\mathbf{Z})$ . If  $f$  has larger degree, then we have to rely on Heuristics 5.8 below to prove that our algorithm works.

The crucial observation is that it suffices to compute  $x^I$ , where  $x \in \mathbf{Q}_p$  is a root of  $\Psi_f(X, j(E)) \in \mathbf{Q}_p[X]$  and  $I$  is an invertible  $\mathcal{O}$ -ideal of norm coprime to  $N$ . Indeed, if we want to know which root  $x$  of  $\Psi_f(X, j(E)) \in \mathbf{Q}_p[X]$  is a class invariant, we need to check which root is invariant under the action of  $(\mathcal{O}/N\mathcal{O})^*/\mathrm{Im}(\mathcal{O}^*)$ . This amounts to computing  $x^I$  for the principal ideals  $I$  generated by generators of  $(\mathcal{O}/N\mathcal{O})^*$ . Once we know that  $x \in \mathbf{Q}_p$  is a class invariant, we need to compute  $x^I \in \mathbf{Q}_p$ , for some choice of generators  $I$  of  $\mathrm{Pic}(\mathcal{O})$  that are coprime to  $N$ .

Before showing how to compute  $x^I$  we give some theory regarding modular curves and modular polynomials.

**5.1 Modular curves.**

Let  $f$  and  $\Gamma(f)$  be as above. We have  $\Gamma(N) \subseteq \Gamma(f) \subseteq \mathrm{SL}_2(\mathbf{Z})$ , by the assumption that  $f$  is modular of level  $N$ . Write  $X(f)$  for the modular curve corresponding to the congruence subgroup  $\Gamma(f)$ . The complex points of this curve are  $\Gamma(f) \backslash \overline{\mathbf{H}}$ . The curve  $X(f)$  is a quotient of the modular curve  $X(N)$  by a subgroup of  $\mathrm{SL}_2(\mathbf{Z}/N\mathbf{Z})$ , and can be defined over  $\mathbf{Q}(\zeta_N)$ . We have a commutative diagram

$$\begin{array}{ccc} X(N) & \xrightarrow{f} & \mathbf{P}^1 \\ & \searrow & \nearrow f \\ & X(f) & \end{array}$$

and  $f : X(N) \rightarrow \mathbf{P}^1$  factors through the quotient  $X(f)$ . Likewise, there exists a curve  $X(f)_a$  for every  $a \in (\mathbf{Z}/N\mathbf{Z})^*$  such that  $f$  factors through  $X(N)_a \rightarrow \mathbf{P}^1$ . As a complex curve, we have  $X(f)_a = X(f)$ .

For ease of notation, we simply denote an affine point on  $X(f)_a$  by a triple  $(E, P, Q)$  instead of  $(\overline{E}, \overline{P}, \overline{Q})$ . Here,  $P, Q$  form a basis for the  $N$ -torsion  $E[N]$  of  $E$  with  $e_N(P, Q) = \zeta_N^a$  for a fixed choice of  $\zeta_N$ .

Let  $l$  be a prime not dividing the level  $N$ . Writing  $\Gamma(f; l) = \Gamma(f) \cap \Gamma_0(l)$ , we have inclusions

$$\Gamma(lN) \subseteq \Gamma(f; l) \subseteq \Gamma(f).$$

Let  $Y(f; l)$  and  $X(f; l)$  be the affine and projective curves corresponding to  $\Gamma(f; l)$ . They can be defined over  $\mathbf{Q}(\zeta_{lN})$ . Just as we have curves  $X(f)_a$ , we also have curves  $X(f; l)_a$ . Affine points on  $X(f; l)_a$  (or points on  $Y(f; l)_a$ ) are quadruples  $(E, P, Q, G)$ , with  $(E, P, Q) \in X(f)_a$  and  $G \subset E[l]$  a (cyclic) subgroup of order  $l$ .

There is a natural map  $s : X(f; l)_a \rightarrow X(f)_a$  and a natural map  $t : X(f; l)_a \rightarrow X(f)_{la}$ . The map  $s$  sends  $(E, P, Q, G) \in X(f; l)_a$  to  $(E, P, Q) \in X(f)_a$ . The map  $t$  sends  $(E, P, Q, G) \in X(f; l)_a$  to  $(E/G, \varphi(P), \varphi(Q))$ , where  $\varphi : E \rightarrow E/G$  has kernel  $G$ . The situation is as follows.

$$\begin{array}{ccccc}
 & & X(f; l)_a & & \\
 & \swarrow s & & \searrow t & \\
 X(f)_a & & & & X(f)_{la} \\
 \downarrow f & \nearrow F & & \nwarrow F' & \downarrow f \\
 \mathbf{P}^1 & & & & \mathbf{P}^1
 \end{array} \tag{5.1}$$

Here,  $F$  and  $F'$  are the composed maps.

**Lemma 5.1.** *The maps  $s, t$  in the diagram above both have degree  $l + 1$ .*

**Proof.** We will show that the diagram

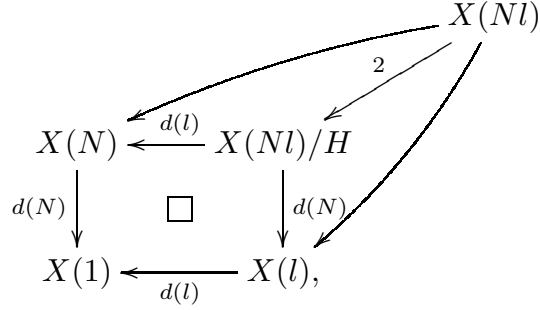
$$\begin{array}{ccc}
 X(f)_a & \xleftarrow{s} & X(f; l)_a \\
 \downarrow f & \square & \downarrow \\
 X(1) & \xleftarrow{\quad} & X_0(l)
 \end{array}$$

is Cartesian in the category of smooth projective curves with surjective maps. As the cover  $X_0(l)/X(1)$  has degree  $l + 1$ , this implies that  $s$  and  $t$  have degree  $l + 1$ . Here, the maps on the ‘lower right part’ of the square are the forgetful maps. Instead of working over  $\mathbf{Q}(\zeta_N)$ , we will work over  $\mathbf{C}$ ; the same result then holds over  $\mathbf{Q}(\zeta_N)$ . We may then omit the subscript  $a$  in the diagram. Moreover, it is easier to work with  $X(N)/X(1)$  and  $X(l)/X(1)$  instead of  $X(f)/X(1)$  and  $X_0(l)/X(1)$ , since in this case we explicitly know the Galois groups.

The fibre product of  $X(l)$  and  $X(N)$  is almost equal to  $X(Nl)$ . Indeed, writing  $d(k) = \#(\mathrm{SL}_2(\mathbf{Z}/k\mathbf{Z})/\{\pm 1\})$ , the degree of  $X(Nl)/X(1)$  is

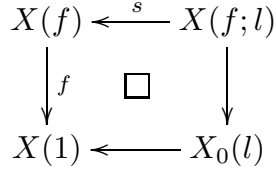
$$\#\mathrm{SL}_2(\mathbf{Z}/Nl\mathbf{Z})/\{\pm 1\} = 2 \cdot d(N)d(l),$$

and we obtain the following diagram



where  $H$  is the subgroup  $\{1\} \times \{\pm 1\} \subseteq \mathrm{SL}_2(\mathbf{Z}/N\mathbf{Z}) \times \mathrm{SL}_2(\mathbf{Z}/l\mathbf{Z})$ . Since we are working over  $\mathbf{C}$ , we know that the degrees on parallel sides of the square are equal. Hence, the square is Cartesian.

Since we have  $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \in \Gamma_0(l)$ , the curve  $X(Nl)/H$  is a cover of  $X(f;l)$ . Hence, the diagram

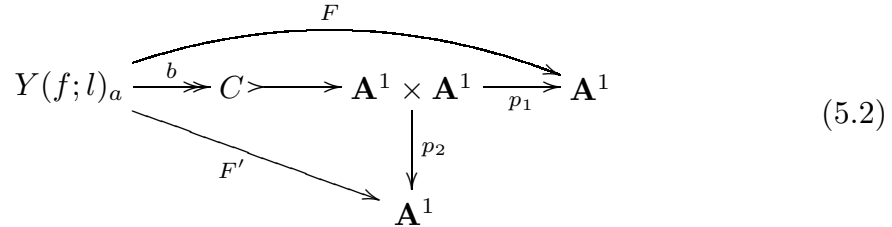


‘fits inside’ the bigger Cartesian diagram for  $X(Nl)/H$ . In particular, it is Cartesian. Since the degree of  $X_0(l)/X(1)$  is  $l + 1$ , the same must hold for  $X(f;l)/X(f)$ .  $\square$

**Remark 5.2.** *The curve  $X_0(l)$  can be defined over  $\mathbf{Q}$ . The Cartesian diagram above shows that  $X(f;l)_a$  can be defined over  $\mathbf{Q}(\zeta_N)$ .*

### 5.2 Modular polynomials.

Let  $Y(f;l)_a$  be the affine curve defined in subsection 5.1. We map  $Y(f;l)_a$  to a curve  $C$  inside  $\mathbf{A}^1 \times \mathbf{A}^1$  as in the diagram below. The map  $b$  is defined by  $b(x) = (s(x), t(x))$ , and the maps  $p_1, p_2$  are the two projection maps.



The function field of  $C$  is generated by  $f$  and  $f_l$ . Here,  $f_l$  is as in (3.1) defined by  $f_l(\omega) = f^{\sigma_l}(l\omega)$ . If  $f$  has rational Fourier coefficients, we have  $f_l(\omega) = f(l\omega)$ . The minimal polynomial  $\Phi_l^f$  of  $f_l$  over  $\mathbf{Q}(\zeta_N)(f)$  is called the *modular polynomial* relating  $f$  and  $f_l$ . The coefficients of  $\Phi_l^f$  need not be polynomials in  $f$  yet, but after multiplying the coefficients by the common denominator, we get a polynomial in  $\mathbf{Q}(\zeta_N)[X, Y]$ . This polynomial is a model for the curve  $C$ . As we have  $\deg(F) =$

$\deg(F')$ , diagram (5.2) tells us that we have

$$\deg_X(\Phi_l^f) = \deg_Y(\Phi_l^f) = \frac{(l+1)\deg(f)}{\deg(b)}.$$

**Remark 5.3.** For  $f = j$ , the modular polynomial  $\Phi_l^j$  is the ‘classical’ modular polynomial  $\Phi_l$  that we used in Section 2.

**Lemma 5.4.** If  $f$  has rational Fourier expansion, then  $\Phi_l^f$  has rational coefficients.

**Proof.** It suffices to show that  $X(f)$  can be defined over  $\mathbf{Q}$ . Since the algebraic closure of  $\mathbf{Q}$  inside  $\mathbf{Q}(f, j)$  is  $\mathbf{Q}$  itself, the minimal polynomial  $\Psi_f$  of  $f$  over  $\mathbf{Q}(j)$  is absolutely irreducible. The curve defined by  $\Psi_f = 0$  is absolutely irreducible and has  $\mathbf{Q}(f, j)$  as function field showing that  $X(f)$  is defined over  $\mathbf{Q}$ .  $\square$

Computing  $\Phi_l^f$  is relatively easy if we know the Fourier expansion of  $f$ . We have an upper bound

$$\deg(f)(l+1)$$

for the degrees  $\deg_X(\Phi_l^f)$  and  $\deg_Y(\Phi_l^f)$ . By comparing the Fourier coefficients of  $f$  and  $f_l$ , we can recursively find the coefficients of  $\Phi_l^f$ . The following lemma often simplifies the computations.

**Lemma 5.5.** Let  $f$  be a modular function, and let  $l$  be a prime not dividing the level of  $f$ . Suppose that the modular polynomial  $\Phi_l^f$  has integer coefficients. If  $f$  is invariant under the action of either  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in \mathrm{SL}_2(\mathbf{Z})$  or  $M = \begin{pmatrix} 0 & -l \\ 1 & 0 \end{pmatrix} \in \mathrm{GL}_2(\mathbf{Q})$ , then we have

$$\Phi_l^f(X, Y) = \Phi_l^f(Y, X),$$

i.e.,  $\Phi_l^f$  is symmetric.

**Proof.** The proof is similar to the symmetry proof [17, Theorem 5.3] of the classical modular polynomial for the  $j$ -function. Assume first that  $f$  is invariant under  $S$ . If we replace  $z$  by  $-1/(lz)$  in the equation  $\Phi_l^f(f(z), f(lz)) = 0$ , we obtain

$$\Phi_l^f(f(-1/(lz)), f(-1/z)) = 0.$$

Using the invariance of  $f$  under  $S$ , we derive

$$\Phi_l^f(f(lz), f(z)) = 0.$$

Since  $\Phi_l^f(X, f)$  is irreducible in  $\mathbf{C}[X, Y]$ , we see that  $\Phi_l^f(f, X)$  is a multiple of  $\Phi_l^f(X, f)$ . There exists a polynomial  $g(X, Y)$  with

$$\Phi_l^f(f, X) = g(X, f)g(f, X)\Phi_l^f(f, X).$$

The Gauß lemma tells us that we have  $g(X, Y) \in \mathbf{Z}[X, Y]$  and hence  $g(X, Y) = \pm 1$ . For  $g(X, Y) = -1$ , we get  $\Phi_l^f(X, Y) = -\Phi_l^f(Y, X)$  and  $\Phi_l^f(X, X) = 0$ . Then  $X - Y$  would be a factor of  $\Phi_l^f(X, Y)$ . This contradicts the irreducibility. Hence, we have  $g(X, Y) = 1$  and  $\Phi_l^f$  is symmetric.

The other case proceeds similarly, one replaces  $z$  by  $-1/z$  in the beginning of the proof.  $\square$

It is of great help that for many class invariants the coefficients of the modular polynomial  $\Phi_l^f$  are a lot smaller than those of the classical modular polynomial for  $j$ . As an example, we consider the Weber function  $\mathfrak{f}$  from the introduction. For small primes  $l$  the coefficients of the polynomial are really small, like

$$\Phi_5^{\mathfrak{f}}(X, Y) = (X^5 - Y)(X - Y^5) + 5XY.$$

For  $l = 13$  it takes at least 2 of these pages to write down the polynomial  $\Phi_l^{\mathfrak{f}}$ , but we have

$$\begin{aligned} \Phi_{13}^{\mathfrak{f}}(X, Y) &= (X^{13} - Y)(X - Y^{13}) + 5 \cdot 13XY \\ &\quad + 13(X^2Y^{12} + X^{12}Y^2 + 4X^{10}Y^4 + 4X^{10}Y^4 + 6X^6Y^8 + 6X^8Y^6). \end{aligned}$$

### 5.3 Computing $x^I$ .

We now show how to use the modular polynomial  $\Phi_l^f$  to compute the desired value  $x^I$ . As before, we let  $x \in \mathbf{Q}_p$  be a root of  $\Psi_f(X, j(E)) \in \mathbf{Q}_p[X]$  and let  $I$  be an invertible  $\mathcal{O}$ -ideal of prime norm  $l \nmid Np$ . Let  $\Phi_l^f$  be the modular polynomial defined above. From the moduli interpretation of  $X(f; l)_a$ , it is clear that one of the roots of  $\Phi_l^f(x, X) \in \overline{\mathbf{Q}_p}[X]$  equals  $x^I$ . To see what the other roots are, we look at diagram (5.1). Above  $x \in \mathbf{A}^1(\overline{\mathbf{Q}_p})$  there are  $\deg(f)$  distinct points  $(E_i, P_i, Q_i) \in Y(f)_a(\overline{\mathbf{Q}_p})$ . Above  $(E_i, P_i, Q_i) \in Y(f)_a(\overline{\mathbf{Q}_p})$ , there are  $l + 1$  points  $(E_i, P_i, Q_i, G_j) \in Y(f; l)_a(\overline{\mathbf{Q}_p})$ . Here,  $G_j$  ranges over the  $l + 1$  subgroups of order  $l$  of  $E_i[l]$ . The points  $(E_i, P_i, Q_i, G_j)$  all map to  $x \in \mathbf{A}^1(\overline{\mathbf{Q}_p})$  under  $F$ . The images under  $F' : X(f; l)_a \rightarrow \mathbf{A}^1$  are exactly the roots of  $\Phi_l^f(x, X)$ .

**Remark 5.6.** *The curve  $X(f; l)$  is a quotient of  $X(lN)$ . Since  $X(N)$  has good reduction outside  $N$ , the curve  $X(f; l)$  has good reduction outside  $lN$  by [15, Proposition 4.2]. Hence, the description of the roots of  $\Phi_l^f(x, X)$  remains valid over  $\overline{\mathbf{F}_p}$ .*

We need to decide which root of  $\Phi_l^f(x, X)$  equals  $x^I$ . The first observation is that it suffices to look at the roots in  $\mathbf{Q}_p$ . Indeed, if  $x$  is a class invariant then we automatically have  $x \in \mathbf{Q}_p$ . If  $x$  is not a class invariant, then  $x^I$  need not lie in  $\mathbf{Q}_p$ . But if it does not, we have automatically proven that  $x$  is not a class invariant.

Usually,  $x^I$  is the only root of  $\Phi_l^f(x, X)$  that is also a root of  $\Psi_f(X, j(E^I))$ . Hence, we test for all roots  $\alpha \in \mathbf{Q}_p$  of  $\Phi_l^f(x, X)$  whether  $\Psi_f(\alpha, j(E^I)) = 0$  holds. If  $x$  is a class invariant, we find at least one such  $\alpha$ . If we find exactly one root with this property, we have computed  $x^I$ .

**Lemma 5.7.** *Let the notation be as above. Suppose that  $f$  has degree 1, and let  $x \in \mathbf{Q}_p$  be a class invariant. If the  $l$ -torsion of  $E$  is not  $\mathbf{Q}_p$ -rational, then there is exactly one root  $\alpha \in \mathbf{Q}_p$  of both  $\Phi_l^f(x, X) \in \mathbf{Q}_p[X]$  and  $\Psi_f(X, j(E^l)) \in \mathbf{Q}_p[X]$ .*

**Proof.** Let  $(E, P, Q) \in Y(f)_a(\overline{\mathbf{Q}}_p)$  be the unique point of  $Y(f)_a$  with  $f(E, P, Q) = x \in \mathbf{Q}_p$ . Of the  $l + 1$  points  $(E, P, Q, G_i) \in Y(f; l)_a(\overline{\mathbf{Q}}_p)$  lying over  $(E, P, Q) \in Y(f)_a(\overline{\mathbf{Q}}_p)$ , only for the 2 points having  $G_i = E[I]$  or  $G_i = E[\bar{I}]$ , the value  $j(t(E, P, Q, G_i))$  is contained in  $\mathbf{Q}_p$ , cf. [3, Theorem 5.1]. If both  $F'(E, P, Q, E[I])$  and  $F'(E, P, Q, E[\bar{I}])$  are roots of  $\Phi_l^f(x, X) \in \mathbf{Q}_p[X]$ , then we must have  $[I] = [\bar{I}] \in \text{Pic}(\mathcal{O})$ . Because  $x$  is a class invariant, we then have  $F'(E, P, Q, E[I]) = F'(E, P, Q, E[\bar{I}])$ .  $\square$

Lemma 5.7 enables us to compute the value  $x^f$  in case the function  $f$  has degree one, which is the case for e.g. the Weber- $f$  function and the function  $\gamma_2$  from the example in Section 4. The proof of Lemma 5.7 breaks down if  $f$  has larger degree: there are more points  $(E_i, P_i, Q_i) \in Y(f)_a(\overline{\mathbf{Q}}_p)$  that satisfy  $f(E_i, P_i, Q_i) = x$ . However, we think that it is unlikely that the *conclusion* of the lemma is false, leading to the following conjecture.

**Conjecture 5.8.** *The conclusion of Lemma 5.7 also holds for modular functions  $f$  that yield class invariants but have degree larger than one.*

**Heuristics.** Let  $f$  be a modular function that yields class invariants. Since we normally only use  $f$  if its minimal polynomial  $P_\Delta^f$  has ‘small’ coefficients, the degree of  $f$  will typically be ‘small’. Hence, there are not many points  $(E_i, P_i, Q_i) \in Y(f)_a(\overline{\mathbf{Q}}_p)$  satisfying  $f(E_i, P_i, Q_i) = x$ .

The main reason of our heuristic is of a practical nature: we did several experiments with various modular functions (all of which had moderately small degree) and have never found a counterexample.  $\square$

## 6. THE ALGORITHM

In this section we give the algorithm to compute the minimal polynomial of a class invariant using  $p$ -adic arithmetic. The *input* of the algorithm is a discriminant  $\Delta < -4$  and a modular function  $f$  of degree 1 that is known to yield class invariants for the order  $\mathcal{O}$  of discriminant  $\Delta$ . We assume that  $f$  is *integral* over  $\mathbf{Z}[j]$ , and for simplicity we assume that the polynomial  $P_\Delta^f$  that we want to compute is integral. The function  $f$  needs to be specified both by its Fourier expansion and by its minimal polynomial  $\Psi_f(f, X) \in \mathbf{Z}[j, X]$  over  $\mathbf{C}(j)$ .

The *output* of the algorithm is the polynomial  $P_\Delta^f \in \mathbf{Z}[X]$ .

**Initialization.** List the elements of the class group  $\text{Pic}(\mathcal{O})$  as reduced binary qua-

dratic forms  $[a, b, c]$  and compute the precision of

$$k = \frac{\pi\sqrt{|\Delta|}}{\log 2} \sum_{[a,b,c]} \frac{1}{a} + 10$$

bits required to compute the Hilbert class polynomial for  $\mathcal{O}$ . We will use  $n = \lceil k/r(f) \rceil$  bits digits precision in our computations. We note that we have no rigorous proof that this precision suffices, because the precision  $k$  for the Hilbert class polynomial is heuristic. Furthermore, the reduction factor  $r(f)$  is only an *asymptotic* statement and is not proven to be correct for our particular order  $\mathcal{O}$ . Our heuristic bound of  $n$  bits has sufficed in all our experiments. If we insist on a *proven* output we should replace  $k$  by the proven bound for the Hilbert class polynomial from [2] and not divide by  $r(f)$ .

**Step 1.** Find a prime  $p \nmid N$  and an elliptic curve  $\overline{E}/\mathbf{F}_p$  with  $\text{End}(\overline{E}) \cong \mathcal{O}$  using the approach outlined in Section 2. Compute the zeroes  $x_1, \dots, x_k \in \mathbf{F}_p$  of  $\Psi_f(X, j(\overline{E})) \in \mathbf{F}_p[X]$ .

**Step 2.** We have to decide which of these zeroes is the reduction of a class invariant. First we compute the structure of the group  $(\mathcal{O}/N\mathcal{O})^*$ . This is a well-known computation in algebraic number theory, and the standard way of doing this is by localizing the ring  $\mathcal{O}$  at the primes  $l$  dividing  $N$ , and then applying the  $l$ -adic logarithm to reduce the multiplicative problem to a computation with *additive* groups. We refer to [18, Sec. II.5] for details.

Sieve in the set

$$S = \{a + b\pi_p \mid a, b \in \mathbf{Z}, b \neq 0, (a, b) = 1, a + b\pi_p \text{ and } pN\Delta \text{ are coprime}\}$$

for smooth elements  $y_1, \dots, y_t$  generating  $(\mathcal{O}/N\mathcal{O})^*$ . Here,  $\pi_p$  is an element of norm  $p$ .

**Step 3.** Write  $(y_1) = \alpha_1 \cdot \dots \cdot \alpha_s$ , with  $N(\alpha_i) = l_i \in \mathbf{Z}$  prime. Compute the cycle

$$j(E) \xrightarrow{\bar{\rho}_{\alpha_1}} j(\overline{E}^{\alpha_1}) \xrightarrow{\bar{\rho}_{\alpha_2}} \dots \xrightarrow{\bar{\rho}_{\alpha_t}} j(\overline{E}^{(y_1)}) = j(\overline{E})$$

of  $j$ -invariants over  $\mathbf{F}_p$  as in Section 2 using the modular polynomials for  $j$ . Using the linear algebra technique explained in Section 5, compute the modular polynomial  $\Phi_{l_1}^f$  of degree  $l_1$  for  $f$ . Next, compute all roots  $\eta_i \in \mathbf{F}_p$  of  $\Phi_{l_1}^f(x_1, X) \in \mathbf{F}_p[X]$  that also satisfy  $\Psi_f(\eta_i, j(\overline{E}^{\alpha_1})) = 0$ . By Lemma 5.7, we find either zero or one such root  $\eta_i$ . If we find zero roots, then  $x_1$  is not the reduction of a class invariant. If we find one root, we have computed  $x_1^{\alpha_1}$ .

Continuing like this, compute a series

$$x_1 \xrightarrow{\bar{\rho}_{\alpha_1}} x_1^{\alpha_1} \xrightarrow{\bar{\rho}_{\alpha_2}} \dots \xrightarrow{\bar{\rho}_{\alpha_t}} x_1^{(y_1)}.$$

If we have  $x_1^{(y_1)} = x_1$ , compute  $x_1^{(y_2)}$ , etc. If  $x_1$  is invariant under all generators  $y_1, \dots, y_t$  of  $(\mathcal{O}/N\mathcal{O})^*$ , it is the reduction of a class invariant. Otherwise, repeat this computation with  $x_2$ , etc. As there are only finitely many  $x_k$ , this computation terminates after a finite number of steps.

**Step 4.** Say that  $x \in \mathbf{F}_p$  is the reduction of a class invariant. Choose a smooth  $\mathcal{O}$ -ideal  $(\alpha) = \alpha_1 \dots \alpha_u$  for the map  $\rho_\alpha$  from Section 2 by sieving in the set  $S$  from Step 2.

Compute a cycle

$$j(\overline{E}) \xrightarrow{\bar{\rho}_{\alpha_1}} j(\overline{E}^{\alpha_1}) \xrightarrow{\bar{\rho}_{\alpha_2}} \dots \xrightarrow{\bar{\rho}_{\alpha_u}} j(\overline{E}^{(\alpha)}) = j(\overline{E}),$$

and use this cycle to compute the corresponding cycle

$$x \xrightarrow{\bar{\rho}_{\alpha_1}} x^{\alpha_1} \xrightarrow{\bar{\rho}_{\alpha_2}} \dots \xrightarrow{\bar{\rho}_{\alpha_u}} x^\alpha = x$$

for  $x$ , just as in Step 3.

**Step 5.** Lift  $\overline{E}/\mathbf{F}_p$  to  $E_1/\mathbf{Q}_p$  by lifting the coefficients of the Weierstraß equation for  $\overline{E}$  arbitrarily. We use two  $p$ -adic digits accuracy in this Step.

**Step 6.** Lift  $x \in \mathbf{F}_p$  to  $x_1 \in \mathbf{Z}_p/(p^2)$  as a root of  $\Psi_f(X, j(E_1)) \in \mathbf{Z}_p[X]$ . As in Step 5, write  $(\alpha) = \alpha_1 \dots \alpha_t$ . Compute  $x^{\alpha_1}$  as the unique root of  $\Phi_l^f(x_1, X) \in (\mathbf{Z}_p/(p^2))[X]$  that reduces to  $\overline{x_1^{\alpha_1}} = x^{\alpha_1}$  modulo  $p$ , where  $l$  is the norm of  $\alpha_1$ .

Lift the cycle from Step 4 to a cycle

$$x \xrightarrow{\rho_{\alpha_1}} x^{\alpha_1} \longrightarrow \dots \longrightarrow x^\alpha$$

over  $\mathbf{Q}_p$  with two  $p$ -adic digits accuracy. We will typically *not* have  $x = x^\alpha$ .

Compute  $\rho_\alpha(j(E_1))$  as the unique root of  $\Psi_f(x_1^{(\alpha)}, X) \in \mathbf{Z}_p[X]$  that reduces to  $j(\overline{E})$  modulo  $p$ .

**Step 7.** Update  $\rho_\alpha(j(E_1))$  to  $j(E_2)$  according to the ‘Newton formula’ (2.2).

**Step 8.** Repeat Step 6 with  $j(E_1)$  replaced by  $j(E_2)$ . We now work with four  $p$ -adic digits precision. We obtain  $j(E_3)$ . Continue this iteration process until we have computed the canonical lift  $j(\tilde{E})$  with  $n = \lceil k/r(f) \rceil$  bits or  $m = n(\log 2)/(\log p)$   $p$ -adic digits accuracy. Compute the ‘canonical lift’  $\tilde{x} \in \mathbf{Z}_p$  of  $x$  as the root of  $\Psi_f(X, j(\tilde{E}))$  reducing to  $x \in \mathbf{F}_p$ .

**Step 9.** Compute the conjugates of  $\tilde{x}$  under  $\text{Pic}(\mathcal{O})$  in the same fashion as before: for an invertible  $\mathcal{O}$ -ideal  $I$  of norm  $l$  coprime to  $N$ , compute  $j(\overline{E}^I) \in \mathbf{F}_p$  as in

Section 2. Knowing  $j(\overline{E}^I)$ , compute the unique root  $\beta \in \mathbf{F}_p$  of  $\Phi_t^f(x, X) \in \mathbf{F}_p[X]$  that also satisfies  $\Psi_f(\beta, j(\overline{E}^I)) = 0$ .

Since we know the reduction  $\overline{\tilde{x}^I} = \beta$  of  $\tilde{x}^I$ , we know which root of  $\Phi_t^f(\tilde{x}, X) \in \mathbf{Z}_p[X]$  is  $\tilde{x}^I$ .

**Step 10.** Expand the polynomial

$$P_{\Delta}^f = \prod_{[I] \in \text{Pic}(\mathcal{O})} (X - \tilde{x}^I) \in (\mathbf{Z}_p/(p^m))[X],$$

and lift the coefficients of  $P_{\Delta}^f$  from  $\mathbf{Z}_p/(p^m) = \mathbf{Z}/(p^m)$  to  $\mathbf{Z}$ , where we take the representative between  $-p^m/2$  and  $p^m/2$ . Return  $P_{\Delta}^f \in \mathbf{Z}[X]$ .

**Remark 6.1.** *The algorithm presented in this section also works for modular functions of degree  $> 1$  for which Conjecture 5.8 holds.*

We expect the run time of this algorithm to be  $\tilde{O}(|D|)$  for fixed  $f$ , just like the run time for the  $p$ -adic algorithm for the  $j$ -function from [3]. There is a serious obstacle that prevented us from *proving* this run time. The problem is that we cannot prove a reasonably smoothness bound on the generators  $y_1, \dots, y_t$  in Step 2. If GRH holds true, we have a bound for the  $\alpha$  we find in Step 5, see [7, Lemma 2]. Although being  $B$ -smooth and lying in a prescribed residue class in  $(\mathcal{O}/N\mathcal{O})^*$  are quite unrelated, there appears to be no hope in proving similar smoothness bounds for the generators in Step 2.

Furthermore, since the reduction factor of  $f$  only holds *asymptotically* we have no rigorous proof that the precision

$$n = \lceil k/r(f) \rceil$$

we use in the computation will suffice. For a *proven* run time we would have to use  $k$  digits, annihilating the improvement we get by using with a smaller function. We note that this obstacle also prevented a run time analysis of the complex analytic method to compute minimal polynomials of class invariants, see [8].

## 7. EXAMPLE

We illustrate the  $p$ -adic algorithm by working with the function

$$f(z) = \frac{\eta(z/5)\eta(z/7)}{\eta(z)\eta(z/35)} \in \mathbf{Z}[[q^{1/35}]],$$

where  $\eta(z)$  denotes the Dedekind eta function. More examples, including a large example and an example where the polynomial  $P_{\Delta}^f$  does not have integer coefficients, can be found in [4, Chapter 7]. As displaying large numbers is not particularly pleasing for the human eye, we work with a relatively small discriminant. Let  $\mathcal{O}$  be

the order of discriminant  $\Delta = -1571$ . By [10, Theorem 3], the value  $f(\omega)$  is a class invariant for a suitable choice of generator of the  $\mathbf{Z}$ -algebra  $\mathcal{O} = \mathbf{Z}[\omega]$ . Furthermore, the polynomial  $P_\Delta^f$  has integer coefficients, and the size of these coefficients is a factor  $r(f) = 24$  smaller than for the  $j$ -function.

By explicitly computing the conjugates of  $f$  over  $\mathbf{Q}(j)$ , we compute the minimal polynomial  $\Psi_f$  of  $f$ . In accordance with the example in [11], we find

$$\Psi_f(j, X) = X^{48} + (-j + 708)X^{47} + \dots + 12X + 1 \in \mathbf{Z}[j, X].$$

The function  $f$  generates the function field of  $X_0(35)$  over  $\mathbf{C}(j)$  and therefore has degree 2. Using linear algebra, we compute some modular polynomials.

$$\begin{aligned} \Phi_2^f &= X^3 + Y^3 - X^2Y^2 + 2(XY^2 + X^2Y) + XY \\ \Phi_3^f &= X^4 + Y^4 - X^3Y^3 + 3(X^2Y^3 + X^3Y^2) + 3(Y^3X + X^3Y) \\ &\quad + 6(X^2Y^2) - 3(Y^2X + X^2Y) - XY \end{aligned}$$

The fact that these polynomials have degree  $l + 1$  and not  $2(l + 1)$  is due to the fact that  $f$  is invariant under the Atkin-Lehner involution – the map  $b : X(f) \rightarrow C$  in diagram (5.2) has degree 2. Since 5 and 7 divide the level 35 of  $f$ , we cannot use  $\Phi_5^f$  and  $\Phi_7^f$ . We computed all modular polynomials for primes up to 23. This should be seen as a *precomputation*.

The (heuristic) precision required to compute the Hilbert class polynomial for this order is  $k = 550$  bits. As we have  $r(f) = 48/2 = 24$ , we will use  $\lceil 550/24 \rceil = 23$  bits accuracy in our computations.

The prime  $p = 449$  splits completely in the ring class field  $H_{\mathcal{O}}$ , and the elliptic curve

$$\overline{E} : Y^2 = X^3 + X + 16$$

of  $j$ -invariant 383 has endomorphism ring  $\mathcal{O}$ . The polynomial  $\Psi_f(j(\overline{E}), X) \in \mathbf{F}_p[X]$  has the four roots  $b_1 = 62$ ,  $b_2 = 130$ ,  $b_3 = 239$  and  $b_4 = 358$  in  $\mathbf{F}_p$ . We know [10, Theorem 3] that each one of them is a reduction of a class invariant. To illustrate our  $p$ -adic techniques, we reprove this.

A root  $b_i \in \mathbf{F}_p$  is the reduction of a class invariant if it is invariant under the action of the group  $(\mathcal{O}/35\mathcal{O})^*/\{\pm 1\} \cong \mathbf{Z}/2\mathbf{Z} \times \mathbf{Z}/2\mathbf{Z} \times \mathbf{Z}/12\mathbf{Z} \times \mathbf{Z}/12\mathbf{Z}$ . We take  $\{\pi_p, 2\pi_p - 11, 2\pi_p - 19, -\pi_p - 28\}$  as a generating set for  $(\mathcal{O}/35\mathcal{O})^*$  where  $\pi_p$  is an element of norm  $p$ . We choose this particular set of generators, because the elements have smooth norm (except  $\pi_p$ ).

Since  $b_i$  is an element of  $\mathbf{F}_p$ , it is invariant under the action of  $\pi_p$ . The element  $\alpha = 2\pi_p - 11$  has order 12 in  $(\mathcal{O}/35\mathcal{O})^*$ , and the ideal  $(\alpha)$  of norm  $1587 = 3 \cdot 23^2$  factors as

$$(\alpha) = \mathfrak{p}_3 \cdot \mathfrak{p}_{23}^2 = (3, \pi_p - 1) \cdot (23, \pi_p - 17).$$

We compute the cycle of  $j$ -invariants over  $\mathbf{F}_p$  for the map  $\overline{\rho}_\alpha : \text{Ell}_\Delta(\mathbf{F}_p) \rightarrow \text{Ell}_\Delta(\mathbf{F}_p)$ :

$$j(\overline{E}) = 383 \xrightarrow{\mathfrak{p}_3} 13 \xrightarrow{\mathfrak{p}_{23}} 24 \xrightarrow{\mathfrak{p}_{23}} 383.$$

The modular polynomial  $\Phi_3^f(b_1, X) \in \mathbf{F}_p[X]$  has 2 roots, namely  $64, 95 \in \mathbf{F}_p$ . We check that 64 satisfies  $\Psi_f(13, 64) = 0$ , where 13 is the  $j$ -invariant of  $\overline{E}^{\mathfrak{p}^5}$ . The other root 95 does not satisfy  $\Psi_f(13, 95) = 0$ , and we conclude that we have  $b_1^{\mathfrak{p}^3} = 64 \in \mathbf{F}_p$ . Continuing like this, we compute

$$b_1 = 62 \xrightarrow{\mathfrak{p}^3} 64 \xrightarrow{\mathfrak{p}^{23}} 34 \xrightarrow{\mathfrak{p}^{23}} 62.$$

The computation for  $b_2, b_3, b_4$  proceeds similarly and they are also invariant under the action of  $(\alpha)$ . The computation for the other two elements of our generating set is similar. All four elements  $b_i$  are invariant under the action of  $(\mathcal{O}/35\mathcal{O})^*$ , proving that they are reductions of class invariant.

We will work with  $b = b_1 = 62 \in \mathbf{F}_p$ . For the polynomial  $P_\Delta^j$  we would have needed 62  $p$ -adic digits accuracy. For  $P_\Delta^f$  we only need 3  $p$ -adic digits. As element  $\alpha$  for the map  $\rho_\alpha : X_D(\mathbf{C}_p) \rightarrow X_D(\mathbf{C}_p)$  we again take  $\alpha = 2\pi_p - 11$  of norm  $3 \cdot 23^2$ . We lift  $\overline{E}/\mathbf{F}_p$  to the curve  $E_1/\mathbf{Q}_p$  defined by  $Y^2 = X^3 + X + 16$  of  $j$ -invariant  $j(E_1) = 383 + 224p \in \mathbf{Q}_p$ . This leads to the lift  $b_1 = 62 + 45p \in \mathbf{Q}_p$ .

We compute the ‘cycle’ for  $b_1 \in \mathbf{Q}_p$  corresponding to the map  $\rho_\alpha$ :

$$b_1 = 62 + 45p \xrightarrow{\mathfrak{p}^3} 64 + 175p \xrightarrow{\mathfrak{p}^{23}} 34 + 6p \xrightarrow{\mathfrak{p}^{23}} 62 - 198p = b_1^{(\alpha)}$$

The degree two polynomial  $\Psi_f(X, b_1^{(\alpha)}) \in \mathbf{Z}_p[X]$  has roots  $131 - 94p + O(p^2)$  and  $383 - 119p + O(p^2)$ . We conclude that we have  $\rho_\alpha(j(E_1)) = 383 - 119p \in \mathbf{Q}_p$ . We update this  $j$ -value according to the ‘Newton formula’ (2.2) and obtain  $j(E_2) = 383 - 98p \in \mathbf{Q}_p$ . This is the  $j$ -invariant of the canonical lift in two  $p$ -adic digits accuracy. We compute  $\tilde{b} = 62 - 64p + O(p^2) \in \mathbf{Q}_p$ . Similarly, we compute  $j(E_3) = 383 - 98p + 127p^2$  and  $\tilde{b} = 62 - 64p + 66p^2$ . To compute the conjugates of  $\tilde{b}$  under  $\text{Pic}(\mathcal{O}) \cong \mathbf{Z}/17\mathbf{Z} \cong \langle \mathfrak{p}_3 \rangle$  we use the modular polynomial  $\Phi_3^f$  once more. In the end we expand the polynomial

$$P_\Delta^f = \prod_{[I] \in \text{Pic}(\mathcal{O})} (X - \tilde{b}^I) \in (\mathbf{Z}_p/p^3)[X]$$

and we lift the coefficients to integers between  $-p^3/2$  and  $p^3/2$  to find

$$\begin{aligned} P_{-1571}^f &= X^{17} + 21X^{16} + 918X^{15} - 11046X^{14} + 49849X^{13} - 115187X^{12} \\ &\quad + 112918X^{11} + 168294X^{10} - 275500X^9 + 361744X^8 - 403346X^7 \\ &\quad + 181066X^6 - 10143X^5 - 3403X^4 - 4290X^3 + 1422X^2 \\ &\quad - 71X + 1 \in \mathbf{Z}[X]. \end{aligned}$$

#### ACKNOWLEDGEMENTS

I thank Bas Edixhoven and Peter Stevenhagen for helpful discussions.

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