

Model operators + Toeplitz operators

$\Theta$  inner, so  $\Theta H^2$  is forward-shift invariant.

Let  $K_\Theta = H^2 \ominus \Theta H^2$  (ortho complement)

Then  $S^* K_\Theta \subset K_\Theta$  i.e. it is backward shift invariant.

From functional analysis, it follows that  $AE \subset E \Leftrightarrow A^* E^\perp \subset E^\perp$  for  $E$  closed

Define  $T = S^*|_{K_\Theta}$ . This is our model operator.

Recall: Toeplitz operators

$$\varphi \in L^\infty(\mathbb{T}) \quad T_\varphi: H^2 \rightarrow H^2 \quad T_\varphi f = P_+(\varphi f)$$

↖ projection onto  $H^2$  from  $L^2$

1.  $\|T_\varphi\| = \|\varphi\|_\infty$

$\leq$  is trivial since  $\|T_\varphi f\|_2 = \|P_+(\varphi f)\|_2 \leq \|\varphi f\|_2 \leq \|\varphi\|_\infty \|f\|_2$

2. Matrix of  $T_\varphi$

$$\begin{pmatrix} \hat{\varphi}(0) & \hat{\varphi}(-1) & \hat{\varphi}(-2) & \dots \\ \hat{\varphi}(1) & \hat{\varphi}(0) & \hat{\varphi}(-1) & \dots \\ \hat{\varphi}(2) & \hat{\varphi}(1) & \hat{\varphi}(0) & \dots \end{pmatrix}$$

Unlike Hankel operators,  $\varphi$  determines  $T_\varphi$  for Toeplitz operators.

3.  $T_\varphi^* = T_{\bar{\varphi}}$  because  $(T_\varphi f, g) = (P_+ \varphi f, g) = (\varphi f, P_+ g) = (\varphi f, g)$

$$\stackrel{?}{=} (f, \bar{\varphi} g) = (P_+ f, \bar{\varphi} g) = (f, P_+ \bar{\varphi} g) = (f, T_{\bar{\varphi}} g)$$

Why this? Because  $\varphi$  isn't technically an operator on  $H^2$

But  $(\varphi f, g) = \int \varphi f \bar{g} = \int f \bar{\varphi} g = (f, \bar{\varphi} g)$

Then we see  $S = T_z, S^* = T_{\bar{z}}$

4. Let  $\varphi, \psi \in H^\infty$ .

Then (a)  $T_\varphi T_\psi = T_{\varphi\psi} = T_{\psi\varphi} = T_\psi T_\varphi$

(b)  $T_{\bar{\psi}} T_{\bar{\varphi}} = T_{\bar{\psi}\bar{\varphi}} = T_{\bar{\varphi}\bar{\psi}} = T_{\bar{\varphi}} T_{\bar{\psi}}$

This follows from: For part b, take adjoints.

5. For  $\varphi \in H^\infty, T_\varphi f = \varphi f$ .

Lemma: If  $h \in H^\infty$ ,  $K_\lambda = \frac{1}{1-\bar{\lambda}z}$  is the reproducing kernel for  $H^2$ ,

then  $T_{\bar{h}} K_\lambda = \overline{h(\lambda)} K_\lambda$ .

Pf: Exercise.

Hint:  $\bar{h} K_\lambda - \overline{h(\lambda)} K_\lambda \perp H^2$

Commutant Lifting theorem

Suppose  $\theta$  inner,  $T = S^*|_{K_\theta}$  where  $K_\theta = H^2 \ominus \theta H^2$

We want to describe all  $A: K_\theta \rightarrow K_\theta$  that commute with  $T$ , i.e.  $AT = TA$ .

Example of such:  $T_{\bar{h}}|_{K_\theta}$  where  $h \in H^\infty$ . It's an operator on  $K_\theta$  since  $T_{\bar{h}} \theta H^2 \subset \theta H^2$   
 $\Rightarrow T_{\bar{h}} K_\theta \subset K_\theta$ .

It commutes w/  $S^*$  since  $S^* = T_{\bar{z}}$ .

The commutant lifting theorem says these are all examples.

Theorem (D. Sarason) (More general version: B. Sz Nagy - (F. F. Riesz))

If  $T = S^*|_{K_\theta}$  and  $A: K_\theta \rightarrow K_\theta$  s.t.  $TA = AT$ , then  $\exists \bar{h} \in H^\infty$  s.t.  $A = T_{\bar{h}}|_{K_\theta}$  and  $\|h\|_\infty = \|A\|$ .

Corollary (Pick's Theorem)

Let  $\{z_i\}_1^n, \{w_i\}_1^n$  be points in  $\mathbb{D}$

Then TFAE:

1.)  $\exists h \in H^\infty$  with  $\|h\| \leq 1$  s.t.  $h(z_i) = w_i$

2.) The matrix  $\left( \frac{1 - \bar{w}_i w_j}{1 - \bar{z}_i z_j} \right)$  is positive semidefinite.

Pf: Consider  $\mathcal{K} = \text{span}\{K_{z_i} \mid i=1, \dots, n\}$ . Then  $S^* \mathcal{K} \subset \mathcal{K}$ . Thus  $\mathcal{K} = K_\theta$  for some  $\theta$  inner.

To prove  $1 \Rightarrow 2$ , introduce  $A: \mathcal{K} \rightarrow \mathcal{K}$  defined by  $Af = P_{\mathcal{K}} \bar{h} f$  where  $h$  is given by (1)

Then  $AK_{z_i} = T_{\bar{h}} K_{z_i} = \overline{h(z_i)} K_{z_i} = \bar{w}_i K_{z_i}$

We know  $\|A\| \leq \|T_{\bar{h}}\| = \|h\|_\infty \leq 1$ . So  $A$  is a contraction.

$$\forall \alpha_1, \dots, \alpha_n \in \mathbb{C}, \text{ we have } \|A \sum \bar{\alpha}_i K_{z_i}\|^2 \leq \|\sum \bar{\alpha}_i K_{z_i}\|^2$$

$$\| \sum \bar{\alpha}_i \bar{w}_i K_{z_i} \|^2 \leq \| \sum \bar{\alpha}_i K_{z_i} \|^2 = \sum_{i,j} \bar{\alpha}_i \alpha_j (K_{z_i}, K_{z_j}) = \sum_{i,j} \bar{\alpha}_i \alpha_j \frac{1}{1 - \bar{z}_i z_j}$$

$$\sum \frac{\bar{\alpha}_i \bar{w}_i \alpha_j w_j}{1 - \bar{z}_i z_j} < \Rightarrow \sum \bar{\alpha}_i \alpha_j \frac{1 - \bar{w}_i w_j}{1 - \bar{z}_i z_j} \geq 0. \text{ So } 1 \Rightarrow 2. \square$$

Conversely to prove  $2 \Rightarrow 1$ .

Define:  $A: \mathcal{K} \rightarrow \mathcal{K}$  by  $AK_{z_i} = \bar{w}_i K_{z_i}$

By the proof  $1 \Rightarrow 2$ , positive semidefinite-ness of the matrix in (i)  $\Leftrightarrow \|A\| \leq 1$ .

By the commutant lifting theorem,  $\exists h \in H^\infty$  s.t.  $A = T_{\bar{h}}|_{\mathcal{K}}$ .  $\|h\|_\infty = \|A\| \leq 1$

Then  $AK_{z_k} = \bar{w}_k K_{z_k}$

$$\begin{aligned} & \parallel \\ T_{\bar{h}} K_{z_k} &= \overline{h(z_k)} K_{z_k} \Rightarrow h(z_k) = w_k. \square \end{aligned}$$