# Five Point Energy Minimization 4: Interpolation 

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April 12, 2024


#### Abstract

This is Paper 4 of series of 7 self-contained papers which together prove the Melnyk-Knopf-Smith phase transition conjecture for 5-point energy minimization. (Paper 0 has the main argument.) This paper deals with a construction closely related to Hermite Interpolation.


## 1 Introduction

### 1.1 Context

During the past decade I have written several versions of a proof that rigorously verifies the phase-transition for 5 point energy minimization first observed in [MKS], in 1977, by T. W. Melnyk, O, Knop, and W. R. Smith. See $[\mathbf{S 0}]$ for the latest version. This work implies and extends my solution [S1] of Thomson's 1904 5-electron problem [Th]. Unfortunately, after a number of attempts I have not been able to publish my work on this. Even though I have taken great pains to make the proof modular and checkable, the monograph still gives the impression of being too difficult to referee.

Now I am taking a new approach. I have broken down the proof into a series of 7 independent papers, each of which may be checked without any reference to the others. The longest of the papers is 20 pages. The drawback of this approach is twofold. First, there will necessarily be some redundancy in these papers. Second, none of the papers has a blockbuster result in itself. To help offset the second drawback, I will state the main result in full in each paper, and I will try to explain how the small result proved in each paper relates to the overall goal.

### 1.2 The Phase Transition Result

Let $S^{2}$ be the unit sphere in $\boldsymbol{R}^{3}$. Given a configuration $\left\{p_{i}\right\} \subset S^{2}$ of $N$ distinct points and a function $F:(0,2] \rightarrow \boldsymbol{R}$, define

$$
\begin{equation*}
\mathcal{E}_{F}(P)=\sum_{1 \leq i<j \leq N} F\left(\left\|p_{i}-p_{j}\right\|\right) . \tag{1}
\end{equation*}
$$

This quantity is commonly called the $F$-potential or the $F$-energy of $P$. A configuration $P$ is a minimizer for $F$ if $\mathcal{E}_{F}(P) \leq \mathcal{E}_{F}\left(P^{\prime}\right)$ for all other $N$-point configurations $P^{\prime}$.

We are interested in the Riesz potentials:

$$
\begin{equation*}
R_{s}(d)=d^{-s}, \quad s>0 . \tag{2}
\end{equation*}
$$

$R_{s}$ is also called a power law potential, and $R_{1}$ is specially called the Coulomb potential or the electrostatic potential. The question of finding the $N$-point minimizers for $R_{1}$ is commonly called Thomson's problem.

We consider the case $N=5$. The Triangular Bi-Pyramid (TBP) is the 5 point configuration having one point at the north pole, one point at the south pole, and 3 points arranged in an equilateral triangle on the equator. A Four Pyramid (FP) is a 5 -point configuration having one point at the north pole and 4 points arranged in a square equidistant from the north pole.

Define

$$
\begin{equation*}
15_{+}=15+\frac{25}{512} . \tag{3}
\end{equation*}
$$

Theorem 1.1 (Phase Transition) There exists $\boldsymbol{\bullet} \in\left(15,15_{+}\right)$such that:

1. For $s \in(0, \boldsymbol{ש})$ the TBP is the unique minimizer for $R_{s}$.
2. For $s=\boldsymbol{v}$ the TBP and some FP are the two minimizers for $R_{s}$.
3. For each $s \in\left(\boldsymbol{v}, 15_{+}\right)$some $F P$ is the unique minimizer for $R_{s}$.

The proof has many moving parts. The largest part involves eliminating all the configurations and energy exponents outside a set of the form

$$
\Upsilon \times\left[13,15^{+}\right]
$$

using a computer-assisted divide-and-conquer algorithm. The proof has many moving parts. One key step in the proof involves replacing the continuum of Riesz potentials with a finite number of other potentials. This process is closely related to Hermite Interpolation. In this paper we deal with this part of the proof.

### 1.3 The Result of This Paper

Here are the alternate potentials. Define

$$
\begin{equation*}
G_{k}(r)=\left(4-r^{2}\right)^{k} . \tag{4}
\end{equation*}
$$

Also define
$G_{5}^{b}=G_{5}-25 G_{1}, \quad G_{10}^{\sharp \sharp}=G_{10}+28 G_{5}+102 G_{2}, \quad G_{10}^{\sharp}=G_{10}+13 G_{5}+68 G_{2}$

Theorem 1.2 (Interpolation) Let $T_{0}$ be the TBP. Then

1. Suppose $s \in(0,13]$ and $T$ is any 5-point configuration. If we have $F\left(T_{0}\right)<F(T)$ for all $F=G_{4}, G_{5}, G_{6}, G_{10}^{\text {\#\# }}$ then $\mathcal{E}_{R_{s}}\left(T_{0}\right)<\mathcal{E}_{R_{s}}(T)$.
2. Suppose $s \in\left[13,15^{+}\right]$and $T$ is any 5-point configuration. If we have $F\left(T_{0}\right)<F(T)$ for all $F=G_{5}^{b}, G_{10}^{\sharp}$ then $\mathcal{E}_{R_{s}}\left(T_{0}\right)<\mathcal{E}_{R_{s}}(T)$.

It is worth discussing in some detail how I came to the highly nontrivial Interpolation Theorem. In [T], A. Tumanov mentions that his result might be a step towards proving that the TBP minimizes a range of power law potentials. Inspired by similar material in [CK], he observes that if the TBP is the unique minimizer for $G_{2}, G_{3}$ and $G_{5}$, then the TBP is the unique minimizer for $R_{s}$ provided that $s \in(0,2]$. This inspired me to look for other such results.

For the purpose of giving results about the Riesz potentials, the functions $G_{k}$ lose their usefulness at $k=7$ because the TBP is not a minimizer for $G_{7}, G_{8}, \ldots$ At the same time, the general method requires $G_{k}$ for $k$ large in order to extend all the way to the phase transition, a phenomenon that occurs at $\boldsymbol{ש}=15.04 \ldots$

I built a graphical user interface which allows me to explore combinations of the form $\sum c_{k} G_{k}$ and see whether various lists of these energy hybrids produce the desired results. The computer program takes a quadruple of hybrids, $\Gamma_{1}, \Gamma_{2}, \Gamma_{3}, \Gamma_{4}$, and then solves a linear algebra problem to find a linear combination

$$
\begin{equation*}
\Lambda_{s}=a_{0}+\sum_{i=1}^{4} a_{i}(s) \Gamma_{i} \tag{6}
\end{equation*}
$$

which matches the values of $R_{s}$ at the values $\sqrt{2}, \sqrt{3}, \sqrt{4}$, the distances involved in the TBP. (I will usually write 2 as $\sqrt{4}$ because then the distances involved in the TBP are easier to remember.)

Concerning Equation 6, what we need for the quadruple to "work" on the interval $\left(s_{0}, s_{1}\right)$ is that the functions $a_{1}(s), a_{2}(s), a_{3}(s), a_{4}(s)$ are nonnegative for $s \in\left(s_{0}, s_{1}\right)$ and that simultaneously the comparison function $1-\left(\Lambda_{s} / R_{s}\right)$ is positive on $(0,2)-\{\sqrt{2}, \sqrt{3}, \sqrt{4}\}$. So, my computer program lets you manipulate the coefficients defining the energy hybrids and then see plots of the functions just mentioned.

At the same time as this, my program computes the energy hybrid evaluated on the space of FPs to see how it compares to the value on the TBP. I call this the $T B P / F P$ competition. On intervals $\left(s_{0}, s_{1}\right) \subset(0, \boldsymbol{w})$ we want the TBP to win the competition, as judged by the given energy hybrids. Repeatedly running these competitions and looking at the plots of the coefficients and the comparison function, I eventually arrived at the energy hybrids mentioned in the previous section.

Playing with my program, I eventually got intuition about what works and what doesn't. If you don't play around with the software, then choices like

$$
G_{5}^{b}=G_{5}-25 G_{1}, \quad G_{10}^{\sharp \#}=G_{10}+28 G_{5}+102 G_{2}
$$

will just seem like random lucky guesses. In fact they are practically the unique (at most 3 term) energy hybrids which do the job!

To extend all the way to $\boldsymbol{ש}$, I had to accept an energy hybrid for which the TBP would lose the TBP/FP competition. At the same time, the TBP would still do well in the overall competition, beating most of the other configurations. Eventually I hit upon the energy hybrid $G_{10}^{\sharp}$ and the small neighborhood $\Upsilon$ mentioned above and defined precisely in the next chapter. The quadruple ( $G_{1}, G_{2}, G_{5}^{b}, G_{10}^{\sharp}$ ) extends a bit past $\boldsymbol{\bullet}$, up to $15_{+}$, and $G_{10}^{\sharp}$ is a pretty kind judge: With respect to this judge, the TBP wins against all configurations outside the tiny $\Upsilon$.

## 2 Proof of the Interpolation Theorem

### 2.1 Reduction to Smaller Results

Recall that $15_{+}=15+\frac{25}{512}$. Referring to Equations 4 and 5, we define

$$
\left.\begin{array}{cc}
P_{1}=\left(G_{4}, G_{6}\right), & P_{2}=\left(G_{5}, G_{10}^{\sharp \sharp}\right), \\
I_{1}=(0,6], & I_{2}=[6,13], \tag{8}
\end{array} I_{3}=\left[13,15_{+}\right] ., ~ G_{5}^{b}, G_{10}^{\sharp}\right), ~ 子
$$

We say that a pair $\left(\Gamma_{3}, \Gamma_{4}\right)$ of functions forces the interval $I$ if the following is true: If $T$ is another 5 -point configuration such that $\Gamma_{k}\left(T_{0}\right)<\Gamma_{k}(T)$ for $k=3,4$ then $\mathcal{E}_{s}\left(T_{0}\right)<\mathcal{E}_{s}(T)$ for all $s \in I$.

The following result implies the Interpolation Theorem.
Lemma 2.1 (A2) The following is true.

1. The pair $\left(G_{4}, G_{6}\right)$ forces $(0,6]$.
2. The pair $\left(G_{5}, G_{10}^{\sharp \mathrm{A}}\right)$ forces $[6,13]$.
3. The pair $\left(G_{5}^{b}, G_{10}^{\sharp}\right)$ forces $\left[13,15_{+}\right]$.

We use this notation to keep consistent with the monograph.
Let $R_{s}$ be the Riesz s-potential. We say that a pair of functions $\left(\Gamma_{3}, \Gamma_{4}\right)$ specially forces $s \in \boldsymbol{R}-\{0\}$ if there are constants $a_{0}, \ldots, a_{4}$ (depending on s) such that

$$
\begin{equation*}
\Lambda_{s}=a_{0}+a_{1} G_{1}+a_{2} G_{2}+a_{3} \Gamma_{3}+a_{4} \Gamma_{4}, \tag{9}
\end{equation*}
$$

1. $\Lambda_{s}(x)=R_{s}(x)$ for $x=\sqrt{2}, \sqrt{3}, \sqrt{4}$.
2. $a_{1}, a_{2}, a_{3}, a_{4}>0$.
3. $\Lambda_{s}(x) \leq R_{s}(x)$ for all $x \in(0,2]$.

We say that $\left(\Gamma_{3}, \Gamma_{4}\right)$ specially forces the interval $I$ if this pair specially forces all $s \in I$.

Lemma 2.2 (A21) If $\left(\Gamma_{3}, \Gamma_{4}\right)$ specially forces $I$ then $\Gamma$ forces $I$.

Proof: Let $T_{0}$ be the TBP and let $T$ be some other 5 -point configuration. We simplify the notation and write $F(T)=\mathcal{E}_{F}(T)$. We assume

$$
\Gamma_{j}\left(T_{0}\right)<\Gamma_{j}(T)
$$

for $j=3,4$ and we want to show that that $R_{s}\left(T_{0}\right)<R_{s}(T)$ for all $s \in I$. It is well known that $\Gamma_{1}\left(T_{0}\right) \leq \Gamma_{1}(T)$ and, by Tumanov's result $[\mathbf{T}], \Gamma_{2}\left(T_{0}\right) \leq$ $\Gamma_{2}(T)$. Let $a_{j}=a_{j}(s)$ for $s \in I$. The quantities $\sqrt{2}, \sqrt{3}, \sqrt{4}$ are the distances which appear between pairs of points in $T_{0}$. Therefore $\Lambda_{s}\left(T_{0}\right)=R_{s}\left(T_{0}\right)$. But then
$R_{s}(T) \geq \Lambda_{s}(T)=a_{0}+\sum_{j=1}^{4} a_{j} \Gamma_{j}(T)>a_{0}+\sum_{j=1}^{4} a_{j} \Gamma_{j}\left(T_{0}\right)=\Lambda_{s}\left(T_{0}\right)=R_{s}\left(T_{0}\right)$.
This completes the proof.

Lemma 2.3 (A22) For each $i=1,2,3$ the pair $P_{i}$ specially forces $I_{i}$.
Lemma A2 is an immediate consequence of Lemma A21 and Lemma A22.

### 2.2 Proof of Lemma A22

Referring to Equation 9 we solve the equations

$$
\begin{equation*}
\Lambda_{s}(\sqrt{m})=R_{s}(\sqrt{m}), \quad m=2,3,4, \quad \Lambda_{s}^{\prime}(\sqrt{m})=R_{s}^{\prime}(\sqrt{m}), \quad m=2,3 . \tag{10}
\end{equation*}
$$

Here $f^{\prime}$ denotes the derivative of $f$, a function defined on $(0,2]$. We don't need to constrain $f^{\prime}(2)$. For each $s$ this gives us a linear system with 5 variables and 5 equations. In all cases, our solutions have the following structure

$$
\begin{equation*}
\left(a_{0}, a_{1}, a_{2}, a_{3}, a_{4}\right)=M\left(2^{-s / 2}, 3^{-s / 2}, 4^{-s / 2}, s 2^{-s / 2}, s 3^{-s / 2}\right) \tag{11}
\end{equation*}
$$

We will list $M$ below for each of the 3 cases.
Lemma 2.4 (A221) For each $i=1,2,3$ the following is true. When $M$ is defined relative to the pair $P_{i}$ then the coefficients $a_{1}, a_{2}, a_{3}, a_{4}$ are positive functions on the interval $I_{i}$.

We want to see that the function

$$
\begin{equation*}
H_{s}=1-\frac{\Lambda_{s}}{R_{s}} . \tag{12}
\end{equation*}
$$

takes its minima at $r=\sqrt{2}, \sqrt{3}$ on ( 0,2 ]. Differentiating with respect to $r \in(0,2]$ we have

$$
\begin{equation*}
H_{s}^{\prime}(r)=r^{s-1}\left(s \Lambda_{s}(r)+r \Lambda_{s}^{\prime}(r)\right) . \tag{13}
\end{equation*}
$$

Using the general equation $r G_{k}^{\prime}(r)=2 k G_{k}(r)-8 k G_{k-1}(r)$, we see that

$$
\begin{equation*}
\psi_{s}=s \Lambda_{s}(r)+r \Lambda_{s}^{\prime}(r) \tag{14}
\end{equation*}
$$

is a polynomial in $t=4-r^{2}$.
Lemma 2.5 (A222) For each choice $P_{j}$ and each $s \in I_{j}$ the following is true. The function $\psi_{s}$ has 4 simple roots in $[0,4]$. Two of the roots are 1 and 2 and the other two respectively lie in $(0,1)$ and $(1,2)$.

Let us deduce Lemma A2. Our construction and Lemma A221 immediately take care of Conditions 1 and 2 of special forcing. Condition 3: The roots of $\psi_{s}$ in $[0,4)$ are in bijection with the roots of $H_{s}^{\prime}$ in $(0,2]$ and their nature (min, max, simple) is preserved under the bijection. We check for one parameter in each of the three cases that the roots 1 and 2 correspond to local minima and the other two roots correspond to local maxima. Since these roots remain simple for all $s$ in the relevant interval, the nature of the roots cannot change as $s$ varies. Hence $H_{s}$ has exactly 2 local minima in $(0,2]$, at $r=\sqrt{2}, \sqrt{3}$. But then $H_{s} \geq 0$ on ( 0,2 ]. This completes the proof.

### 2.3 A Positivity Algorithm

In our proofs of Lemmas A221 and A222 we need to deal with expressions of the following form:

$$
\begin{equation*}
F(s)=\sum c_{i} s^{t_{i}} b_{i}^{s / 2} \tag{15}
\end{equation*}
$$

where $b_{i}, c_{i} \in \boldsymbol{Q}$ and $t_{i} \in \boldsymbol{Z}$ and $b_{i}>0$. Here we explain how we deal with such expressions.

For each summand we compute a floating point value, $x_{i}$. We then consider the floor and ceiling of $2^{32} x_{i}$ and divide by $2^{32}$. This gives us rational numbers $x_{i 0}$ and $x_{i 1}$ such that $x_{i 0} \leq x_{i} \leq x_{i 1}$. Since we don't want to trust floating point operations without proof, we formally check these inequalities with what we call the expanding out method.

Expanding Out Method: Suppose we want to establish an inequality like $\left(\frac{a}{b}\right)^{\frac{p}{q}}<\frac{c}{d}$, where every number involved is a positive integer. This inequality is true iff $b^{p} c^{q}-a^{p} d^{q}>0$. We check this using exact integer arithmetic. The same idea works with $(>)$ in place of $(<)$.

To check the positivity of $F$ on some interval $\left[s_{0}, s_{1}\right]$ we produce, for each term, the 4 rationals $x_{i 00}, x_{i 10}, x_{i 01}, x_{i 01}$. Where $x_{i j k}$ is the approximation
computed with respect to $s_{k}$. We then let $y_{i}$ be the minimum of these expressions. The sum $\sum y_{i}$ is a lower bound for Equation 15 for all $s \in$ $\left[s_{0}, s_{1}\right]$. On any interval exponent $I$ where we want to show that Equation 15 is positive, we pick the smallest dyadic interval $\left[0,2^{k}\right]$ that contains $I$ and then run the following subdivision algorithm.

1. Start with a list $L$ of intervals. Initially $L=\left\{\left[0,2^{k}\right]\right\}$.
2. If $L$ is empty, then HALT. Otherwise let $Q$ be the last member of $L$.
3. If either $Q \cap I=\emptyset$ or the method above shows that Equation 15 is positive on $Q$ we delete $Q$ from $L$ and go to Step 2 .
4. Otherwise we delete $Q$ from $L$ and append to $L$ the 2 intervals obtained by cutting $Q$ in half. Then we ago to to Step 2 .

If this algorithm halts then it constitutes a proof that $F(s)>0$ for all $s \in I$.

### 2.4 Proof of Lemma A221 and part of Lemma A222

Referring to Equation 11 we first list out the matrices in all 3 cases. For $P_{1}$ we get

$$
792 M=\left[\begin{array}{ccccc}
0 & 0 & 792 & 0 & 0  \tag{16}\\
792 & 1152 & -1944 & -54 & -288 \\
-1254 & -96 & 1350 & 87 & 376 \\
528 & -312 & -216 & -39 & -98 \\
-66 & 48 & 18 & 6 & 10
\end{array}\right]
$$

For $P_{2}$ and $P_{3}$ we list $368536 M$ in each case.

$$
\begin{gather*}
{\left[\begin{array}{ccccc}
0 & 0 & 268536 & 0 & 0 \\
88440 & 503040 & -591480 & -4254 & -65728 \\
-77586 & -249648 & 327234 & 2361 & 65896 \\
41808 & -19440 & -22368 & -2430 & -9076 \\
-402 & 264 & 138 & 33 & 68
\end{array}\right]}  \tag{17}\\
{\left[\begin{array}{cccccc}
0 & 0 & 268536 & 0 & 0 & 0 \\
982890 & 116040 & -1098930 & -52629 & -267128 & 0 \\
-91254 & -240672 & 331926 & 3483 & 68208 & 0 \\
35778 & -15480 & -20298 & -1935 & -8056 & 0 \\
-402 & 264 & 138 & 33 & 68 & 0
\end{array}\right]} \tag{18}
\end{gather*}
$$

Now we turn to the analysis of the coefficients. For Cases 2 and 3 (meaning $j=2,3$ ) we get Lemma A22 by running the positivity algorithm
for $a_{1}, a_{2}, a_{3}, a_{4}$ on the intervals $I_{j}$. The algorithm halts and we are done. For $j=1$ the situation is trickier because these coefficients vanish at the endpoint $s=0$ of the interval $I_{1}=(0,6]$.

Before we launch into Case 1, we add two quantities we test, namely $\psi_{s}(0)$ and $\psi_{s}(4)$. We have

$$
11 \psi_{s}(0)=\left[\begin{array}{c}
-88 \\
-128 \\
+216 \\
+6 \\
+32 \\
+11
\end{array}\right] \cdot\left[\begin{array}{c}
2^{-s / 2} \\
3^{-s / 2} \\
4^{-s / 2} \\
s 2^{-s / 2} \\
s 3^{-s / 2} \\
s 4^{-s / 2}
\end{array}\right], \quad \frac{11}{s} \psi_{s}(4)=\left[\begin{array}{c}
-2112 \\
+1664 \\
+459 \\
+219 \\
288 \\
0
\end{array}\right] \cdot\left[\begin{array}{c}
2^{-s / 2} \\
3^{-s / 2} \\
4^{-s / 2} \\
s 2^{-s / 2} \\
s 3^{-s / 2} \\
s 4^{-s / 2}
\end{array}\right]
$$

In other words, these quantities have the same form as the functions $a_{j}(s)$ for $j=1,2,3,4$. We run the positivity algorithm and show that all 6 quantities are positive on $[1 / 4,6]$.

Now we deal with the interval $(0,1 / 4]$. Note that

$$
\begin{equation*}
\sup _{m=2,3,4} \sup _{s \in[0,1]}\left|\frac{\partial^{6}}{\partial s^{6}} m^{-s / 2}\right|<\frac{1}{8} . \tag{19}
\end{equation*}
$$

All our (scaled) expressions have the form $Y \cdot V(s)$,

$$
V(s)=\left(2^{-s / 2}, 3^{-s / 2}, 4^{-s / 2}, s 2^{-s / 2}, s 3^{-s / 2}, s 4^{-s / 2}\right)
$$

For an integer vector $Y$. Moreover the sum of the absolute values of the coefficients in each of the $Y$ vectors is at most 5000 . This means that, when we take the 5th order Taylor series expansion for $Y \cdot V(s)$, the error term is at most

$$
5000 \times \frac{1}{8} \times \frac{1}{6!}<1
$$

We compute each Taylor series, set all non-leading positive terms to 0 , and crudely round down the other terms:

$$
\begin{array}{cc}
792 a_{1}(s): & 98 s-69 s^{2}+0 s^{3}-6 s^{4}+0 s^{5}-1 s^{6} \\
792 a_{2}(s): & 14 s-3 s^{2}-2 s^{3}+0 s^{4}-1 s^{5}-1 s^{6} . \\
792 a_{3}(s): & 1 s+0 s^{2}-1 s^{3}+0 s^{4}+0 s^{5}-1 s^{6} . \\
792 a_{4}(s): & .03 s+0 s^{2}+0 s^{3}-.01 s^{4}+0 s^{5}-1 s^{6} . \\
11 \psi_{s}(0): & .08 s+0 s^{2}-.02 s^{3}+0 s^{4}-.01 s^{5}-1 s^{6} . \\
(11 / s) \psi_{s}(4): & 11+0 s+0 s^{2}-1 s^{3}-1 s^{4}+0 s^{5}-1 s^{6} .
\end{array}
$$

These under-approximations are all easily seen to be positive on $(0,1 / 4]$. My computer code does these calculations rigorously with interval arithmetic, but it hardly seems necessary.

### 2.5 Proof of Lemma A222

Case 1: In Case 1 we compute that

$$
\begin{equation*}
\psi_{s}(t)=t^{6}-\frac{48}{12+s} t^{5}+\ldots \tag{20}
\end{equation*}
$$

We don't care about the other terms. Since $\psi_{s}$ has degree 6 we conclude that $\psi_{s}$ has at most $N=6$ roots, counting multiplicity. By construction $H_{s}(\sqrt{m})=H_{s}^{\prime}(\sqrt{m})=0$ for $m=2,3$ and $H_{s}(\sqrt{4})=0$. This means that $H_{s}$ has extrema at $r_{2}=\sqrt{2}$ and $r_{3}=\sqrt{3}$ and at points $r_{23} \in(\sqrt{2}, \sqrt{3})$ and $r_{34} \in(\sqrt{3}, \sqrt{4})$. Correspondingly $\psi_{s}$ has roots $t_{1}=1$ and $t_{2}=2$ and $t_{01} \in(0,1)$ and $t_{12} \in(1,2)$. The sum of all the roots of $\psi_{s}$ is $48 /(12+s)<4$. Since $t_{1}+t_{2}+t_{01}+t_{12}>4$ we see that not all roots can be positive. Hence $N<6$. Since $\psi_{s}$ is positive at $t=0,4$ we see that $N$ is even. Hence $N=4$. This means that the only roots of $\psi_{s}$ in $(0,4)$ are the 4 roots we already know about. Since these roots are distinct, they are simple roots.

Cases 2 and 3: First of all, the functions $H_{s}$ are the same in Cases 2 and 3. This is not just a computational accident. In both cases we are building $H_{s}$ from the functions $G_{1}, G_{2}, G_{5}, G_{10}$. So, we combine Cases 2 and 3 by proving that the common polynomial $\psi_{s}$ just has 4 roots for each $s \in[6,16]$. I will describe a proof which took me quite a lot of experimentation to find. One tool I will use is positive dominance. Here I will just explain the easy case we need in this section: A real polynomial $a_{0}+a_{1} t+\ldots a_{n} t^{n}$ is positive on $[0,1]$ provided that the sums $a_{0}, a_{0}+a_{1}, a_{0}+a_{1}+a_{2}, \ldots, a_{0}+\ldots+a_{n}$ are all positive.

The same analysis as in Case 1 shows that $\psi_{s}$ has roots at 1,2 , and in $(0,1)$ and in $(1,2)$. We just want to see that there are no other roots.

We can factor $\psi_{s}$ as $(t-1)(t-2) \beta_{s}$ where $\beta_{s}$ is a degree 8 polynomial. Taking derivatives with respect to $t$, we notice that

1. $\gamma_{s}=268536 \times 12^{s / 2} \times\left(\beta_{s}^{\prime \prime}-\beta_{s}^{\prime}\right)$ is positive for $s \times t \in[6,16] \times[0,4]$.
2. $-\beta_{s}^{\prime}(0)>0$ for all $s \in[6,16]$.
3. $\beta_{s}^{\prime}(4)>0$ for all $s \in[6,16]$.

Statement 1 shows in particular that $\beta_{s}^{\prime}$ never has a double root. This combines with Statements 2 and 3 to show that the number of roots of $\beta_{s}^{\prime}$ in $[0,4]$ is independent of $s \in[6,16]$. We check explicitly that $\beta_{6}^{\prime}$ has only one root in $[0,4]$. Hence $\beta_{s}^{\prime}$ always has just one root. But this means that
$\beta_{s}$ has at most 2 roots in $[0,4]$. This, in turn, means that $\psi_{s}$ has at most 4 roots in $[0,4]$. This completes the proof modulo the 3 statements.

Now we establish the 3 statements. We first give a formula for $\gamma_{s}$. Define matrices $M_{3}, M_{4}, M_{6}$ respectively as:

$$
\begin{gathered}
{\left[\begin{array}{cccccccc}
-546840 & -1800480 & 99720 & -397440 & -234600 & -33120 & 173880 & -22080 \\
18366 & 17112 & 80766 & 24288 & 18630 & 11592 & 4830 & -1104 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0
\end{array}\right]} \\
{\left[\begin{array}{cccccccc}
-345600 & -1576320 & -509760 & -760320 & -448800 & -63360 & 332640 & -42240 \\
-199296 & -698784 & 75216 & -149376 & -79960 & 5856 & 94920 & -12992 \\
7104 & 8432 & 33960 & 11968 & 9180 & 5712 & 2380 & -544
\end{array}\right]} \\
{\left[\begin{array}{cccccccc}
892440 & 3376800 & 410040 & 1157760 & 683400 & 96480 & -506520 & 64320 \\
-73350 & -246888 & -228942 & -165792 & -110370 & -41688 & 27510 & -2064 \\
1473 & 4092 & 10557 & 5808 & 4455 & 2772 & 1155 & -264
\end{array}\right]}
\end{gathered}
$$

Define 3 polynomials $P_{3}, P_{4}, P_{6}$ by the formula:

$$
\begin{equation*}
P_{k}(s, t)=\left(1, s, s^{2}\right) \cdot M_{k} \cdot\left(1, \ldots, t^{7}\right)=\sum_{i=0}^{2} \sum_{j=0}^{7}\left(M_{k}\right)_{i j} s^{i} t^{j}, \quad k=3,4,6 . \tag{21}
\end{equation*}
$$

We have

$$
\begin{equation*}
\gamma=P_{3} 3^{s / 2}+P_{4} 4^{s / 2}+P_{6} 6^{s / 2} \tag{22}
\end{equation*}
$$

To check the positivity of $\gamma_{s}$ we check that each of the 16 functions

$$
\begin{equation*}
\gamma_{s}(v / 4+1 / 4)=a_{v, 0}+a_{v, 1} t+\ldots a_{v, 7} t^{7} \tag{23}
\end{equation*}
$$

satisfies the following condition: $A_{v, k}=a_{v, 0}+\ldots+a_{v, k}$ is positive for all $k=0, \ldots, 7$ and all $s \in[6,16]$. This shows that the corresponding polynomial is positive on $[0,1]$.

For each $v=0, \ldots, 15$ and each $k=0, \ldots ., 7$ we have a $3 \times 3$ integer matrix $\mu_{v, k}$ such that

$$
\begin{equation*}
A_{v, k}=\left(1, s, s^{2}\right) \cdot \mu_{v, t} \cdot\left(3^{s / 2}, 4^{s / 2}, 6^{s, 2}\right) \tag{24}
\end{equation*}
$$

This gives 128 matrices to check. We get two more such matrices from the conditions $-\beta_{s}^{\prime}(0)>0$ and $\beta_{s}^{\prime}(4)>0$. All in all, we have to check that 130 expressions of the form in Equation 24 are positive for $s \in[6,16]$. These expressions are all special cases of Equation 15, and we use the method discussed above to show positivity in all 130 cases. The program runs in several hours.

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