

**Math1410: Crash Course on Forms and Cohomology:** The purpose of these notes is to discuss differential forms, Stokes' Theorem, and cohomology. These notes will be somewhat sketchy.

**Tensors:** Let  $V$  be a real vector space. It is convenient just to take  $V = \mathbf{R}^n$ . Let  $V^k$  denote the set of  $k$ -tuples of vectors in  $V$ . A point in  $V^k$  is a  $k$ -tuple  $(V_1, \dots, V_k)$ . A  $k$ -tensor is a map  $T : V^k \rightarrow \mathbf{R}$  such that

$$T(\dots, aV_i + bW_i, \dots) = aT(\dots, V_i, \dots) + bT(\dots, W_i, \dots).$$

That is,  $T$  is linear in each slot. The dot product is a classic example of a 2-tensor.

A tensor is called *alternating* if

$$T(\dots, V_i, \dots, V_j, \dots) = -T(\dots, V_j, \dots, V_i, \dots)$$

for all  $i, j$ . The determinant is an example of an alternating  $n$ -tensor on  $\mathbf{R}^n$ .

Here is a more general example of an alternating  $k$ -tensor on  $\mathbf{R}^n$  for  $k \leq n$ . Let  $a_1, \dots, a_k$  be some sequence of integers between 1 and  $n$ . Given vectors  $V_1, \dots, V_k$ , define

$$T(V_1, \dots, V_k) = \det \begin{bmatrix} V_{1a_1} & \dots & V_{1a_k} \\ \dots & & \dots \\ V_{ka_1} & \dots & v_{k,a_k} \end{bmatrix}.$$

Here  $V_1 = (V_{11}, \dots, V_{1n})$ , etc. This tensor is denoted  $dx_{a_1} \wedge \dots \wedge dx_{a_k}$ . Note that this tensor is zero if there are repeated indices. Note also that the tensor switches signs if you switch two indices. For instance, when  $k = 3$  and  $n = 5$  we have

$$dx_1 \wedge dx_3 \wedge dx_4 = -dx_3 \wedge dx_1 \wedge dx_4.$$

It turns out that every alternating  $k$ -tensor is a linear combination of the examples given. Therefore, the vector space of alternating  $k$ -tensors has dimension  $\binom{n}{k}$ . Alternate notation: Given a  $k$ -tuple  $I = (a_1, \dots, a_k)$ , we let  $dx_I$  be the form mentioned above.

**Differential Forms:** Let  $U$  be an open subset of  $\mathbf{R}^n$ . A *differential  $k$ -form* is a smoothly varying choice of alternating  $k$ -tensor for each point of

$U$ . Given that we have a basis for the vector space of alternating  $k$ -tensors, we can say more concretely that a differential  $k$ -form is a sum of the form

$$\alpha = \sum f_I dx_I, \tag{1}$$

each  $f_I$  is a smooth (i.e. infinitely differentiable) function on  $U$ . Here are some examples, on  $\mathbf{R}^3$ :

- The 0-forms are just functions.
- the 1-forms look like  $A_1 dx_1 + A_2 dx_2 + A_3 dx_3$  where  $A_1, A_2, A_3$  are functions.
- The 2-forms look like  $A_1 dx_1 \wedge dx_2 + A_2 dx_1 \wedge dx_3 + A_3 dx_2 \wedge dx_3$  where  $A_1, A_2, A_3$  are functions.
- The 3-forms look like  $A dx_1 \wedge dx_2 \wedge dx_3$  where  $A$  is a function.

Note that we can interpret a function either as a 0-form or a 3-form. Likewise we can interpret the vector field  $(A_1, A_2, A_3)$  either as a 1-form or a 2-form.

To give a more exotic example, the 2-forms on  $\mathbf{R}^4$  look like

$$\sum_{i=1}^4 \sum_{j=i+1}^4 a_{ij} dx_i \wedge dx_j.$$

There are 6 summands, and each  $a_{ij}$  is a function.

**The d Operator:** When  $f$  is a function, we define

$$df = \sum_{i=1}^n \frac{\partial f}{\partial x_i} dx_i.$$

In other words,  $df$  is a 1-form. For the general  $k$  form defined in Equation 1, we have

$$d\alpha = \sum df_I \wedge dx_I. \tag{2}$$

Consider the 4 possibilities in  $\mathbf{R}^3$ .

- Suppose we start with a function  $f$ , compute  $df$ , then interpret the result as a vector field. The result is just the gradient of  $f$ .

- Suppose we start with a vector field, interpret it as a 1 form  $f$ , then re-interpret  $df$  as a vector field. Then we get the curl. Here is the main part of the calculation.

$$d \sum_{i=1}^3 A_i dx_i = \sum_{i=1}^3 dA_i \wedge dx_i = \sum_{i=1}^3 \sum_{j=1}^3 \frac{\partial A_i}{\partial x_j} dx_j \wedge dx_i =$$

$$\left( \frac{\partial A_1}{\partial x_2} - \frac{\partial A_2}{\partial x_1} \right) dx_1 \wedge dx_2 + \left( \frac{\partial A_2}{\partial x_3} - \frac{\partial A_3}{\partial x_2} \right) dx_2 \wedge dx_3 + \left( \frac{\partial A_3}{\partial x_1} - \frac{\partial A_1}{\partial x_3} \right) dx_3 \wedge dx_1.$$

- Suppose we start with a vector field, interpret it as a 2-form, apply  $d$ , then interpret the result as a function. Then we are computing the divergence.

So, for differential forms in  $\mathbf{R}^3$  the  $d$ -operator unifies all the basic operations from vector calculus: gradient, curl, and divergence.

**Cohomology:** In vector calculus you learn the basic facts that

$$\text{curl} \circ \text{grad} = 0, \quad \text{div} \circ \text{curl} = 0.$$

Using the interpretations above, both these statements just say that  $d \circ d = 0$ . This is true in general. Here is the calculation, for the form  $\alpha$  in Equation 1:

$$d(d\alpha) = d \left( \sum_{i=1}^n \frac{\partial f_I}{\partial x_i} \wedge dx_i \right) = \sum_{i=1}^n \sum_{j=1}^n \frac{\partial^2 f_I}{\partial x_i \partial x_j} dx_i \wedge dx_j \wedge dx_i.$$

But this whole thing is zero because

$$\frac{\partial^2 f_I}{\partial x_i \partial x_i} dx_i \wedge dx_j - \frac{\partial^2 f_I}{\partial x_i \partial x_j} dx_j \wedge dx_i - \frac{\partial^2 f_I}{\partial x_j \partial x_i} dx_j \wedge dx_i.$$

That is, the terms in the sum cancel in pairs.

A  $k$ -form  $\alpha$  is called *closed* if  $d\alpha = 0$ . A  $k$ -form  $\alpha$  is called *exact* if  $\alpha = d\beta$ . The calculation above says that “exact implies closed”. As an alternate terminology, exact forms are called *coboundaries* and closed forms are called *cocycles*. This alternate terminology is supposed to line up with the language of homology.

Let  $M$  be some open subset of  $\mathbf{R}^n$ . We define:

- $C^k(M)$  is the vector space of  $k$ -forms on  $M$ .

- $Z^k(M)$  is the vector space of closed  $k$ -forms on  $M$ .
- $B^k(M)$  is the vector space of exact  $k$ -forms on  $M$ .

The fact that  $d \circ d = 0$  means that  $B^k(M) \subset Z^k(M)$ . The quotient group

$$H^k(M) = Z^k(M)/B^k(M) \tag{3}$$

is known as the  $k$ -th deRham cohomology group of  $M$ .

**Connection to Simplicial Homology:** Here I'll explain a special case of the connection between the deRham cohomology defined above and simplicial homology. Suppose that  $f : \mathbf{R}^n \rightarrow \mathbf{R}$  is a smooth function. Suppose also that there are no points  $p \in \mathbf{R}^n$  where  $f(p) = 0$  and  $\nabla f(p) = 0$ . In other words, the function and its gradient cannot vanish at the same point. In this situation,  $f^{-1}(0)$  is a well defined manifold of dimension  $n - 1$ . The tangent space at some point  $p$  is the subspace perpendicular to  $\nabla p$ .

We can look at  $\Sigma = f^{-1}(0)$  in two ways.

- We can triangulate  $\Sigma$  and treat it as a simplicial complex. Then we can compute the simplicial homology  $H_i(\Sigma, \mathbf{R})$ . What we do is take *real* linear combinations of simplices and then take cycles mod boundaries. Here  $H_k(M, \mathbf{R})$  is not just a group but also a vector space.
- We can consider the open set  $M_\epsilon = f^{-1}(-\epsilon, \epsilon)$  for some very small  $\epsilon$ . The space  $M_\epsilon$  is an open set in  $\mathbf{R}^n$  which is a kind of thickening of  $\Sigma$ . For small  $\epsilon$ , the space  $M_\epsilon$  is homeomorphic to  $\Sigma \times (-1, 1)$ . We can then compute the cohomology groups  $H^k(M_\epsilon)$ .

Here is the punchline. For sufficiently small  $\epsilon$ , the groups  $H_k(\Sigma, \mathbf{R})$  and  $H^k(M)$  are isomorphic vector spaces. This is a special case of the famous *De Rham Isomorphism Theorem*. One take-away from this result is that the basic notions in vector fields: incompressible vector fields, irrotational vector fields, conservative potentials, etc., are all closely related to homology.

**Integration and Stokes Theorem:** The isomorphism theorem discussed above has close connections to Stokes' Theorem. The basic fact is that a  $k$ -form can be integrated over a  $k$ -dimensional manifold.

Suppose first that  $T$  is an alternating  $k$ -tensor and  $\Delta$  is a  $k$ -dimensional simplex. If we label the vertices of  $\Delta$  as  $\Delta(0), \dots, \Delta(k)$  then we get the

number

$$T(\Delta) = T(V_1, \dots, V_k), \quad V_j = \Delta(j) - \Delta(0).$$

When  $T$  is alternating this number only depends very mildly on the labeling. If we change the labeling by an even permutation, then the answer does not change. So, in short, an alternating  $k$ -tensor assigns a number to an oriented  $k$ -simplex.

Now suppose that we have some oriented  $k$ -dimensional manifold  $M$  in  $\mathbf{R}^n$  and some  $k$ -form  $\omega$ . We can triangulate  $M$  into small simplices, say  $M = \Delta_1 \cup \dots \cup \Delta_\ell$ , and we can arrange that the orientations of the simplices are chosen so that the union of simplices is a  $k$ -chain. (This last arrangement requires  $M$  to be oriented.)

We can then define the sum

$$\sum_{i=1}^{\ell} T_i(\Delta_i),$$

where  $T_i$  is the tensor we get by evaluating  $\omega$  at some point in  $\Delta_i$  (like the center of mass.) You should think of this sum as like a Riemann sum from the theory of integration. Letting the mesh size of the triangulation go to 0 and taking a limit, we can get a well defined answer, which we call

$$\int_M \omega,$$

the integral of  $\omega$  over  $M$ .

Suppose finally that  $\Omega$  is a  $(k+1)$ -dimensional manifold with a boundary  $\partial\Omega$ , and  $\omega$  is a  $k$ -form defined on an open neighborhood of  $\Omega$ . The form  $d\omega$  is a  $(k+1)$  form and it makes sense to integrate it on  $\Omega$  whereas  $\omega$  is a  $k$ -form and it makes sense to integrate  $\omega$  on  $\partial\Omega$ . Here is the general version of Stokes' Theorem:

$$\int_{\Omega} d\omega = \int_{\partial\Omega} \omega. \quad (4)$$

This one result, when suitably interpreted, encompasses all the results from vector calculus – Green's Theorem, the Divergence Theorem, Gauss's law, Stokes Theorem.

At the same time, Equation 4 hints at a direction between cohomology and homology by relating the operation of taking boundaries (homology) with the  $d$ -operation (cohomology).