

## Transcendence of $e$ by Rich Schwartz

I adapted this proof from the one in §5.2 of Herstein's *Topics in Algebra*. I think this proof is simpler and more businesslike.

**The Main Step:** Assume  $e$  is algebraic. Then  $e$  satisfies a polynomial equation with integer coefficients, having the following form.

$$\sum_{k=0}^n c_k e^k = 0; \quad c_0 \neq 0; \quad \max_k |c_k| < n. \quad (1)$$

Note that the degree of this equation might be less than  $n$ .

Below, we will produce an integer  $p > n$  and a list  $F(0), \dots, F(n)$  of integers such that

1.  $F(0)$  is not divisible by  $p$ .
2.  $F(1), \dots, F(n)$  are all divisible by  $p$ .
3.  $|F(k) - e^k F(0)| < 1/n^2$  for  $k = 1, \dots, n$ .

Note that

$$\sum_{k=0}^n c_k F(0) e^k = 0. \quad (2)$$

We're just multiplying Equation 1 by  $F(0)$ . Each term in the sum

$$\sum_{k=0}^n c_k F(k) \quad (3)$$

differs from the corresponding term in Equation 2 by at most  $|c_k|/n^2$ , which is less than  $1/n$ . Since the 0th terms agree and there are a total of  $n + 1$  terms, we see that in passing from Equation 2 to Equation 3 we change the answer by less than 1. Since the sum in Equation 3 is an integer, this is only possible if the sum in Equation 3 is 0. Since  $0 < |c_0| < n$ , the quantity  $c_0 F(0)$  is not divisible by  $p$ . The rest of the terms are divisible by  $p$ , so the whole sum in Equation 3 is not divisible by  $p$ . This is a contradiction.

**Producing the List of Integers:** It remains to produce the magic list of integers. Consider the function

$$F = \sum_{i=0}^{\infty} f^{(i)}; \quad f(x) = \frac{x^{p-1}(1-x)^p(2-x)^p \dots (n-x)^p}{(p-1)!}; \quad (4)$$

Here  $f^{(i)}$  is the  $i$ th derivative of  $f$ . The sum for  $F$  is finite, because  $f$  is a polynomial.  $f$  is called a *Hermite polynomial*.

**Property 1:** We can write  $f = ab$  where

$$a(x) = \frac{x^{p-1}}{(p-1)!}; \quad b(x) = (1-x)^p \dots (n-x)^p. \quad (5)$$

By the product rule for derivatives,

$$f^{(N)} = \sum_{i=0}^N C_{N,i} a^{(i)} b^{(N-i)}. \quad (6)$$

Here  $C_{N,i}$  denotes  $N$  choose  $i$ . Note that  $C_{N,0} = 1$ . We have  $a^{(p-1)}(0) = 1$  and otherwise  $a^{(i)}(0) = 0$ . Hence  $f^{(p-1)}(0) = n!$  and  $f^{(p)}, f^{(p+1)}$ , etc. only involve terms whose coefficients are divisible by  $p$ . So,  $F(0)$  is congruent to  $n! \pmod{p}$ , and this number is not divisible by  $p$ .

**Property 2:** We can write  $f = a \times b$ , where

$$a(x) = \frac{(x-k)^p}{(p-1)!}; \quad b(x) = x^{p-1} (1-x)^p \dots (\widehat{k-x})^p \dots (n-x)^p. \quad (7)$$

The notation means that  $(k-x)^p$  is omitted. We again have Equation 6. This time  $a^{(p)}(k) = p$  and otherwise  $a^{(i)}(k) = 0$ . Hence  $F(k)$  is divisible by  $p$  when  $k = 1, 2, \dots, n$ .

**Property 3:** Let  $\phi(x) = e^{-x} F(x)$ . We compute

$$\phi'(x) = -e^{-x}(F(x) - F'(x)) = -e^{-x} \left( \sum_{i=0}^{\infty} f^{(i)}(x) - \sum_{i=1}^{\infty} f^{(i)}(x) \right) = -e^{-x} f(x).$$

The sums are finite, because  $f$  is a polynomial. Our equation tells us that  $|\phi'(x)| \leq |f(x)|$  for  $x \geq 0$ . Hence

$$|F(k) - e^k F(0)| = |e^k| |\phi(k) - \phi(0)| \leq k e^k \max_{[0,k]} |\phi'| \leq n e^n \max_{[0,n]} |f| \leq \frac{e^n (n^{n+2})^p}{(p-1)!}$$

For  $p$  sufficiently large, this last bound is less than  $1/n^2$ .